Via email and posting

November 26, 2013

**TO:** NAESB Board of Directors, Executive Committee (EC) Members, EC Alternates, NAESB Members, NAESB Advisory Council, and Invited Guests

**FROM:** Rae McQuade, NAESB President

**RE:** Draft Agenda of Board Meeting – December 12, 2013

Dear Board members, EC members, EC alternates, NAESB members, NAESB Advisory Council and invited guests,

As noted in other communications, we are pleased to announce the upcoming Board meeting to be held December 12 in Houston, Texas at the Four Seasons Hotel in downtown Houston. I hope you are able to travel to Houston for our upcoming Board meeting, related committee meetings and our Board dinner. During this Board meeting, the Board will consider and may approve the 2014 budget and the 2014 annual plans, as well as hearing updates from the Board Strategic Plan Task Force, the Board Certification Program Committee and the Board Revenue Committee.

The specifics of the Board meeting and related meetings are:

Events: Board of Directors Meeting, and related NAESB meetings

Where: Four Seasons Hotel, 1300 Lamar, Houston, Texas 77010, phone: 713-650-1300 (excepting Board Reception and Dinner)

When: December 11 Retail Energy Quadrant Leadership Meeting from 11:00 am to 1:00 pm C, Wholesale Electric Quadrant Leadership Meeting from 1:00 pm to 3:00 pm C and Wholesale Gas Quadrant Leadership Meeting from 3:00 pm to 5:00 pm C

December 11 Board Reception and Dinner - 6:00 pm reception and 7:00 pm dinner seating (held at the Petroleum Club with bus transportation provided to/from the Four Seasons Hotel)

December 12 Board Meeting from 9:00 am to 1:00 pm C, (a buffet lunch will be served during the meeting)

December 12 WEQ CSS (formerly WEQ PKI) Subcommittee Meeting from 1:00 pm to 3:00 pm C

As with all our meetings, these events are open to any interested party. For the meetings, conference calling will be available should you be unable to attend in person. Please contact Veronica Thomason (vthomason@naesb.org, 713-356-0060) for additional information on the meetings and conference calling information.Board materials should be available shortly.

We are very fortunate to have The Honorable Ron Binz as our dinner speaker. His biography is provided in this announcement package. Ron has held key leadership positions in our industry and most recently was considered for the chairmanship of the Federal Energy Regulatory Commission. His remarks on the directions our markets may take and the challenges facing us will prove to be important food for thought.

This Board meeting serves as the last board meeting of 2013, in which the board members are asked to approve the 2014 annual plans and the 2014 budget. In addition, we will hear the updates from the board committees for revenue, strategic planning, and certification. The executive committee leadership will describe their accomplishments for 2013 against the 2013 annual plans.

**The Board of Directors meeting agenda is attached, and will be updated as meeting materials are available. The assembled board book, including all the materials noted in the links should be posted shortly.** In case you have not already done so, please RSVP your intention to attend the Board dinner or Board of Directors meeting or any of the related meetings, and your intention to bring a guest or colleague to the dinner at your earliest convenience ([vthomason@naesb.org](mailto:vthomason@naesb.org), [naesb@naesb.org](mailto:naesb@naesb.org), 713-356-0060). For those staying in the Four Seasons Hotel, transportation is provided for attending the Board dinner. ***Two buses will also be available on December 12 at 12:30 pm to take participants to either Hobby Airport or Bush Intercontinental Airport. Depending on when the meeting ends, the buses can leave between 12:30 pm and 1:00 pm. With normal traffic conditions, it should take 20-30 minutes to leave the hotel and arrive at either airport.***

We look forward to your attendance at the leadership meetings and the dinner on December 11, followed by the Board meeting and the CSS Subcommittee meeting on December 12.

Best Regards,

*Rae McQuade*

Rae McQuade

NAESB President

**Conference Calling and Web Conferencing Details**

**for the December 11-12, 2013 Set of Board and Related Meetings**

The meetings are open to all interested parties, who may participate by phone if unable to attend in person. The Board meeting is also web cast.

|  |  |  |  |
| --- | --- | --- | --- |
| Dec 11 | 11:00 am to 1:00 pm C | Retail Energy Quadrant Leadership Meeting (In person and Conference Call) | |
|  | Whitney Room, Four Seasons Hotel, 1300 Lamar, Houston, Texas | * Call in number * Access Code * Security Code | 866-740-1260  3560063  5812 |
| Dec 11 | 1:00 pm to 3:00 pm C | Wholesale Electric Quadrant Leadership Meeting (In person and Conference Call) | |
|  | Whitney Room, Four Seasons Hotel, 1300 Lamar, Houston, Texas | * Call in number * Access Code * Security Code | 866-740-1260  3560064  8724 |
| Dec 11 | 3:00 pm to 5:00 pm C | Wholesale Gas Quadrant Leadership Meeting (In person and Conference Call) | |
|  | Whitney Room, Four Seasons Hotel, 1300 Lamar, Houston, Texas | * Call in number * Access Code * Security Code | 866-740-1260  3560063  7318 |
| Dec 12 | 9:00 am to 1:00 pm C | Board Meeting (In person and Conference Call/Web Cast) | |
|  | Austin Room, Four Seasons Hotel, 1300 Lamar, Houston, Texas | * Call in number * Access Code * Security Code * Web Cast | 866-740-1260  7133560  6515  [www.readytalk.com](http://www.readytalk.com) and use the same participant access and security codes above |
| Dec 12 | 1:00 pm to 3:00 pm C | CSS Subcommittee Meeting (In person and Conference Call/Web Cast) | |
|  | Information on the CSS Subcommittee meeting, including conference calling instructions can be accessed from the [NAESB web site](http://www.naesb.org). | | |

To join a conference call:

• Dial the 11-digit toll free call-in phone number shown above for the specific meetings

• An automated attendant will ask you to enter a seven-digit access code (shown in the table above)

• The automated attendant will ask you to record your name.

• Please note that if the conference leader has not yet initiated the conference call, you will be placed on music hold until the conference leader starts the conference.

•The automated attendant will then ask you for a four-digit security code (shown in the table above)

Please place your phone on mute unless you are speaking. For those participants that do not have a mute feature on your phone, please press (\*6) to mute your phone and (\*7) to un-mute your phone. Putting the conference call on hold may cause music to be played over the discussion and if so, the NAESB office will contact the on-hold line to have it disconnected.

If the meeting has the web conferencing feature enabled, to join the web conference, go to www.readytalk.com and enter the same access code and **s**ecurity code. Please note that if the conference leader has not yet initiated the web conference you will view a screen that states, “The Chairperson has not yet arrived. Please standby for your web conference to begin.”

ReadyTalk recommends that you test your browser and network connections for compatibility prior to participating in a web conference. To do so, go to http://test.callinfo.com. If you have problems joining a conference call or need technical assistance, please contact ReadyTalk Customer Care, 1-800-843-9166.

Speaker Biographies

# The Honorable Ron Binz

# Ron Binz is a principal at Public Policy Consulting, specializing in energy economics and policy. His recent clients have included Dow Solar, Lawrence Berkeley National Laboratory, Ceres, the Energy Regulatory Commission of Mexico, the U.S. Department of Energy, Environmental Defense Fund, the Future of Privacy Forum and American Efficient, among others. On June 27, 2013 President Obama nominated Ron to become Chairman of the Federal Energy Regulatory Commission (FERC). His nomination was vigorously opposed by the coal industry and conservative political groups, who argued that he would be too friendly to low-carbon resources like renewable energy and energy efficiency. Following a confirmation hearing in a U.S. Senate committee, Ron asked the President to withdraw his name from further consideration on September 30. Until April 2011 Ron was the Chairman of the Colorado Public Utilities Commission, appointed in 2007 by Colorado Governor Bill Ritter. As Chairman, Ron led the Colorado PUC in implementing the many policy changes championed by the Governor and the Legislature to bring forward Colorado’s “New Energy Economy.” Ron was an active member of the National Association of Regulatory Utility Commissioners, serving as Chair of NARUC’s Task Force on Climate Policy. He is a member of the Harvard Electricity Policy Group, and recently served on the Keystone Energy Board and the Advisory Council to the Electric Power Research Institute (EPRI). Binz has testified before Congressional committees sixteen times. He received a B.A. in Philosophy from St. Louis University in 1971 and an M.A. in Mathematics from the University of Colorado in 1977. Ron also completed course work for a Master’s Degree in Economics from the University of Colorado.

# Prior to his appointment to the Colorado PUC, Ron was President of Public Policy Consulting, specializing in policy and regulatory issues in the telecommunications and energy industries. His clients include state agencies, business associations, consumer organizations, renewable energy advocates, and telecommunications carriers. Ron also served from 1996 to 2003 as President of the Competition Policy Institute (CPI), based in Washington, D.C. CPI was a non-profit organization dedicated to bringing competition to telecommunications and energy markets in ways that benefit consumers. From 1984 to 1995, Ron directed the Colorado Office of Consumer Counsel, the state's utility consumer advocate. His office represented residential, small business and agricultural utility consumers before the Colorado Public Utilities Commission, federal regulatory agencies and the courts. While Consumer Counsel, Ron served as President of the National Association of State Utility Consumer Advocates (NASUCA).

| **NAESB BOARD OF DIRECTORS MEETING**  **Four Seasons Hotel, 1300 Lamar, Houston, TX – Thursday, December 12 – 9:00 am to 1:00 pm Central**  **DRAFT AGENDA** | | | |
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|  | # | Agenda Item | |
|  |  |  | |
| 8:30 A |  | Continental Breakfast | |
| 9:00 A | 1. | Welcome | |
|  |  | a) | Welcome to board members, guests and convene the December 12, 2013 board meeting |
|  |  | b) | Antitrust Guidelines <http://www.naesb.org/misc/antitrust_guidance.doc> (Guidance) |
|  |  | c) | Establish quorum – <http://www.naesb.org/pdf4/bod_terms.pdf> (Board Roster) |
|  | 2. | Consent Agenda | |
|  |  | a) | Agenda Adoption |
|  |  | b) | Adoption of Draft Minutes |
|  |  | c) | Adoption of Revised 2013 WGQ Annual Plan |
|  |  | d) | Adoption of Revised 2013 Retail Annual Plan |
|  |  | e) | Adoption of Revised 2013 WEQ Annual Plan |
|  | 3. | Membership and Financial Reports | |
|  |  | a) | Review the 2013 Membership Report |
|  |  | b) | Review the Financial YTD Report 2013 |
|  |  | c) | Review and Consideration for Approval 2014 Budget |
|  | 4. | Reports from Board Committees and Board votes where noted: | |
|  |  | a) | Managing Committee Update |
|  |  | b) | Revenue Committee Update |
|  |  | c) | Certification Committee Update |
|  |  | d) | Strategic Planning Task Force Update |
|  | 5. | **Project Discussions, Leadership Session Reviews, Review of 2013 Annual Plan Accomplishments** | |
|  |  | a) | WGQ Leadership Session Recap: *Please reference WGQ Annual Plan in agenda item no. 2*, <http://www.naesb.org/misc/wgq_publication_schedule_ver2_2.doc> (Version 2.2 Publication Schedule),  WGQ 2013 Annual Plan Accomplishments: *Please reference WGQ Annual Plan in agenda item no. 2* |
|  |  | b) | WEQ Leadership Session Recap: *Please reference WEQ Annual Plan in agenda item no. 2*, <http://www.naesb.org/misc/weq_publication_schedule_ver3_1.doc> (Version 003.1 Publication Schedule),  WEQ 2013 Annual Plan Accomplishments: *Please reference WEQ Annual Plan in agenda item no. 2* |
|  |  | c) | Retail Leadership Session Recap: *Please reference Retail Annual Plan in agenda item no. 2*, <http://www.naesb.org/misc/retail_publication_schedule_ver2_2.doc> (Version 2.2 Publication Schedules)  Retail 2013 Annual Plan Accomplishments: *Please reference Retail Annual Plan in agenda item no. 2* |
|  |  | **Background Documents:** | |
|  |  |  | **Summary of projects:** |
|  | 6. | **Review of 2014 Annual Plans for Consideration and Vote to Adopt** | |
|  |  | a) | Adoption of Proposed 2014 WGQ Annual Plan |
|  |  | b) | Adoption of Proposed 2014 Retail Annual Plan |
|  |  | c) | Adoption of Proposed 2014 WEQ Annual Plan |
|  | 7. | Old and New Business | |
|  |  | a) | Regulatory Filings and Related Actions, Liaisons with external groups: U.S. DoE, FERC, NARUC, NERC, NPC, NSTC, Energy Council, Other Groups |
|  |  | b) | Comments from Board Members, Invited Guests and Speakers, and Attendees |
|  |  | c) | Board Meeting Schedule 2014 |
| 12:30 P | 8. | Adjourn | |
| 12:30 P |  | Buffet Lunch available to meeting attendees beginning at noon to 1:00 pm C, at the conclusion of the meeting | |
| 1:00 P |  | Buses depart for airports – Buses will be available to attendees of the Board meeting, for transportation from the Four Seasons Hotel to Hobby and Bush Intercontinental Airports beginning at 12:30 pm C for those attendees needing transportation to the airports | |
|  |  |  |  |
| *Attire – Business Casual* | | | |

| **NAESB RETAIL QUADRANTS LEADERSHIP MEETING**  **Four Seasons Hotel, 1300 Lamar, Houston, TX – Wednesday, December 11, 11:00 am to 1:00 pm Central**  **DRAFT agenda** | | | |
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|  | # | Agenda Item | |
|  |  |  | |
| 11:00 am | 1. | Welcome | |
|  |  | a) | Antitrust Guidelines <http://www.naesb.org/misc/antitrust_guidance.doc> (Guidance) |
|  |  | b) | Welcome to participants |
|  | 2. | Agenda adoption | |
|  | 3. | Update on publication activities <http://www.naesb.org/misc/retail_publication_schedule_ver2_2.doc> (Publication Schedule) | |
|  | 4. | Review of the of Revised 2013 Retail Annual Plan including BPS, Smart Grid related task forces, Registration Agent Task Force, and Information Requirements/Technical Subcommittees updates | |
|  | 5. | Review of the of Proposed 2014 Retail Annual Plan | |
|  | 6. | **Review of membership levels** | |
|  | 7. | **Update on Certification Activities for Measurement and Verification for Demand Response and Energy Efficiency** | |
|  | 8. | **Update on Smart Grid, Data Privacy efforts** | |
|  | 9. | **Review of other board or leadership issues, including discussion on strategic planning task force, revenue committee** | |
|  | 10. | Old and New Business | |
| 1:00 pm | 11. | Adjourn | |
|  |  |  | |
| *Attire – Business Casual – Refreshments served in the room* | | | |

| **NAESB WHOLESALE ELECTRIC QUADRANT LEADERSHIP MEETING**  **Four Seasons Hotel, 1300 Lamar, Houston, TX – Wednesday, December 11– 1:00 pm to 3:00 pm Central**  **DRAFT agenda** | | | | |
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|  | # | Agenda Item | | |
|  |  |  | | |
| 1:00 pm | 1. | Welcome | | |
|  |  | a) | Antitrust Guidelines <http://www.naesb.org/misc/antitrust_guidance.doc> (Guidance) | |
|  |  | b) | Welcome to participants | |
|  | 2. | Agenda adoption | | |
|  | 3. | **Review of membership levels** | | |
|  | 4. | Update on publication activities: <http://www.naesb.org/misc/weq_publication_schedule_ver3_1.doc> (Version 003.1) | | |
|  | 5. | **Update on Certification Activities for Measurement and Verification for Demand Response and Energy Efficiency** | | |
|  | 6. | **Coordination with NERC on standards development for business practices complementary to reliability standards** | | |
|  | 7. | Review of the 2013 Annual Plan and updates on OASIS, BPS, SRS, Smart Grid related groups, and JESS Subcommittees efforts | | |
|  |  | a. | | OASIS effort and possible impact resulting from July 18 NOPR |
|  |  | b. | | Parallel Flow Visualization and coordination with the IDC Association |
|  |  | c. | | **Activities planned and underway in the Electric Industry Registry** |
|  |  | d. | | **Updates on PKI and cybersecurity** |
|  |  | e. | | **Update on Smart Grid, Data Privacy** |
|  |  | f. | | Discussion on Gas-Electric Harmonization Recent Regulatory Activities |
|  | 8. | Review of the 2014 Proposed Annual Plan | | |
|  | 9. | **Review of other board or leadership issues, including discussion on strategic planning task force, revenue committee** | | |
|  | 10. | Old and New Business | | |
| 3:00 pm | 11. | Adjourn | | |
| *Attire – Business Casual – a working lunch served in the room at 12:30 pm* | | | | |

| **NAESB WHOLESALE GAS QUADRANT LEADERSHIP MEETING**  **Four Seasons Hotel, 1300 Lamar, Houston, TX – Wednesday, December 11, 3:00 pm to 5:00 pm Central**  **DRAFT agenda** | | | |
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|  | # | Agenda Item | |
|  |  |  | |
| 3:00 pm | 1. | Welcome | |
|  |  | a) | Antitrust Guidelines <http://www.naesb.org/misc/antitrust_guidance.doc> (Guidance) |
|  |  | b) | Welcome to participants |
|  | 2. | Agenda adoption | |
|  | 3. | **Review of membership levels** | |
|  | 4. | Update on publication activities: <http://www.naesb.org/misc/wgq_publication_schedule_ver2_2.doc> (Publication Schedule) | |
|  | 5. | Discussion on Gas-Electric Harmonization Recent Regulatory Activities | |
|  | 6. | Review of Revised 2013 WGQ Annual Plan and BPS, Information Requirements/Technical, Contracts and EDM Subcommittees efforts | |
|  | 7. | Review of Proposed 2014 WGQ Annual Plan | |
|  | 8. | **Review of other board or leadership issues, including discussion on strategic planning task force, revenue committee** | |
|  | 9. | Old and New Business | |
| 5:00 pm | 10. | Adjourn | |
|  |  |  |  |
| *Attire – Business Casual – Refreshments served in the room* | | | |