The Honorable Kimberly D. Bose  
Secretary  
Federal Energy Regulatory Commission  
888 First Street N.E., Room 1A  
Washington, D.C. 20585

RE: Standards for Business Practices and Communication Protocols for Public Utilities (Docket Nos. RM05-5-000, RM05-5-022)

Dear Ms. Bose:

The North American Energy Standards Board (NAESB) herewith submits this report to the Federal Energy Regulatory Commission (“FERC” or “Commission”) regarding errata to Version 003 of the NAESB Wholesale Electric Quadrant (“WEQ”) business practice standards provided to the Commission on September 18, 2012 (Docket No. RM05-5-022). The minor corrections included in this report were adopted by the WEQ Executive Committee on October 23, 2012. This report is submitted voluntarily.

The report is being filed electronically in Adobe Acrobat® Portable Document Format (.pdf). All of the documents are also available on the NAESB web site (www.naesb.org). Should you need of the filing in editable format, we can provide it in Microsoft® Word® 2003. Please feel free to call me at (713) 356-0060 or refer to the NAESB website (www.naesb.org) should you have any questions or need additional information regarding the errata to Version 003 of the NAESB WEQ business practice standards.

Respectfully submitted,

Jonathan Booe  
Mr. Jonathan Booe  
Vice President, North American Energy Standards Board

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December 20, 2012

cc: 
Chairman Jon Wellinghoff, Federal Energy Regulatory Commission
Commissioner Tony Clark, Federal Energy Regulatory Commission
Commissioner Cheryl LaFleur, Federal Energy Regulatory Commission
Commissioner Philip D. Moeller, Federal Energy Regulatory Commission
Commissioner John R. Norris, Federal Energy Regulatory Commission

Mr. Michael Bardee, Director, Office of Electric Reliability, Federal Energy Regulatory Commission
Mr. David Morenoff, Acting General Counsel, Federal Energy Regulatory Commission

Mr. Mason Emnett, Associate Director, Office of Energy Policy and Innovation, Federal Energy Regulatory Commission
Mr. Michael Goldenberg, Senior Attorney, Office of General Counsel, Federal Energy Regulatory Commission
Ms. Jamie L. Simler, Director, Office of Energy Policy and Innovation, Federal Energy Regulatory Commission

Mr. Michael D. Desselle, Chairman and CEO, North American Energy Standards Board
Ms. Rae McQuade, President, North American Energy Standards Board
Mr. William P. Boswell, General Counsel, North American Energy Standards Board

Mr. Charles Berardesco, General Counsel, North American Electric Reliability Corporation
Mr. Mark Lauby, Vice President and Director, Standards, North American Electric Reliability Corporation
REPORT OF THE NORTH AMERICAN ENERGY STANDARDS BOARD

The North American Energy Standards Board (“NAESB”) is voluntarily submitting this report to the Federal Energy Regulatory Commission (“FERC” or “Commission”) in the above referenced docket to inform the Commission of errata to the NAESB Wholesale Electric Quadrant (“WEQ”) Version 003 business practice standards. This report is organized into appendices; the first four appendices reference the specific minor corrections as adopted by the WEQ Executive Committee. The last three appendices reference the WEQ Executive Committee action approving the minor corrections on October 23, 2012, the notice to WEQ membership of the WEQ EC adoption of the minor correction distributed on October 30, 2012, and the NAESB Operating Procedures for minor clarifications and corrections to standards.

The list of appendices shown below in tabular form include the FERC docket number(s) for the amended standard(s), the version(s) of standard(s) amended, and a description of the amendments:

<table>
<thead>
<tr>
<th>Appendix No.</th>
<th>Minor Correction Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Appendix 1</td>
<td><strong>MC12032</strong> applies to Docket No. RM05-5-022 and Version 003 of the NAESB WEQ business practice standards</td>
</tr>
<tr>
<td></td>
<td>Minor Correction – make consistency changes for the movement of the NERC TSIN registry to the NAESB EIR registry in the following NAESB WEQ Business Practice Standards, Version 003:</td>
</tr>
<tr>
<td></td>
<td>• Business Practice Standards WEQ-000 Abbreviations, Acronyms, and Definitions of Terms</td>
</tr>
<tr>
<td></td>
<td>• Business Practice Standards WEQ-001 Open Access Same-Time Information Systems (OASIS), Version 2.0</td>
</tr>
<tr>
<td></td>
<td>• Business Practice Standards WEQ-003 Open Access Same-Time Information Systems (OASIS) Data Dictionary, Version 2.0</td>
</tr>
<tr>
<td>Appendix No.</td>
<td>Minor Correction Description</td>
</tr>
<tr>
<td>-------------</td>
<td>-----------------------------</td>
</tr>
<tr>
<td>Appendix 2</td>
<td><strong>MC12034</strong> applies to Docket No. RM05-5-022 and Version 003 of the NAESB WEQ business practice standards</td>
</tr>
<tr>
<td></td>
<td>Minor Correction – to avoid confusion the acronym “ISO – International Organization for Standardization” was deleted and spelled out as “International Organization for Standardization Standard” and the acronym “VEE – Validation Editing and Estimation” was changed to “VEE – Validating, Editing and Estimating” in the following NAESB WEQ Business Practice Standards, Version 003:</td>
</tr>
<tr>
<td></td>
<td>• Business Practice Standards WEQ-000 Abbreviations, Acronyms, and Definitions of Terms</td>
</tr>
<tr>
<td></td>
<td>• Business Practice Standards WEQ-019 Customer Energy Usage Information Communication</td>
</tr>
<tr>
<td>Appendix 3</td>
<td><strong>MC12035</strong> applies to Docket No. RM05-5-022 and Version 003 of the NAESB WEQ business practice standards</td>
</tr>
<tr>
<td></td>
<td>Minor Correction – make consistency changes (capitalization) based on terms documented in NAESB WEQ Business Practice Standards WEQ-000 Abbreviations, Acronyms, and Definition of Terms by changing “Firm” to “firm” and update references to NERC documents in the following NAESB WEQ Business Practice Standards, Version 003:</td>
</tr>
<tr>
<td></td>
<td>• Business Practice Standards WEQ-008 Transmission Loading Relief (TLR) – Eastern Interconnection</td>
</tr>
<tr>
<td>Appendix 4</td>
<td><strong>MC12036</strong> applies to Docket No. RM05-5-022 and Version 003 of the NAESB WEQ business practice standards</td>
</tr>
<tr>
<td></td>
<td>Minor Correction – make consistency changes in the use of “template” to the defined term “OASIS Template” in the following NAESB WEQ Business Practice Standards, Version 003:</td>
</tr>
<tr>
<td></td>
<td>• Business Practice Standards WEQ-001 Open Access Same-Time Information Systems (OASIS), Version 2.0</td>
</tr>
<tr>
<td></td>
<td>• Business Practice Standards WEQ-002 Open Access Same-Time Information Systems (OASIS) and Communication Protocol (S&amp;CP), Version 2.0</td>
</tr>
<tr>
<td></td>
<td>• Business Practice Standards WEQ-003 Open Access Same-Time Information Systems (OASIS) Data Dictionary, Version 2.0</td>
</tr>
<tr>
<td>Appendix 5</td>
<td>NAESB WEQ Executive Committee notational ballot results approving NAESB WEQ minor corrections.</td>
</tr>
<tr>
<td>Appendix 6</td>
<td>Notice to WEQ members of Executive Committee adoption of minor corrections.</td>
</tr>
<tr>
<td>Appendix 7</td>
<td>NAESB operating procedures for minor clarifications and corrections to standards.</td>
</tr>
</tbody>
</table>
MC12032
Approved by the WEQ Executive Committee on October 23, 2012
North American Energy Standards Board

Request for Minor Correction/Clarification of a NAESB Business Practice Standard, Model Business Practice or Electronic Transaction

Date of Request: September 12, 2012

1. Submitting Entity & Address:
   JT Wood
   Southern Company Services, Inc.
   600 North 18th Street
   Birmingham, AL 35291-8210

2. Contact Person, Phone #, Fax #, Electronic Mailing Address:
   Name: JT Wood
   Title: Reliability Standards Project Manager
   Phone: 205-769-7328
   Fax: 205-769-7344
   E-mail: jtwood@southernco.com

3. Version and Standard Number(s) suggested for correction or clarification:
   NAESB WEQ Business Practice Standards, Version 003:
   - NAESB Business Practice Standards WEQ-000 Abbreviations, Acronyms, and Definitions of Terms
   - NAESB Business Practice Standards WEQ-001 Open Access Same-Time Information Systems (OASIS), Version 2.0
4. Description of Minor Correction/Clarification including redlined standards corrections:

**NAESB Business Practice Standards WEQ-000 Abbreviations, Acronyms, and Definitions of Terms**

**WEQ-000-1 ABBREVIATIONS AND ACRONYMS**

**TSIN**  
Transmission System Information Network

**NAESB Business Practice Standards WEQ-001 Open Access Same-Time Information Systems (OASIS), Version 2.0**

001-2.1 A Transmission Provider shall use the values and definitions below for the service period attributes, SERVICE_INCREMENT and TS_WINDOW for all Transmission Services offered on OASIS, or shall register/post alternative service period values and associated definitions in the EI Ron the OASIS Home Page at http://www.tsin.com, or shall use existing attribute values and definitions registered posted by other Transmission Providers. (See Business Practice Standard WEQ-001-3 for registration requirements.)

001-2.2 A Transmission Provider shall use the values and definitions below to describe the service class, TS_CLASS, for Transmission Services offered on OASIS, or shall register/post alternative TS_CLASS attribute values and associated definitions in the EI Ron the OASIS Home Page at http://www.tsin.com, or shall use the attribute values and definitions registered posted by other Transmission Providers. (See Business Practice Standard WEQ-001-3 for registration requirements.)

001-2.3 A Transmission Provider shall use the values and definitions below to describe the service type, TS_TYPE, for Transmission Services offered on OASIS, or shall register/post alternative attribute values and associated definitions in the EI Ron the OASIS Home Page at http://www.tsin.com, or shall use the attribute values and definitions registered posted by other Transmission Providers. (See Business Practice Standard WEQ-001-3 for registration requirements.)

001-2.4 A Transmission Provider that has adopted NERC TLR procedures shall use the Curtailment priority definitions contained in those procedures for all Transmission Services offered on OASIS. A Transmission Provider that has adopted alternative Curtailment procedures shall register/post its alternative...
attribute values and associated definitions in the EIR on the OASIS Home Page at http://www.tsin.com, or shall use attribute values and definitions registeredposted by another Transmission Provider. (See Business Practice Standard WEQ-001-3 for registration requirements.)

001-2.5 A Transmission Provider shall use the definitions below to describe the AS_TYPEs offered on OASIS, or shall registerpost alternative attribute values and associated definitions in the EIR on the OASIS Home Page at http://www.tsin.com, or shall use attribute values and definitions registeredposted by another Transmission Provider. (See Business Practice Standard WEQ-001-3 for registration requirements.)

001-3.1 All entities or persons using OASIS shall register the identity of their organization (including DUNS number) or person in the EIR on the OASIS Home Page at http://www.tsin.com. Registration identification shall include the parent entity (if any) of the registrant. Registration shall be a prerequisite to OASIS usage and renewed annually and whenever changes in identification occur and thereafter. An entity or person not complying with this requirement or providing false information may be denied access by a Transmission Provider to that Transmission Provider’s OASIS Node.

The registration requirement applies to any entity logging onto OASIS for the purpose of using or updating information, including Transmission Providers, Transmission Customers, Observers, Control Areas, Security Coordinators, and Independent System Operators.

Process to Register Non-Standard Service Attribute Values

Business Practice Standard WEQ-001-2 addresses the use of standard terminology in defining services on OASIS. These standard definitions for service attribute values will be registeredposted publicly in the EIR on the OASIS Home Page at http://www.tsin.com and may be used by all Transmission Providers to offer Transmission Services and ancillary services on OASIS. If the Transmission Provider determines that the standard definitions are not applicable, the Transmission Provider may register new attribute values and definitions in the EIR on the OASIS Home Page. Any Transmission Provider may use the attribute values and definitions registeredposted by another Transmission Provider.

001-3.2 Providers of Transmission Services and ancillary services shall use only attribute values and definitions that have been registered in the EIR on the OASIS Home Page at http://www.tsin.com for all Transmission Services and ancillary services offered on their OASIS.

001-3.3 Providers of Transmission Services and ancillary services shall endeavor to use on their OASIS Nodes attribute values and definitions that have been registeredposted by other Transmission Providers in the EIR on the OASIS Home Page.
Registration of PORs and PODs

In order to improve coordination of path naming and to enhance the identification of commercially available connection points between Transmission Providers and Regional Entities, the Business Practice for OASIS requires that:

I. Transmission Providers register in the EIR at the OASIS Home Page at http://www.tsin.com, all service points (PORs and PODs) for which Transmission Service is available over the OASIS.

II. Each Transmission Provider would then indicate on its OASIS Node, for each Posted Path on its OASIS Node, the PORs and PODs to which each path is connected.

A Transmission Provider is not required to register specific generating stations as PORs, unless they were available as service points for the purposes of reserving Transmission Service on OASIS. The requirement also does not include registration of regional Flowgates, unless they are service points for the purposes of reserving Transmission Service on OASIS.

001-3.4 A Transmission Provider shall register and thereafter maintain in the EIR on the OASIS Home Page at http://www.tsin.com all PORs and PODs to and from which a Transmission Customer may reserve and schedule Transmission Service.

001-3.5 For each reservable Posted Path on their OASIS Nodes, Transmission Providers shall indicate the available PORs and PODs for that path. These PORs and PODs shall be from the list registered in the EIR on the OASIS Home Page at http://www.tsin.com.

001-6.4 A Transmission Provider may designate a sub-level for PORs and PODs. For example, a Transmission Customer reserves a path to POD AAAA. The ultimate load may be indeterminate at the time. Later, the Transmission Customer schedules energy to flow to a particular load that may be designated by the Transmission Provider as a sub-level POD. This option is necessary to ensure certain Transmission Providers are not precluded from using more specific service points by the inclusion of the POR/POD in the path name. All sub-level PORs and PODs must be registered as such in the EIR on http://www.tsin.com.

001-101.12.1 For customer-owned or customer-leased generation, the Eligible Customer or Transmission Customer shall use the EIRTSIN-registered Source name.

001-101.13.1 For all requests to designate Network Load, the Eligible Customer or
Transmission Customer shall use the **EIRTSIN**-registered Sink name.

### NAESB Business Practice Standards WEQ-003 Open Access Same-Time Information Systems (OASIS) Data Dictionary, Version 2.0

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<thead>
<tr>
<th>Delete first occurrence of AS_TYPE</th>
<th>ASTYPE</th>
<th>1(ALPHANUMERIC)20</th>
<th>Valid Values:</th>
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<tr>
<td></td>
<td>ASTYPE</td>
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<td>SC – Scheduling, system Control and Dispatch</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>RV – Reactive Supply and Voltage Control</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>RF – Regulation and Frequency Response</td>
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<tr>
<td></td>
<td></td>
<td></td>
<td>EI – Energy Imbalance</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>SP – Spinning Reserve</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>SU – Suppemental Reserve</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>GI – Generator Imbalance</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>DT – Dynamic Transfer</td>
</tr>
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<td></td>
<td></td>
<td></td>
<td>TL – Real power</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>BS – System Black Start Capability</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(Registered) – must be registered with <a href="http://www.tsin.com">www.tsin.com</a> and listed in the ancserv Template</td>
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</table>

<table>
<thead>
<tr>
<th>AS_TYPE</th>
<th>ASTYPE</th>
<th>1(ALPHANUMERIC)20</th>
<th>Valid Values:</th>
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</tr>
<tr>
<td></td>
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<td></td>
<td>TL – Real power</td>
</tr>
</tbody>
</table>
Transmission Loss  
BS – System  
Black Start  
Capability  
(Registered) – must be registered in the EIR with www.tsin.com and listed in the ancserv Template

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<thead>
<tr>
<th>Field</th>
<th>Code</th>
<th>Format</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>CUSTOMER_CODE</td>
<td>CUST</td>
<td>1{ALPHANUMERIC}6</td>
<td>Unique value, registered in the EIR with <a href="http://www.tsin.com">www.tsin.com</a>. Any entity that is eligible to view OASIS information, to execute a service agreement, and/or to receive Transmission Service.</td>
</tr>
<tr>
<td>PRIMARY_PROVIDER_CODE</td>
<td>PROVIDER</td>
<td>1{ALPHANUMERIC}4</td>
<td>Unique code for each Transmission Provider. Used by PATH_NAME and in URL. Registered as part of URL in the EIR at <a href="http://www.tsin.com">www.tsin.com</a>.</td>
</tr>
<tr>
<td>SERVICE_INCREMENT</td>
<td>SRVINC</td>
<td>1{ALPHANUMERIC}8</td>
<td>Valid Values: HOURLY DAILY WEEKLY MONTHLY YEARLY (Registered) The Transmission Service increments provided. Five are pre-defined, while additional increments can be used if they are registered in the EIR with <a href="http://www.tsin.com">www.tsin.com</a> and shown in the Transmission Provider's list Template.</td>
</tr>
<tr>
<td>TS_CLASS</td>
<td>TSCLASS</td>
<td>1{ALPHANUMERIC}20</td>
<td>Valid Values: FIRM NON-FIRM TTC SECONDARY (Registered) The Transmission Service classes provided. Four are pre-defined, while additional classes can be used if they are registered in the EIR with <a href="http://www.tsin.com">www.tsin.com</a> and shown in the Transmission Provider's list Template page. SECONDARY is defined as alternate PORs or PODs for POINT_TO_POINT, or as non-designated</td>
</tr>
</tbody>
</table>
5. **Reason for Minor Correction/Clarification:**

To make consistency changes to the NAESB OASIS Business Practice Standards for the movement of the NERC TSIN registry to the NAESB EIR registry.

<table>
<thead>
<tr>
<th>Field</th>
<th>Short Form</th>
<th>Pattern</th>
<th>Valid Values</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>TS_PERIOD</td>
<td>TSPER</td>
<td>1(ALPHANUMERIC)20</td>
<td>ON_PEAK, OFF_PEAK, FULL_PERIOD (Registered)</td>
<td>The Transmission Service periods provided. Three are pre-defined, while additional periods can be used if they are registered in the EIR on <a href="http://www.tsin.com">www.tsin.com</a> and shown in the Transmission Provider's list Template.</td>
</tr>
<tr>
<td>TS_TYPE</td>
<td>TSTYPE</td>
<td>1(ALPHANUMERIC)20</td>
<td>POINT_TO_POINT, NETWORK, ATC (Registered)</td>
<td>The Transmission Service types provided. Three are pre-defined, while additional types can be used if they are registered in the EIR on <a href="http://www.tsin.com">www.tsin.com</a> and shown in the Transmission Provider's list Template.</td>
</tr>
<tr>
<td>TS_WINDOW</td>
<td>TSWIND</td>
<td>1(ALPHANUMERIC)20</td>
<td>FIXED, SLIDING, EXTENDED, NEXT_INCREMENT (Registered)</td>
<td>The Transmission Service windows provided. Four are pre-defined, while additional windows can be used if they are registered in the EIR on <a href="http://www.tsin.com">www.tsin.com</a> and shown in the Transmission Provider's list Template.</td>
</tr>
</tbody>
</table>
Appendix 2 contains **Minor Correction MC12034**, minor correction to NAESB WEQ Business Practice Standards, Version 003: Business Practice Standards WEQ-000 Abbreviations, Acronyms, and Definitions of Terms, and Business Practice Standards WEQ-019 Customer Energy Usage Information Communication as approved by the WEQ EC on October 23, 2012.
MC12034
Approved by the WEQ Executive Committee on October 23, 2012
North American Energy Standards Board

Request for Minor Correction/Clarification of a NAESB Business Practice Standard, Model Business Practice or Electronic Transaction

Date of Request: September 19, 2012

3. Submitting Entity & Address:
   Ed Skiba
   MISO
   P.O. Box 4202
   Carmel IN 46082-4202

4. Contact Person, Phone #, Fax #, Electronic Mailing Address:
   Name: Ed Skiba
   Title: Consulting Advisor
   Phone: 317-249-5377
   Fax: 317-249-5358
   E-mail: eskiba@misoenergy.org

3. Version and Standard Number(s) suggested for correction or clarification:
   NAESB WEQ Business Practice Standards, Version 003:
   NAESB Business Practice Standards WEQ-000 Abbreviations, Acronyms, and Definitions of Terms
   NAESB Business Practice Standards WEQ-019 Customer Energy Usage Information Communication.

4. Description of Minor Correction/Clarification including redlined standards corrections:

   WEQ-000-1 Abbreviations and Acronyms
   
   ISO Independent System Operator
   ISO International Organization for Standardization
   VEE Validating, Editing and Estimating
   VEE Validation Editing and Estimation
WEQ-000-2 Definitions of Terms

Power Plant Gas Coordinator (PPGC)

The entity(ies) responsible for acquiring natural gas to meet a PPGC Facility’s operating requirements and for scheduling the delivery of said natural gas to the PPGC Facility that has responsibility for gas requirements for a natural gas-fired electric generating facility(ies) and is responsible for coordinating natural gas deliveries with the appropriate Transportation Service Provider(s) to meet those requirements. The PPGC may perform some or all of the following coordinated activities, including, but not limited to, power plant operations, unit dispatch, natural gas procurement and/or gas transportation arrangements. Because each PPGC is structured differently, specific responsibilities within each PPGC should be determined by the PPGC and the point of contact for the PPGC should be communicated to the Transportation Service Provider(s).

(Note: This also applies to NAESB WGQ Standard Nos. 0.2.1, 0.2.2, 0.3.11, 0.3.12, 0.3.13, 0.3.14, and 0.3.15)

Validation Editing and Estimation

Validating, Editing and Estimating (VEE)

The process of confirming the accuracy of raw meter data and, if necessary, replacing corrupt or missing data. VEE guidelines are published in the Edison Electric Institute’s Uniform Business Practices for Unbundled Electricity Metering.

WEQ-019 Sections

019-3.1.1
019-3.1.8
019-3.1.42

ISO-International Organization for Standardization
Standard ISO

5. Reason for of Minor Correction/Clarification:

There are two different meanings for ISO currently in WEQ-000: a) Independent System Operator and b) International Organization for Standardization. To avoid confusion it is recommended that the acronym for International Organization for Standardization should not be used. Rather, it should be spelled out. Conforming changes are required for WEQ-019.

After reviewing the WEQ Demand Response/Energy Subcommittee co-chairs reviewed the Edison Electric Institute’s standards it was recommended that VEE be changed to Validating, Editing and Estimating. Conforming changes are also being made to WEQ-000-2.

Correct a typographical error in definition of Power Plant Gas Coordinator.
MC12035
Approved by the WEQ Executive Committee on October 23, 2012
North American Energy Standards Board

Request for Minor Correction/Clarification of a NAESB Business Practice Standard, Model Business Practice or Electronic Transaction

Date of Request: September 19, 2012

5. Submitting Entity & Address:

Ed Skiba
MISO
P.O. Box 4202
Carmel IN 46082-4202

6. Contact Person, Phone #, Fax #, Electronic Mailing Address:

Name: Ed Skiba
Title: Consulting Advisor
Phone: 317-249-5377
Fax: 317-249-5358
E-mail: eskiba@misoenergy.org

3. Version and Standard Number(s) suggested for correction or clarification:

NAESB WEQ Business Practice Standards, Version 003:
NAESB Business Practice Standards WEQ-008 Transmission Loading Relief (TLR) – Eastern Interconnection

4. Description of Minor Correction/Clarification including redlined standards corrections:

**NAESB WEQ-008 Transmission Loading Relief**

Redline:

008-2.2.1.1 The RC shall consider the entire Interchange Transaction non-firm if the transmission link (i.e. a segment on the Contract Path) on the Constrained Facility or Flowgate is Non-Firm Transmission Service, even if other links in the Contract Path are Firm-firm.

Clean:

008-2.2.1.1 The RC shall consider the entire Interchange Transaction non-firm if the transmission link (i.e. a segment on the Contract Path) on the Constrained Facility or Flowgate is Non-Firm Transmission Service, even if other links in the Contract Path are firm.
Minor correction for Business Practice Standard WEQ-008-3.3.1 to delete “(as found in current version of NERC IRO-006-4)” This is not the current version of the standards, and IRO-006-5 no longer includes anything about Reallocation.

Redline:

008-3.3.1 The RC shall allow those Interchange Transactions using Firm Transmission Service that have been submitted prior to the NERC-approved Tag submission deadline for Reallocation (as found in current version of NERC IRO-006-4) to be initiated as scheduled.

Clean:

008-3.3.1 The RC shall allow those Interchange Transactions using Firm Transmission Service that have been submitted prior to the NERC-approved Tag submission deadline for Reallocation to be initiated as scheduled.

Minor correction for Business Practice Standard WEQ-008-3.3.1.2 to change INT-004.1 to INT-004.2.

Redline:

008-3.3.1.2 Reallocations for Dynamic Schedules are as follows: If an Interchange Transaction is identified as a Dynamic Schedule and the Transmission Service is considered firm according to the constrained path method, then it will not be held by the IDC during TLR level 4 or lower. Adjustments to Dynamic Schedules in accordance with current version of NERC INT-004-1 INT-004-2 will not be held under TLR level 4 or lower.

Clean:

008-3.3.1.2 Reallocations for Dynamic Schedules are as follows: If an Interchange Transaction is identified as a Dynamic Schedule and the Transmission Service is considered firm according to the constrained path method, then it will not be held by the IDC during TLR level 4 or lower. Adjustments to Dynamic Schedules in accordance with current version of NERC INT-004-2 will not be held under TLR level 4 or lower.

Redline

008-A Appendix A – Examples of On-Path and Off-Path Mitigation

... Case 1: E is a Non-Firm non-firm monthly path, C Non-Firm non-firm hourly; E has Constraint at #2.

- E may call RC for TLR procedure to relieve overload at Constraint #2.
- Interchange Transaction A-D may be curtailed by TLR action as though it was being served by Non-Firm non-firm monthly PTP, even though it was using Non-Firm non-firm hourly PTP from C. That
is, it takes on the priority of the link with the Constrained Facility or Flowgate along the Contract Path. (See Business Practice Standard WEQ-008-2.2.)

Case 2: E is a **Non-Firm non-firm** hourly path, C is firm; E has Constraint at #2.

- Although C is providing Firm Transmission Service, the Constraint is not on C's system; therefore, E is not obligated to treat the Interchange Transaction as though it was being served by Firm Transmission Service.
- E may call RC for TLR procedure to relieve overload at Constraint #2.
- Interchange Transaction A-D may be curtailed by TLR action as though it was being served by **Non-Firm non-firm** hourly PTP, even though it was using Firm Transmission Service from C. That is, when the Constraint is on the Contract Path, the Interchange Transaction takes on the priority of the link with the Constrained Facility or Flowgate. (See Business Practice Standard WEQ-008-2.2.)

Case 3: E is a **Non-Firm non-firm** hourly path, C is firm, B has Constraint at #1.

- B may call RC for TLR procedure to relieve overload at Constraint #1.
- Interchange Transaction A-D may be curtailed by TLR action as though it was being served by **Non-Firm non-firm** hourly PTP, even if it was using Firm Transmission Service elsewhere on the path. When the Constraint is off the Contract Path, the Interchange Transaction takes on the lowest priority reserved on the Contract Path. (See Business Practice Standard WEQ-008-2.3.)

... Clean

008-A Appendix A – Examples of On-Path and Off-Path Mitigation

... Case 1: E is a *non-firm* monthly path, C *non-firm* hourly; E has Constraint at #2.

- E may call RC for TLR procedure to relieve overload at Constraint #2.
- Interchange Transaction A-D may be curtailed by TLR action as though it was
being served by non-firm monthly PTP, even though it was using non-firm hourly PTP from C. That is, it takes on the priority of the link with the Constrained Facility or Flowgate along the Contract Path. (See Business Practice Standard WEQ-008-2.2.)

Case 2: E is a non-firm hourly path, C is firm; E has Constraint at #2.

- Although C is providing Firm Transmission Service, the Constraint is not on C’s system; therefore, E is not obligated to treat the Interchange Transaction as though it was being served by Firm Transmission Service.
- E may call RC for TLR procedure to relieve overload at Constraint #2.
- Interchange Transaction A-D may be curtailed by TLR action as though it was being served by non-firm hourly PTP, even though it was using Firm Transmission Service from C. That is, when the Constraint is on the Contract Path, the Interchange Transaction takes on the priority of the link with the Constrained Facility or Flowgate. (See Business Practice Standard WEQ-008-2.2.)

Case 3: E is a non-firm hourly path, C is firm, B has Constraint at #1.

- B may call RC for TLR procedure to relieve overload at Constraint #1.
- Interchange Transaction A-D may be curtailed by TLR action as though it was being served by non-firm hourly PTP, even if it was using Firm Transmission Service elsewhere on the path. When the Constraint is off the Contract Path, the Interchange Transaction takes on the lowest priority reserved on the Contract Path. (See Business Practice Standard WEQ-008-2.3.)

5. Reason for Minor Correction/Clarification:

Changes are being submitted to reflect updates references to NERC documents and to make conforming changes based on terms documented in WEQ-000.
MC12036
Approved by the WEQ Executive Committee on October 23, 2012
North American Energy Standards Board

Request for Minor Correction/Clarification of a NAESB Business Practice Standard, Model Business Practice or Electronic Transaction

Date of Request: September 19, 2012

7. Submitting Entity & Address:

JT Wood
Southern Company Services, Inc.
600 North 18th Street
Birmingham, AL 35291-8210

8. Contact Person, Phone #, Fax #, Electronic Mailing Address:

Name: JT Wood
Title: Reliability Standards Project Manager
Phone: 205-769-7328
Fax: 205-769-7344
E-mail: jtwood@southernco.com

3. Version and Standard Number(s) suggested for correction or clarification:

NAESB WEQ Business Practice Standards, Version 003:
- NAESB Business Practice Standards WEQ-001 Open Access Same-Time Information Systems (OASIS), Version 2.0
- NAESB Business Practice Standards WEQ-002 Open Access Same-Time Information Systems (OASIS) and Communication Protocol (S&CP), Version 2.0

4. Description of Minor Correction/Clarification including redlined standards corrections:
NAESB Business Practice Standards WEQ-001 Open Access Same-Time Information Systems (OASIS), Version 2.0

001-22.2 The Transmission Provider shall post all scheduled use of CBM and any Curtailments of those schedules in accordance with NAESB Business Practice Standards WEQ-002 and WEQ-013 such that these schedules may be queried, viewed and audited using the scheduledetail OASIS Template.

NAESB Business Practice Standards WEQ-002 Open Access Same-Time Information Systems (OASIS) and Communication Protocol (S&CP), Version 2.0

002-4.2.7.4 Data Records

Data records immediately follow the standard input or response header records. With the exception of data records grouped together as a single "logical record" through the use of Continuation Records, each data record in a CSV formatted input message represents a single, complete execution of the associated OASIS Template. That is, sending five CSV formatted input messages for a given OASIS Template to the same PRIMARY_PROVIDER_CODE with a single data record per message shall be handled in exactly the same fashion as sending a single CSV formatted input message for the same OASIS Template and PRIMARY_PROVIDER_CODE which contains five data records. Each field (column) within each data record defines the value to be associated with the corresponding Data Element defined in the COLUMN_HEADERS record. The number of data records in the message is defined by the DATA_ROWS header record. The data values associated with each column Data Element are interpreted based on the Data Element type as defined in the OASIS Data Dictionary.

002-4.2.10.4 Use of Comments

PTP and ancillary service reservation OASIS Templates support the following text Data Elements to be used to communicate information between parties (i.e., Transmission Provider, Reseller, and Transmission Customer) to a transaction:

002-4.3.1 Template Summary

The following table provides a summary of the process areas, and OASIS Templates to be used by users to query information that will be downloaded or to upload information to the Transmission Provider’s OASIS. These processes define the functions that must be supported by an OASIS Node related to the general OASIS, PTP and related ancillary service information.

002-4.3.4.3 Transmission Reservation Reduction (reduction)

If required by tariff or regulations, this OASIS Template is also used to document the periods of time when the Transmission Provider reduces the service Curtailment priority for CCO Reservation (i.e., System Impact Study CCO) due to either a change in system conditions or service term over which the priority of service is reduced from Firm Transmission Service priority 7, to Non-Firm Transmission Service priority 6.

In response to a reduction OASIS Template query, each primary record returned (CONTINUATION_FLAG = N) shall include the ASSIGNMENT_REF, CAPACITY_GRANTED and CAPACITY_AVAILABLE in MWs over the interval from START_TIME to STOP_TIME. CAPACITY_GRANTED is derived from the transmission reservation's CAPACITY_GRANTED. CAPACITY_AVAILABLE is derived from the transmission reservation’s CAPACITY_GRANTED less all reductions (if any) in reserved capacity (if any) over the interval from START_TIME to STOP_TIME as specified in the CAPACITY_REDUCED (as negative valued MWs) Data Element. REDUCTION_TYPE, REDUCTION_REASON, IMPACTING_REF, CAPACITY_REDUCED, and NERC_CURTAILMENT_PRIORITY shall be null in each primary record returned in the reduction OASIS Template response; these Data Elements shall be reported in Continuation Records, as appropriate, documenting all...
reductions in capacity and/or service Curtailment priority that are in effect over the START_TIME/STOP_TIME Interval.

002-4.3.4.4  System Data (systemdata)

....

Narratives related to specific changes in posted TTC/TFC and/or ATC values shall be denoted by the SYSTEM_ATTRIBUTE of ZERO_FATC_NARRATIVE, ZERO_NFATC_NARRATIVE, FATC_CHANGES_NARRATIVE, or NFATC_CHANGE_NARRATIVE, and the specific narrative corresponding to the TTC/TFC/ATC postings shall be placed in the ANNOTATION Data Element. The specific values for TTC and/or ATC are posted under the systemdata OASIS Template for the SYSTEM_ATTRIBUTES of TTC, FATC and NFATC, etc.

002-4.3.6.2.1  Renewal Provisions (rollover)

The Renewal Provisions (rollover) OASIS Template provides users with additional information related to the specific provisions for exercising the right to renewal/rollover the associated Transmission Service reservation.

....

If there is no additional renewal/rollover information associated with a reservation selected via the query parameters, there will be no record returned in the OASIS Template response for that reservation.

The query parameters below are to be applied in the same way as to the associated reservation Data Elements as defined in the transstatus OASIS Template to select the specific reservations whose renewal/rollover information is to be returned.

002-4.3.6.2.2  CCO Provisions (cco)

The CCO provisions (cco) OASIS Template provides users with additional information related to the specific provisions of a CCO Reservation.

The query parameters associated with this OASIS Template may be specified by the user to limit the set of service reservations whose CCO provisions are to be returned in the OASIS Template response.

....

The query parameters below are to be applied in the same way as to the associated reservation Data Elements as defined in the transstatus OASIS Template to select the specific reservations whose CCO Reservation information is to be returned.

002-4.3.6.2.3  Coordinated Group Status (cgstatus)

The Coordinated Group Status (cgstatus) query OASIS Template provides users with additional information related to a Coordinated Request’s associated transmission requests and any reservations, if applicable, that have been submitted as the Coordinated Group.

The query parameters associated with this OASIS Template may be specified by the user to limit the set of service requests or reservations whose Coordinated Group information is to be returned in the OASIS Template response.

If there is no Coordinated Group information associated with the request(s) or reservation(s) selected via the query parameters, no records will be returned in the OASIS Template response for that request/reservation.
002-4.3.10.4 Personnel Transfers (personnel)

The Personnel Transfers (personnel) OASIS Template is used to post a notice of a transfer of a transmission function employee to a position as a marketing function employee, or a transfer of a marketing function employee to a position as a transmission function employee, as described in 18 CFR Part 358. The personnel OASIS Template is an optional OASIS Template to be implemented at the Transmission Provider’s discretion.

002-4.3.10.5 Discretion (discretion)

The Discretion (discretion) OASIS Template is used to post a notice of a waiver of a tariff provision that a Transmission Provider grants in favor of an Affiliate, as described in 18 CFR Part 358. The discretion OASIS Template is an optional OASIS Template to be implemented at the Transmission Provider’s discretion.

002-4.3.10.6 Standards of Conduct (stdconduct)

The Standards of Conduct (stdconduct) OASIS Template indicates when information is disclosed in a manner contrary to the Standards of Conduct, as described in 18 CFR Part 358. The stdconduct OASIS Template is an optional OASIS Template to be implemented at the Transmission Provider’s discretion.

002-4.3.11 OASIS Audit Log

* A Transmission Provider is only required to implement the audit log OASIS Templates personnelaudit, discretionaudit, and stdconductaudit if and when the Transmission Provider also uses the personnel, discretion, stdconduct OASIS Templates for posting Standards of Conduct information required under 18 CFR Part 358.

002-4.3.11.3.1 CSV Response Header Records

Immediately following the MODIFYING_NAME column header, each of the standard non-audit counterpart OASIS Template's Data Elements shall be listed in the exact sequence defined for that non-audit OASIS Template.

Finally, OASIS implementations may include additional Data Elements identified by unique column headers appended after the fixed audit and standard OASIS Template Data Elements. These additional Data Elements may be used to convey implementation specific information maintained in the OASIS database in association with the data being audited.

002-4.3.11.5 Special Audit OASIS Template Considerations

Transoffering

For those OASIS implementations that handle TTC/ATC information separately from the posting of commercial offers of service, audit reports generated by the transofferingaudit OASIS Template may be limited to only reporting changes to the Data Elements associated with the commercial aspects of the offer (e.g., OFFER_PRICE, OFFER_START_TIME, etc.), and may return a null value for the CAPACITY Data Element. These OASIS Nodes shall use the systemdataaudit OASIS Template audit reporting facility to allow for the full auditing of changes made to TTC and ATC postings as required under FERC regulations.

Scheduledetail
The **scheduledetail** OASIS Template combines information from one or more transmission reservations and transmission security event postings (e.g., TLRs) with information posted on actual scheduled use of the transmission system. Audit information related to changes made to a given transmission reservation shall be auditable using the **transstatusaudit** OASIS Template. Audit information related to the posting of transmission security events that led to a Curtailment or interruption of service, or the denial of a request to schedule service shall be auditable using the **securityaudit** OASIS Template. Therefore, the **scheduledetailaudit** OASIS Template shall only be required to report changes to the following Data Elements associated with the **scheduledetail** OASIS Template:

002-4.5.1 **INFO.HTM**

When a regulatory order requires informational postings on OASIS and there is no OASIS S&CP OASIS Template to support the postings or it is deemed inappropriate to use a OASIS Template, or the location of the posting has not been specified in Business Practice Standard WEQ-001-13, there shall be a reference in INFO.HTM to the required information, including, but not limited to, references to the following:

### NAESB Business Practice Standards WEQ-003 Open Access Same-Time Information Systems (OASIS) Data Dictionary, Version 2.0

<table>
<thead>
<tr>
<th>AS_TYPE</th>
<th>ASTYPE</th>
<th>1(ALPHANUMERIC)20</th>
<th>Valid Values:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>SC – Scheduling, system Control and Dispatch</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>RV – Reactive Supply and Voltage Control</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>RF – Regulation and Frequency Response</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>EI – Energy Imbalance</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>SP – Spinning Reserve</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>SU – Supplemental Reserve</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>GI – Generator Imbalance</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>DT – Dynamic Transfer</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>TL – Real power</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>BS – System Black Start Capability</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>{Registered} – must be registered in the EIR and listed in the <strong>ancserv</strong> OASIS Template</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>CATEGORY</th>
<th>CAT</th>
<th>0(ALPHANUMERIC)25</th>
<th>Valid name from CATEGORY in <strong>list OASIS</strong> Template</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>A name to be used to categorize messages. Valid names would include: want-ad, Curtailment, Outage, OASIS_Maintenance_Outage.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>COLUMN_HEADERS</th>
<th>HEADERS</th>
<th>1(ALPHANUMERIC)</th>
<th>Limited to all the Data Elements names in one <strong>OASIS</strong> Template</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Headers separated by commas. Limited to valid <strong>OASIS</strong> Template Data Element names. Must use full Data Element</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Example: COLUMN_HEADERS=PAT H_NAME,POI NT_OF_RECEIPT,POINT_ OF_DELIVERY, SOURCE,SINK</td>
<td></td>
</tr>
<tr>
<td>Field Name</td>
<td>Description</td>
<td>Format</td>
<td>Notes</td>
</tr>
<tr>
<td>----------------------------</td>
<td>------------------------------------------</td>
<td>-----------------</td>
<td>-------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>DATA</td>
<td>DATA</td>
<td>0{ALPHA}50</td>
<td>Query variable specifying a valid NITS Query/Response OASIS Data Template name whose associated Data Elements are to be returned in the query template response.</td>
</tr>
<tr>
<td>DISCRETION_DESCRIPTION</td>
<td>DISCDESC</td>
<td>0{ALPHANUMERIC}1000</td>
<td>A detailed description of the waiver being posted. The discretion OASIS Template and the related DISCRETION_DESCRIPTION Data Element are an optional implementation at the Transmission Provider’s discretion.</td>
</tr>
<tr>
<td>ELEMENT_NAME</td>
<td>ELEMENT</td>
<td>1{ALPHANUMERIC}40</td>
<td>OASIS Template element name as indicated in OASIS Data Dictionary.</td>
</tr>
<tr>
<td>EMPLOYEE_NAME</td>
<td>EMPNAME</td>
<td>1{ALPHANUMERIC}25</td>
<td>Name of person who is transferring from one position to another. The personnel OASIS Template and the related EMPLOYEE_NAME Data Element are an optional implementation at the Transmission Provider’s discretion.</td>
</tr>
<tr>
<td>FACILITY_CLASS</td>
<td>FACCLASS</td>
<td>0{ALPHANUMERIC}25</td>
<td>Type of limiting device such as ‘transformer’, ‘line’ or ‘Flowgate’</td>
</tr>
<tr>
<td>FACILITY_LIMIT_TYPE</td>
<td>FACLIMTYP</td>
<td>0{ALPHANUMERIC}25</td>
<td>For example: thermal, stability, voltage</td>
</tr>
<tr>
<td>FACILITY_LOCATION</td>
<td>FACLOC</td>
<td>0{ALPHANUMERIC}8</td>
<td>Location of facility that caused the interruption, either internal to the TP or external to the TP grid.</td>
</tr>
</tbody>
</table>
| FORMER_COMPANY             | FORMCO                                   | 1{ALPHANUMERIC}25 | Former company of the person who is transferring. The personnel OASIS Template and the related FORMER_COMPANY Data Element are an optional implementation at the
<table>
<thead>
<tr>
<th>Data Element</th>
<th>Description</th>
<th>Sample Value</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>FORMER_DEPARTMENT</td>
<td>Former department of the person who is transferring.</td>
<td>FORMDEPT</td>
<td>Former department of the person who is transferring. The personnel OASIS Template and the related FORMER_DEPARTMENT Data Element are an optional implementation at the Transmission Provider’s discretion.</td>
</tr>
<tr>
<td>FORMER_POSITION</td>
<td>Former position held by the person who is transferring.</td>
<td>FORMPOS</td>
<td>Former position held by the person who is transferring. The personnel OASIS Template and the related FORMER_POSITION Data Element are an optional implementation at the Transmission Provider’s discretion.</td>
</tr>
<tr>
<td>INPUT_STATUS</td>
<td>Error number indicating success/failure of OASIS to process the submitted NITS OASIS INPUT Template; 200 = Success. Failure indicates that some portion of the uploaded OASIS INPUT Template was malformed.</td>
<td>INSTATUS</td>
<td>Contains the registered company code that modified the transaction, used in the audit OASIS Templates.</td>
</tr>
<tr>
<td>MODIFYING_COMPANY_CODE</td>
<td>Registered company code for a TP, SC or CA</td>
<td>MODCODE</td>
<td>Contains the name of the person that modified the transaction, used in the audit OASIS Templates.</td>
</tr>
<tr>
<td>MODIFYING_NAME</td>
<td>Free form text</td>
<td>MODNAME</td>
<td>One of the NERC Curtailment priorities, documented in list OASIS Template.</td>
</tr>
<tr>
<td>NEW_COMPANY</td>
<td>New company of the person who is transferring.</td>
<td>NEWCO</td>
<td>New company of the person who is transferring. The personnel OASIS Template and the related NEW_COMPANY Data Element are an optional implementation at the Transmission Provider’s discretion.</td>
</tr>
<tr>
<td>NEW_DEPARTMENT</td>
<td>New department of the person who is transferring.</td>
<td>NEWDEPT</td>
<td>New department of the person who is transferring. The personnel OASIS Template and the related NEW_DEPARTMENT Data Element are an optional implementation at the Transmission Provider’s discretion.</td>
</tr>
<tr>
<td>Field</td>
<td>Abbreviation</td>
<td>Length</td>
<td>Description</td>
</tr>
<tr>
<td>------------------------------</td>
<td>--------------</td>
<td>--------</td>
<td>---------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>NEW_POSITION</td>
<td>NEWPOS</td>
<td>1{ALPHANUMERIC}25</td>
<td>Free form text New position held by the person who is transferring. The <strong>personnel</strong> OASIS Template and the related NEW_POSITION Data Element are an optional implementation at the Transmission Provider’s discretion.</td>
</tr>
<tr>
<td>OTHER_CURTAINMENT_PRIORITY</td>
<td>OTHCUR</td>
<td>0{ALPHANUMERIC}8</td>
<td>Valid Values: Other than NERC Curtailment priorities, such as regional Curtailment priorities. Suggested format region+number, for example MRO4, WECC7. Documented in list OASIS Template and registered with central EIR.</td>
</tr>
<tr>
<td>PRECONFIRMED</td>
<td>PRECONF</td>
<td>2(ALPHA)3</td>
<td>Valid Values: YES NO Used by Transmission Customer to preconfirm sale in OASIS Templates transrequest or ancrequest. If Transmission Customer indicates sale is preconfirmed, then the response is YES and the Transmission Customer does not need to confirm the sale.</td>
</tr>
<tr>
<td>RECORD_TYPE</td>
<td>RECTYPE</td>
<td>1(ALPHA)1</td>
<td>Valid Values: I U D Indicates the type of information reported in a response record generated by an audit OASIS Template. &quot;I&quot; designates information as it was initially inserted (posted) on OASIS; &quot;U&quot; designates information updated (modified) on OASIS; &quot;D&quot; designates deleted information as it appeared on OASIS just prior to being deleted (as appropriate).</td>
</tr>
<tr>
<td>REQUEST</td>
<td>REQ</td>
<td>0(ALPHA)50</td>
<td>Valid values: Any of the valid NITS Input/Response OASIS Request Template names as specified in WEQ-002 Query variable specifying a valid NITS Input/Response OASIS Request Template names whose associated Data Elements are to be returned in the query template response.</td>
</tr>
</tbody>
</table>
| RESPONSIBLE_PARTY_NAME       | PARTNAME     | 1(ALPHANUMERIC)25 | Free form text The name of the person responsible for granting the waiver. The **discretion** OASIS Template and the related RESPONSIBLE_PARTY_NAME Data Element are an
<table>
<thead>
<tr>
<th>Field</th>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>SECURITY_REF</td>
<td>SECREF</td>
<td>Unique value generated by company initiating the security for each security event in the security OASIS Template.</td>
</tr>
<tr>
<td>SERVICE_INCREMENT</td>
<td>SRVINC</td>
<td>Valid Values: HOURLY, DAILY, WEEKLY, MONTHLY, YEARLY. Additional increments can be used if registered in the EIR and shown in the Transmission Provider’s list OASIS Template.</td>
</tr>
<tr>
<td>START_TIME</td>
<td>STIME</td>
<td>Valid date and time to seconds: yyyy+mo+dd+hh+mm+ss+tz. Stop date and clock time of a service. When used as a Query Variable, it requires the return of all items whose Stop time is after the Start time. Note that for some OASIS Templates when used as a Query Variable the time may be only valid up to the hour, day or month.</td>
</tr>
<tr>
<td>STOP_TIME</td>
<td>SPTIME</td>
<td>Valid date and time: yyyy+mo+dd+hh+mm+ss+tz. Stop date and clock time. When used as a Query Variable, it requires the return of all items which start before the stop time. Note that for some OASIS Templates when used as a Query Variable the time may be only valid up to the hour, day or month.</td>
</tr>
</tbody>
</table>
## Appendix 4 – Minor Correction MC12036

Errata for NAESB Wholesale Electric Quadrant Business Practice Standards, Version 003

December 20, 2012

| SYSTEM_ATTRIBUTE | SYSATTR | 0(ALPHANUMERIC)20 | Valid Values: CBM FTRM NFTRM TTC FATC NFATC FGF NFGF ATC_ANNOTATION ZERO_FATC_NARRATIVE ZERO_NFATC_NARRATIVE FATC_CHANGE_NARRATIVE NFATC_CHANGE_NARRATIVE ZONE_FORECASTED_LOAD SYSTEM_FORECASTED_LOAD NATIVE_FORECASTED_LOAD ZONE_ACTUAL_LOAD SYSTEM_ACTUAL_LOAD NATIVE_ACTUAL_LOAD | Type of system data viewed by systemdata OASIS Template: CBM FTRM – TRM for use in FATC NFTRM – TRM for use in NFATC TTC – Total Transmission Capability FATC – Firm Available Transmission Capability NFATC – Non-firm Available Transmission Capability FGF – Firm Grandfathered firm Transmission Service NFGF – Non-firm Grandfathered Transmission Service ATC_ANNOTATION – Annotation for a change in monthly or yearly posted ATC (no longer used replaced by ZERO_FATC_NARRATIVE, ZERO_NFATC_NARRATIVE, FATC_CHANGE_NARRATIVE, NFATC_CHANGE_NARRATIVE) ZERO_FATC_NARRATIVE – Narrative when a posted firm monthly or yearly ATC value remains unchanged at a value of zero ZERO_NFATC_NARRATIVE – Narrative when a posted non-firm monthly or yearly ATC value remains unchanged at a value of zero |

---

Note: The table contains a list of system attributes and their valid values along with explanations of how data should be handled when the given data is invalid. It also outlines the type of system data viewed by the systemdata OASIS Template and the annotations for changes in monthly or yearly posted ATCs.
unchanged at a value of zero
FATC_CHANGE_NARRATIVE – Narrative when a posted firm monthly or yearly ATC changes as a result of a 10 percent change in TTC/TFC
NFATC_CHANGE_NARRATIVE – Narrative when a posted non-firm monthly or yearly ATC changes as a result of a 10 percent change in TTC/TFC
ZONE_FORECASTED_LOAD – Anticipated Forecasted Daily Zonal Load
SYSTEM_FORECASTED_LOAD – Anticipated Forecasted Daily System-Wide Peak Load

<table>
<thead>
<tr>
<th>TEMPLATE</th>
<th>TEMPL</th>
<th>1(ALPHANUMERIC)20</th>
<th>Valid name of Template from Business Practice Standard WEQ-002-4.3.1 or from list OASIS Template</th>
<th>The name of a logical collection of DATA_ELEMENTS in a user’s interaction with an OASIS Node.</th>
</tr>
</thead>
<tbody>
<tr>
<td>TS_CLASS</td>
<td>TSCLASS</td>
<td>1(ALPHANUMERIC)20</td>
<td>Valid Values: FIRM NON-FIRM TTC SECONDARY {Registered}</td>
<td>The Transmission Service classes provided. Four are pre-defined, while additional classes can be used if they are registered on <a href="http://www.tsin.com">www.tsin.com</a> and shown in the Transmission Provider's list OASIS Template page. SECONDARY is defined as alternate PORs or PODs for POINT_TO_POINT, or as non-designated resources for NETWORK service. TTC is retained as a Valid Value for historical purposes only.</td>
</tr>
</tbody>
</table>
| TS_PERIOD | TSPER | 1(ALPHANUMERIC)20 | Valid Values: ON_PEAK OFF_PEAK FULL_PERIOD | The Transmission Service periods provided. Three are pre-defined, while additional periods can be
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<table>
<thead>
<tr>
<th>TS_TYPE</th>
<th>TSTYPE</th>
<th>1(ALPHANUMERIC)20</th>
<th>Valid Values:</th>
<th>The Transmission Service types provided. Three are pre-defined, while additional types can be used if they are registered on <a href="http://www.tsin.com">www.tsin.com</a> and shown in the Transmission Provider’s list OASIS Template. ATC is retained as a Valid Value for historical purposes only.</th>
</tr>
</thead>
<tbody>
<tr>
<td>TS_WINDOW</td>
<td>TSWIND</td>
<td>1(ALPHANUMERIC)20</td>
<td>Valid Values:</td>
<td>The Transmission Service windows provided. Four are pre-defined, while additional windows can be used if they are registered on <a href="http://www.tsin.com">www.tsin.com</a> and shown in the Transmission Provider’s list OASIS Template.</td>
</tr>
</tbody>
</table>

---


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013-2 OASIS TRANSACTION PROCESSING

a. The transrequest and ancrequest OASIS Ttemplates shall be used by the Transmission Customer to enter a transaction request for specific Transmission Services or ancillary services from a specified Seller. All pertinent transaction-specific information must be provided in the OASIS Ttemplate Data Elements.

b. The transstatus and ancstatus OASIS Ttemplates shall be used by both Transmission Customer and Seller to query for the current transaction information (e.g., STATUS). Alternatively, the Transmission Customer may request dynamic notification per Business Practice Standard WEQ-002-4.2.10.3 whenever the transaction data is changed.

c. The transsell and ancsell OASIS Ttemplates shall be used by the Seller to indicate to the Transmission Customer whether the request is acceptable or not by setting the transaction STATUS to one of RECEIVED, INVALID, STUDY, COUNTEROFFER, CR_COUNTEROFFER, ACCEPTED, CR_ACCEPTED, REFUSED, SUPERSEDED, DECLINED, DISPLACED, ANNULLED, or RETRACTED. A Transmission Provider as the Seller may use the transsell and ancsell OASIS Ttemplates to act on requests or may use proprietary software solutions to perform this function in a similar manner.
d. The *transcust* and *anccust* OASIS Ttemplates shall be used by the Transmission Customer to indicate to the Seller whether they wish to negotiate, confirm or withdraw the transaction by setting the transaction STATUS to one of REBID, CONFIRMED, or WITHDRAWN.

e. The *transassign* and *ancassign* OASIS Ttemplates shall be used by the Seller to notify the Transmission Provider of the transfer of rights from the Seller to the Transmission Customer consummated off the OASIS Node.

**Exhibit 1 Transaction OASIS Template Usage Diagram**

**013-2.1 TRANSACTION REQUEST TYPES**

The following are the valid OASIS transaction request types (OASIS Ttemplate Data Element REQUEST_TYPE) that may be submitted by the Transmission Customer unless otherwise noted, along with a brief description of their intended use:

**013-2.3 BASIC OASIS TRANSACTION HANDLING**

Requests to reserve or purchase Transmission Service or ancillary service shall be submitted to OASIS by the Transmission Customer via the *transrequest* or *ancrequest* OASIS Ttemplates.

... Once successfully submitted on OASIS, the Seller may take any of the following actions via the *transsell/anssell* OASIS Ttemplates:

- Acknowledge receipt by setting STATUS to RECEIVED or STUDY
- Deny the request by setting STATUS to INVALID, DECLINED, or REFUSED
- Approve the request by setting STATUS to ACCEPTED, CR_ACCEPTED, COUNTEROFFER or CR_COUNTEROFFER

... Once the Seller approves the request, the Transmission Customer may take any of the following actions via the *transcust/anccust* OASIS Ttemplates:

- Withdraw the request
- Continue negotiation of the request by setting STATUS to REBID
- Complete the request by setting STATUS to CONFIRMED

**013-2.4.1 Displacement – No Right of First Refusal**

... If only a portion of the confirmed reservation's capacity is required to accommodate the higher priority request, the Transmission Provider shall document the recall of reserved capacity from
the lower priority confirmed reservation by incrementing the IMPACTED counter on that reservation and posting on OASIS the amount and time frames over which that reservation's capacity was reduced, i.e., a partial displacement. The Transmission Customer may view all impacts to existing Transmission Service reservations (e.g., partial displacements, secondary sales, etc.) using the reduction OASIS Ttemplate.

013-2.4.2 Displacement – With Right of First Refusal

If the existing Transmission Customer elects to meet the terms and conditions of the competing request, that Transmission Customer shall submit a new MATCHING reservation request using the transrequest OASIS Ttemplate. The specific requirements associated with submission of MATCHING requests are detailed in Business Practice Standard WEQ-013-2.6.3.

013-2.5 TRANSMISSION PROVIDER RECALLS

The Transmission Provider shall provide a mechanism to post on OASIS any such reductions or recalls in reserved capacity. The Transmission Customer shall be notified of any and all such reductions in reserved capacity by the incrementing of the IMPACTED counter in association with those reservations that are reduced; the IMPACTED flag is viewable with the transstatus OASIS Ttemplate. Specific information regarding the exact nature of each reduction in the reserved capacity under a given Transmission Service reservation shall be posted and viewable with the reduction OASIS Ttemplate.

A specific example of a Transmission Provider initiated recall of reserved capacity is the implementation of a partial displacement of a Transmission Service reservation. In this instance, the Transmission Customer has not elected (or was not required to be offered) to match the terms of a higher priority, competing request. The Transmission Provider recalls that capacity necessary to accommodate the higher priority request from the existing lower priority reservation. The IMPACTED counter of that reservation is incremented, and a query using the reduction OASIS Ttemplate for that reservation would show the Transmission Customer the amount and time-frame over which the Transmission Customer's reserved capacity was recalled by the Transmission Provider.

Interruption of Transmission Service, where that interruption directly impacts the rights of the Transmission Customer to schedule any service under that reservation, is another example of an impact to reserved capacity that would be posted as a Transmission Provider initiated recall of reserved capacity. Secondary market sales of Transmission Service rights are not examples of a Transmission Provider initiated recall of reserved capacity, but the impact of any such sales shall also be returned in response to execution of the reduction OASIS Ttemplate.

The Transmission Provider may elect to post recalls of reserved capacity using the OASIS REQUEST TYPE of RECALL. Documenting Transmission Provider initiated recalls of capacity with a recall request is optional; posting the impacts of those recalls to be made available under the reduction OASIS Ttemplate is mandatory. If the recall request is used by
the Transmission Provider, its use must be implemented in compliance with the Business Practices for recall requests.

**013-2.6 TRANSACTION SPECIFIC HANDLING**

The following Business Practice Standards WEQ-013-2.6.1 through WEQ-013-2.6.4.1 identify specific OASIS Data Elements and processing requirements that must be implemented by OASIS and/or associated back-end support systems. The results of all transaction processing shall be viewable by all appropriate entities via the `transstatus/ancstatus` OASIS Ttemplates and corresponding OASIS user interface.

**013-2.6.1 ORIGINAL Requests**


<table>
<thead>
<tr>
<th>ROLLOVER_WAIVED</th>
<th>If the Transmission Customer does not wish a Long-Term Firm Point-to-Point Transmission Service request to be evaluated for conveyance of rollover rights, the Transmission Customer must submit the request with the ROLLOVER_WAIVED data element set to 'Y' in the <code>transrequest</code> OASIS Ttemplate.</th>
</tr>
</thead>
<tbody>
<tr>
<td>CG_STATUS</td>
<td>If the Transmission Customer wishes this request to be a Coordinated Request associated with a Coordinated Group, the Transmission Customer must submit the request with the CG_STATUS data element set to PROPOSED in the <code>transrequest</code> OASIS Ttemplate.</td>
</tr>
</tbody>
</table>

The Transmission Customer may submit a time varying profile of capacity as allowed by the Transmission Provider’s Business Practice by repeating the OASIS Ttemplate Data Elements of BID_PRICE, CAPACITY_REQUESTED, START_TIME and STOP_TIME in template continuation records. The segments of any submitted profile must not overlap in time.

...  

If the Transmission Customer does not wish a long-term firm PTP Transmission Service request to be evaluated for conveyance of rollover rights, the Transmission Customer must submit the request with the ROLLOVER_WAIVED data element set to Y in the `transrequest` OASIS Ttemplate.
If the Transmission Customer wishes this request to be a Coordinated Request associated with a Coordinated Group, the Transmission Customer must submit the request with the CG_STATUS data element set to “PROPOSED” in the transrequest OASIS T template. Additional requirements for handling Coordinated Requests are specified in Business Practice Standard WEQ-013-2.6.9.

013-2.6.1.1 Offering of Partial Service

The Transmission Customer shall recognize the offer of Partial Service by CAPACITY_GRANTED not being equal to CAPACITY_REQUESTED and the request STATUS of COUNTEROFFER or CR_COUNTEROFFER. The Transmission Customer may elect to set the request STATUS to CONFIRM, WITHDRAW, or REBID for the Partial Service using the transcust OASIS T template. To rebid for Partial Service the Transmission Customer shall specify the revised START_TIME, STOP_TIME, CAPACITY_REQUESTED and BID_PRICE values and set the request STATUS to REBID using the transcust OASIS T template. OASIS shall restrict CAPACITY_REQUESTED on a rebid to not exceed the Seller’s most recent CAPACITY_GRANTED over time.

013-2.6.1.2 Negotiation of Price

Negotiation of price is initiated by the Transmission Customer submitting a service request (via transrequest/ancrequest OASIS T templates) with a BID_PRICE that is different (higher or lower) from the currently posted offer price, or the tariff rate, for that service. The following negotiation process is required where the Seller is the Transmission Provider. Resales or Transfers between Transmission Customers may use this process, but there is no obligation on the (Re)Seller to offer a negotiated rate to other Transmission Customers.

If the Seller determines that the BID_PRICE is acceptable, the following actions must be taken (via transsell/ancsell OASIS T templates):

- Update the currently posted offer price for the service requested and all other applicable services offered as dictated by current discounting policy (e.g., all unconstrained paths to the same POD) to match BID_PRICE;
- Update the request’s NEGOTIATED_PRICE_FLAG to L or H if the BID_PRICE was lower than or higher than, respectively, the posted price when the request was submitted;
- Set the OFFER_PRICE equal to the BID_PRICE;
- Set the CAPACITY_GRANTED appropriately (if left null or undefined, OASIS shall set CAPACITY_GRANTED equal to CAPACITY_REQUESTED when STATUS is set to ACCEPTED or CR_ACCEPTED);
- Set the request STATUS to ACCEPTED or CR_ACCEPTED (or COUNTEROFFER or CR_COUNTEROFFER if offering Partial Service)

The Transmission Customer may then confirm the purchase or withdraw the request by updating the request STATUS (via transcust/anccust OASIS T templates).

If the Seller determines that the BID_PRICE is unacceptable, and negotiation of price is not going to be entertained, the Seller shall set the request STATUS to DECLINED (via
If the Seller elects to enter into price negotiation, the following actions must be taken (via *transsell/ancsell* OASIS T templates):

- If the price to be counter offered by the Transmission Provider to the Transmission Customer is different than the currently posted offer price:
  - Update the currently posted offer price for the service requested and all other applicable services offered as dictated by current discounting policy (e.g., all unconstrained paths to the same POD) to match the price to be counteroffered;
  - Update the request’s NEGOTIATED_PRICE_FLAG to L or H if the price to be counter offered is lower than or higher than, respectively, the posted price when the request was submitted;
- Set the OFFER_PRICE and CAPACITY_GRANTED appropriately;
- Set the request STATUS to COUNTEROFFER or CR_COUNTEROFFER.

The Transmission Customer may then confirm the purchase, withdraw the request, or propose a new BID_PRICE by performing the following (via *transcust/anccust* OASIS T templates):

- Update the request BID_PRICE appropriately;
- Set the request STATUS to REBID or CONFIRMED.

### 013-2.6.1.3 Rollover Rights

For Transmission Services that have ongoing rollover or renewal rights, the Transmission Provider shall document those rights and any limitations over time through the *rollover* OASIS T template Data Elements RENEWAL_DUE_TIME, ROLLOVER_ELIGIBLE, ROLLOVER_START_TIME, ROLLOVER_STOP_TIME, and ROLLOVER_CAPACITY. The Transmission Provider must also flag that the Transmission Service request/reservation has associated rollover rights by setting the *transstatus* OASIS T template PRIMARY_PROVIDER_PROVISIONS Data Element to Y. The ROLLOVER_ELIGIBLE Data Element is equivalent to the Capacity Eligible for Rollover or conveyance of rollover rights to a qualified Redirect on a Firm basis; the ROLLOVER_CAPACITY Data Element is equivalent to the remaining Unexercised Rollover Rights available over time.

Continuation records shall be used if the ROLLOVER_CAPACITY value changes as a function of time by listing each applicable time interval and capacity eligible for rollover/renewal in the ROLLOVER_START_TIME, ROLLOVER_STOP_TIME and ROLLOVER_CAPACITY Data Elements. The first value for ROLLOVER_START_TIME shall be set to the value of the latest STOP_TIME value associated with the request/reservation as shown in the *transstatus* OASIS T template response, (i.e., *rollover* OASIS T template response records begin at the end of the Transmission Service requested/reserved). If there is no limitation on rollover rights, ROLLOVER_STOP_TIME shall be null and the ROLLOVER_CAPACITY shall indicate the capacity eligible for rollover for all future time.

On confirmation of any Renewal request or request to Redirect on a Firm basis with rollover rights, the values for the ROLLOVER_ELIGIBLE, ROLLOVER_START_TIME, ROLLOVER_STOP_TIME, and ROLLOVER_CAPACITY Data Elements shall be updated and returned in response to the *rollover* OASIS T template query.
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013-2.6.1.4 CCO

For Transmission Services that are subject to a CCO Reservations, the Transmission Provider shall set the PRIMARY_PROVIDER_PROVISIONS Data Element to Y and provide documentation on the nature of the CCO such that this information may be queried and viewed using the cco OASIS Ttemplate. Prior to or upon confirming a Transmission Service reservation with a CCO, the Transmission Provider shall make available the information required in the cco OASIS Ttemplate CONDITIONAL_CURTAILMENT_OPTION, SERVICE_DESCRIPTION and REASSESSMENT_END_TIME Data Elements. REASSESSMENT_END_TIME must reflect the date and time that the current CCO conditions may be subject to change as a result of a Biennial Reassessment performed by the Transmission Provider. If the CCO Reservation is not subject to a Biennial Reassessment or is shorter than two years in duration, REASSESSMENT_END_TIME shall be null.

If the CCO Reservation is subject to the Number-of-Hours Criteria (CONDITIONAL_CURTAILMENT_OPTION = “HOURS”), the Transmission Provider must indicate the maximum number of actual hours that service may be curtailed at the Conditional Curtailment Priority Level over a specified measurement interval set by the CONDITIONAL_START_TIME/CONDITIONAL_STOP_TIME Data Elements. If there is more than one such interval during the current service period up to the next REASSESSMENT_END_TIME, each such interval shall be returned in cco OASIS Ttemplate continuation records containing the CONTINUATION_FLAG, ASSIGNMENT_REF, CONDITIONAL_START_TIME, CONDITIONAL_STOP_TIME, MAXIMUM_HOURS and ACCUMULATED_HOURS Data Elements.

The Transmission Provider shall update the ACCUMULATED_HOURS Data Element with the current cumulative number of hours service under the CCO Reservation was actually curtailed at the Conditional Curtailment Priority Level for that interval. Once the accumulation CONDITIONAL_START_TIME/CONDITIONAL_STOP_TIME interval has expired, the final ACCUMULATED_HOURS over that interval shall be retained and returned in the cco OASIS Ttemplate response as the historical record of Curtailments made against the CCO Reservation over time.

For CCO Reservations subject to Biennial Reassessment, the Transmission Provider shall update any new accumulation interval and/or change in maximum Curtailment hours through the end of the next reassessment interval by updating the REASSESSMENT_END_TIME, CONDITIONAL_START_TIME, CONDITIONAL_STOP_TIME, MAXIMUM_HOURS and ACCUMULATED_HOURS Data Elements returned in the response to a query issued for the cco OASIS Ttemplate. This information shall be provided at the same time that the reassessment study report is issued to the Transmission Customer.

If the CCO Reservation is subject to the System-Conditions Criteria (CONDITIONAL_CURTAILMENT_OPTION = “CONDITIONS”), the Transmission Provider may, at their option, return information on the accumulated number of hours service was actually curtailed using the corresponding cco OASIS Ttemplate Data Elements. For these CCO Reservations, the MAXIMUM_HOURS Data Element will be returned as a null value.

Each confirmed PART_ TRANSFER or FULLTRANSFER reservation made against a CCO Reservation shall also be a CCO Reservation. Upon acceptance of a full Transfer of a CCO Reservation, the Transmission Provider shall set the PRIMARY_PROVIDER_PROVISIONS
Data Element to “Y” for the Transfer, populate the **cco OASIS T**emplate and assign the ACCUMULATED_HOURS of the Parent Reservation to the Transfer. Each subsequent Curtailment shall be accumulated against the Transfer only. Upon acceptance of a partial Transfer of a CCO Reservation, the Transmission Provider shall set the PRIMARY_PROVIDER_PROVISIONS Data Element to “Y” for the Transfer, populate the **cco OASIS T**emplate and copy the ACCUMULATED_HOURS of the Parent Reservation to the Transfer. Each subsequent Curtailment shall be accumulated against the Parent Reservation and the Transfer. If the CCO Reservation is subject to a Resale or a short-term Redirect on a firm basis where the CCO criteria is to be applied to the Redirect service, all Curtailment accounting information shall be accumulated against Parent Reservation which was resold or redirected as if all service scheduled under the resold or redirected reservation(s) were scheduled against the Parent Reservation. The Transmission Provider shall set PRIMARY_PROVIDER_PROVISIONS to “Y” in each confirmed Resale or Redirect, if applicable, reservation made against capacity granted under a CCO Reservation. In response to a query issued for the **cco OASIS T**emplate, the **cco OASIS T**emplate response shall be identical for each of the applicable Redirect and/or Resale reservations as that returned for the Parent CCO Reservation.

### 013-2.6.2 RENEWAL Requests

<table>
<thead>
<tr>
<th>ROLLOVER_WAIVED</th>
<th>If the Transmission Customer does not wish a Long-Term Firm Point-to-Point Transmission Service request to be evaluated for conveyance of rollover rights, the Transmission Customer must submit the request with the ROLLOVER_WAIVED data element set to ‘Y’ in the <strong>transrequest OASIS T</strong>emplate.</th>
</tr>
</thead>
<tbody>
<tr>
<td>CG_STATUS</td>
<td>If the Transmission Customer wishes this request to be a Coordinated Request associated with a Coordinated Group, the Transmission Customer must submit the request with the CG_STATUS data element set to PROPOSED in the <strong>transrequest OASIS T</strong>emplate.</td>
</tr>
</tbody>
</table>

... If the Transmission Customer does not wish a long-term firm PTP Transmission Service request to be evaluated for conveyance of rollover rights, the Transmission Customer must submit the request with the ROLLOVER_WAIVED Data Element set to ‘Y’ in the **transrequest OASIS T**emplate.
If the Transmission Customer wishes this request to be a Coordinated Request associated with a Coordinated Group, the Transmission Customer must submit the request with the CG\_STATUS data element set to “PROPOSED” in the transrequest OASIS Ttemplate. Additional requirements on the handling of Coordinated Requests are specified in Business Practice Standard WEQ-013-2.6.9.

013-2.6.3 MATCHING Requests

<table>
<thead>
<tr>
<th>CG_STATUS</th>
<th>If the Transmission Customer wishes this request to be a Coordinated Request associated with a Coordinated Group, the Transmission Customer must submit the request with the CG_STATUS data element set to PROPOSED in the transrequest OASIS Ttemplate.</th>
</tr>
</thead>
</table>

If the Transmission Customer wishes this request to be a Coordinated Request associated with a Coordinated Group, the Transmission Customer must submit the request with the CG\_STATUS data element set to “PROPOSED” in the transrequest OASIS Ttemplate. Additional requirements on the handling of Coordinated Requests are specified in Business Practice Standard WEQ-013-2.6.9.

013-2.6.5.1 Redirect on a Firm Basis

A Transmission Customer holding confirmed firm PTP rights may request the use of those rights on alternate PORs and/or PODs on a firm basis by submission of a Redirect request to the Transmission Provider as Seller. The following information must be submitted by the Transmission Customer in the Redirect request via the transrequest OASIS Ttemplate.

<table>
<thead>
<tr>
<th>CG_STATUS</th>
<th>If the Transmission Customer wishes this request to be a Coordinated Request associated with a Coordinated Group, the Transmission Customer must submit the request with the CG_STATUS data element set to PROPOSED in the</th>
</tr>
</thead>
</table>
The impact of the Redirect transaction on the reservation(s) identified by RELATED_REF shall be posted and viewable using the reduction OASIS Template.

OASIS or Transmission Provider procedures shall also apply any outstanding conditions that may exist from the Parent CCO Reservation to the Redirect reservation, if applicable, (e.g., Number-of-Hours Criteria or System-Conditions Criteria) in accordance with Business Practice Standards WEQ-001-21.5.2.2.1 and WEQ-013-2.6.1.4 and any outstanding conditions shall be viewable using the cco OASIS Template.

If the Redirect is eligible for the conveyance of rollover/renewal rights, these rights shall be communicated through the rollover OASIS Template Data Elements RENEWAL_DUE_TIME, ROLLOVER_ELIGIBLE, ROLLOVER_START_TIME, ROLLOVER_STOP_TIME, and ROLLOVER_CAPACITY. Conveyance of rollover rights to the Redirect request/reservation may have an impact on those rights held on the RELATED_REF reservation. These impacts on the RELATED_REF reservation shall be documented through an update to the rollover OASIS Template Data Elements ROLLOVER_ELIGIBLE, ROLLOVER_START_TIME, ROLLOVER_STOP_TIME, and ROLLOVER_CAPACITY associated with the RELATED_REF.

If the Transmission Customer does not wish a firm request to Redirect on a firm basis to be evaluated for conveyance of rollover rights, the Transmission Customer must submit the request with the ROLLOVER_WAIVED Data Element set to ‘Y’ in the transrequest OASIS Template.

If the Transmission Customer wishes this request to be a Coordinated Request associated with a Coordinated Group, the Transmission Customer must submit the request with the CG_STATUS data element set to “PROPOSED” in the transrequest OASIS Template. Additional requirements on the handling of Coordinated Requests are specified in Business Practice Standard WEQ-013-2.6.9.

013-2.6.5.2 Redirect on a Non-Firm Basis

A Transmission Customer holding confirmed firm PTP rights may request the use of those rights on alternate PORs and/or PODs on a non-firm basis by submission of a Redirect request to the Transmission Provider as Seller. The following information must be submitted by the Transmission Customer in the Redirect request via the transrequest OASIS Template.

The impact of the Redirect transaction on the reservation(s) identified by RELATED_REF shall be posted and viewable using the reduction OASIS Template.

013-2.6.6 Relinquish Requests
The Relinquish request is submitted in association with a Redirect on a non-firm basis to indicate the Transmission Customer's desire to return the capacity rights held on the Redirect to the Parent Reservation specified in the RELATED_REF of that Redirect request. The following are the specific requirements for the Relinquish request submitted via the transrequest OASIS Ttemplate.

013-2.6.7.1 Resale on OASIS

Resale transactions conducted on OASIS shall adhere to the basic OASIS request processing requirements where the Reseller is identified as the Seller and the Assignee identified as the Transmission Customer.

The Assignee (Transmission Customer) initiates the Resale of scheduling rights by submitting the following required information on OASIS via the transrequest OASIS Ttemplate. Data Elements not listed are optional. There shall be no requirement imposed by OASIS that the Reseller post any corresponding offer of Transmission Service for sale on that OASIS.

The impact of the Resale transaction on the reservation(s) identified by REASSIGNED_REF shall be posted and viewable using the reduction OASIS Ttemplate.

OASIS or Transmission Provider procedures shall also apply any outstanding conditions that may exist from the Reseller's reservation to the Assignee's reservation (e.g., Number-of-Hours Criteria or System-Conditions Criteria) in accordance with Business Practice Standard WEQ-013-2.6.1.4 and any outstanding conditions shall be viewable using the cco OASIS Ttemplate.

013-2.6.7.2 RESALE off OASIS

Resale transactions arranged between Reseller and Assignee off OASIS must be documented on OASIS by the Reseller using the transassign OASIS Ttemplate. Transactions arranged off OASIS do not follow the basic request processing steps and shall be posted directly as confirmed transactions.

The impact of the Resale transaction on the reservation(s) identified by REASSIGNED_REF shall be posted and viewable using the reduction OASIS Ttemplate.

OASIS or Transmission Provider procedures shall also apply any outstanding conditions that may exist from the Reseller's reservation to the Assignee's reservation (e.g., Number-of-Hours Criteria or System-Conditions Criteria) in accordance with Business Practice Standard WEQ-013-2.6.1.4 and any outstanding conditions shall be viewable using the cco OASIS Ttemplate.

013-2.6.8.1 FULL_TRANSFER - Transfers of All Capacity

The Transmission Provider may post a FULL_TRANSFER request directly on OASIS on
behalf of the original Transmission Customer and Assignee after confirming the transaction with both parties using the **transassign** OASIS template. The information required to be posted shall be identical to that posted for FULL_TRANSFERs conducted on OASIS.

On confirmation of the FULL_TRANSFER, the IMPACTED attribute will be incremented for each of the Reseller’s reservations referenced by the REASSIGNED_REF Data Elements and the resulting impacts on each REASSIGNED_REF’s reserved capacity will be viewable with the **reduction** OASIS template. OASIS must also update all subordinate Resale, Redirect, etc., transactions impacting the REASSIGNED_REF transaction(s) to reflect the transfer of those obligations to the Assignee under the new FULL_TRANSFER reservation.

013-2.6.8.2 **PART_TRANSFER - Transfer of Partial Capacity**

....

On confirmation of the PART_TRANSFER, the IMPACTED attribute will be incremented for each of the original Transmission Customer’s reservations referenced by the REASSIGNED_REF Data Elements and the resulting impacts on each REASSIGNED_REF’s reserved capacity will be viewable with the **reduction** OASIS template.

013-2.6.9 **Coordinated Requests**

....

First, the Transmission Customer must submit each transmission request to be considered as a Coordinated Request using the **transrequest** OASIS template with the CG_STATUS data element set to the value of PROPOSED. On successful submission, OASIS shall set the CG_DEADLINE data element to QUEUE_TIME plus 24 hours which may be viewed using the **transstatus** OASIS template. This deadline timestamp reflects the time the Transmission Customer has to submit all transmission requests for consideration to the various Transmission Providers that service is to be coordinated on, and to identify those requests as a Coordinated Group associated with each individual Coordinated Request. All Coordinated Requests must be submitted with PRECONFIRMED set to YES.

The identity of each Coordinated Request that comprises the Coordinated Group is submitted by the Transmission Customer via the **cgupdate** OASIS template. One or more Coordinated Requests may be specified in the **cgupdate** OASIS template submission using continuation records. Multiple submissions of the **cgupdate** OASIS template may be made on OASIS to successively add additional Coordinated Requests to the Coordinated Group. Up to the CG_DEADLINE timestamp or when the Transmission Customer sets the Coordinated Request CG_STATUS to ATTESTED, the Transmission Customer may add, modify, or delete Coordinated Requests from the Coordinated Group. For a given Coordinated Request on a given Transmission Provider, as identified by the OASIS assigned ASSIGNMENT_REF data element, the Coordinated Group consists of all other Coordinated Requests submitted to the same or other Transmission Provider’s within the 24 hour submission deadline. That is, the Coordinated Group associated with a given Coordinated Request will not include that Coordinated Request; submission of a record to the Transmission Provider where the ASSIGNMENT_REF refers to the same request as the CR_PRIMARY_PROVIDER_CODE and CR_ASSIGNMENT_REF data elements will be
returned as an error.

The identification of the Coordinated Requests that comprise the Coordinated Group are added to the group by specifying the request’s CR_DISPOSITION with a value of PENDING. A request that has already been added to the Coordinated Group by mistake or that is withdrawn from consideration as a Coordinated Request prior to the CG_DEADLINE may be deleted from the group by submitting the `cgupdate` OASIS Template with the CR_PRIMARY_PROVIDER_CODE and CR_ASSIGNMENT_REF set to refer to the Coordinated Request to be deleted and specifying CR_DISPOSITION with the value of DELETED.

To meet the contiguity requirements for a Coordinated Group, existing reservations may be associated with the Coordinated Group by specifying the CR_DISPOSITION of CONFIRMED on submission of the reservation’s identification via the `cgupdate` OASIS Template.

Once all Coordinated Requests are submitted to their respective Transmission Provider’s OASIS nodes, and each Coordinated Request has their associated Coordinated Group information set in OASIS, the Transmission Customer must set each Coordinated Request’s CG_STATUS to ATTESTED using the `transcust` OASIS Template. This action must be performed prior to expiration of the CG_DEADLINE, and indicates that the Transmission Customer has attested that the Coordinated Group meets the contiguity requirements specified in Business Practice Standard WEQ-001-23.2.1.

### 013-3 SPECIFIC OASIS TEMPLATE IMPLEMENTATION

#### 013-3.1 REGISTERED OASIS TEMPLATE DATA ELEMENTS

#### 013-3.2 `scheduledetail`

The `scheduledetail` OASIS Template shall be used to query specific information posted by the Transmission Provider related to the scheduled usage of reserved Transmission Service.

| SECURITY_REF | Optional; If the reliability adjustment was the result of a security event that is posted on OASIS via the `security` OASIS Template, this shall be set to the OASIS unique identifier assigned to that posting |
| INITIATING_PARTY | Optional; If the reliability adjustment was the result of a security event that is posted on OASIS via the `security` OASIS Template, these Data Elements will be reported as they appear in that associated security event posting |
| RESPONSIBLE_PARTY |
| PROCEDURE_NAME |
| PROCEDURE_LEVEL |
| FACILITY_LOCATION |
| FACILITY_NAME |
| FACILITY_CLASS |
| FACILITY_LIMIT_TYPE |
013-3.3  **systemdata**

The **systemdata OASIS T** template is designed to present time series data in an efficient form. It is an extensible template as the Data Elements SYSTEM_ELEMENT_TYPE and SYSTEM_ATTRIBUTE may take on Transmission Provider specific registered values for posting of data that is not already defined in the OASIS Data Dictionary.

013-3.3.1  ATC Related Query/Response Requirements

....

Posting of ATC component data and narratives via the **systemdata OASIS T** template shall comply with all applicable regulations and Business Practices.

The following are the **systemdata OASIS T** template Data Element requirements for providing ATC component data, i.e., TTC, CBM, FTRM, NFTRM, FATC or NFATC, FGF, or NFGF to the user:

....

The following are the **systemdata OASIS T** template Data Element requirements for providing a brief, but specific, narrative explanation of the reason for a change in the monthly or yearly firm or non-firm ATC value on a constrained Posted Path when the monthly or yearly ATC value changes as a result of a 10 percent or greater change in the related posted TTC or TFC.

....

The following are the **systemdata OASIS T** template Data Element requirements for providing a brief, but specific, narrative associated with yearly or monthly ATC values when either the posted firm or non-firm ATC remains unchanged at a value of zero (0) for six (6) months or longer.

013-3.3.2  **System Load Related Query/Response Requirements**

....

The following table shows an example of the **systemdata OASIS T** template Data Element usage for providing information on load related data:

013-3.4  **security**

The **security OASIS T** template is used to provide information related to changes in the transmission system that may impact reliability. The bulk of the Data Elements that comprise this template are identified as free-form text in the OASIS Data Dictionary to provide Transmission Providers with flexibility in posting different types of information in a structured fashion compatible with their operations and Business Practices. Usage of the Data Elements within the **security OASIS T** template for providing information that may be made available by
the Transmission Provider are provided as examples only.

013-3.4.1 Outage Query/Response Requirements

The following is an example of the suggested usage of the security OASIS Ttemplate Data Elements for providing information related to Transmission Provider posting of outages. Note that posting of transmission related outages on OASIS is at the discretion of the Transmission Provider.

013-3.4.2 Curtailment Event Query/Response Requirements

The following is an example of the suggested usage of the security OASIS Ttemplate Data Elements for providing information related to Transmission Provider posting of system conditions that may result in the Curtailment or interruption of Transmission Service. The example shown illustrates the data provided for a NERC TLR related event. Note that the actual Curtailment or impact of these events on schedules or Transmission Service reservations will be posted on OASIS and made available via the scheduledetail or reduction OASIS Ttemplates.

013-3.5 transoffering

The transoffering OASIS Ttemplate allows the Transmission Customer to selectively query for Transmission Service offers posted by both the Transmission Provider and Resellers. Resellers control how their offerings are presented to the Transmission Customer through the specification of an OFFER_INCREMENT. Transmission Provider postings shall always have OFFER_INCREMENT set equal to SERVICE_INCREMENT.

Transmission Service rights sold by the Reseller must correspond with the original rights acquired from the Transmission Provider. There is, however, no restriction on the term/increment of service that may be resold. The OFFER_INCREMENT Data Element controls when a Resellers capacity posted for Resale will be presented to the Transmission Customer via the transoffering OASIS Ttemplate.

When queried by the Customer with a specified SERVICE_INCREMENT query parameter, OASIS shall return in the transoffering OASIS Template response all posted offers where SERVICE_INCREMENT or OFFER_INCREMENT matches any of the specified SERVICE_INCREMENT query values.

013-3.6 transpost/transupdate

The transpost/transupdate OASIS Ttemplate allows a Transmission Customer (Reseller) to post or modify an offer to resell their reserved capacity on OASIS in a manner comparable to the offerings posted by the Transmission Provider. The service offered for Resale is dictated by the service held by the Transmission customer as defined in the SERVICE_INCREMENT, TS_CLASS, TS_TYPE, TS_PERIOD, TS_WINDOW, and TS_SUBCLASS Data Elements. However, the Transmission Customer may offer that service in any arbitrary increment of whole hours from hourly, to daily, to monthly, etc.
The OFFER_INCREMENT Data Element controls when the Reseller’s Transmission Service offering will be returned to a user submitting the transoffering OASIS T template. For example, to post MONTHLY FIRM service reserved from the Transmission Provider as an HOURLY offering, the SERVICE_INCREMENT Data Element will be MONTHLY to correspond to the reserved service being resold and the OFFER_INCREMENT would be submitted as HOURLY. When queried by a user with SERVICE_INCREMENT=HOURLY, the transoffering OASIS T template would return all qualifying posted offerings from the Transmission Provider where SERVICE_INCREMENT=HOURLY and any resale offerings posted by Resellers where the OFFER_INCREMENT=HOURLY. To post that monthly capacity on a daily basis, the Reseller would submit another Transmission Service offering specifying the OFFER_INCREMENT=DAILY.

The following table shows the specific template Data Element usage for the transpost/transupdate OASIS T templates:

013-3.7 transstatus

The transstatus OASIS T template provides the Transmission Customer with a view of transactions submitted on OASIS. OASIS shall be responsible for merging any transaction profile data submitted by the Transmission Customer (START_TIME, STOP_TIME, CAPACITY_REQUESTED, and BID_PRICE) or the Seller (START_TIME, STOP_TIME, CAPACITY_GRANTED, and OFFER_PRICE) into a single set of time profile data records consisting of START_TIME, STOP_TIME, CAPACITY_REQUESTED, BID_PRICE, CAPACITY_GRANTED, OFFER_PRICE, and CEILING_PRICE to be returned in the transstatus OASIS T template response.

013-3.8 reduction

The reduction OASIS T template provides the Transmission Customer with a view of transactions or Transmission Provider actions which impact either the capacity available on a given Transmission Service reservation or the service Curtailment priority in effect for the reservation. Capacity impacts are due to transactions such as Redirects, Resales, Transfers, recalls, etc.; Curtailment priority impacts are due to criteria established in granting long-term firm service where firm Curtailment priority cannot be granted for all periods or under certain specific operating conditions as specified in the Transmission Customer’s service agreement.

For a given Transmission Service request, there may never be two reduction OASIS T template response records that overlap in time. For any given interval in time, all transactions or Transmission Provider actions that impact the Transmission Service request over that interval shall be returned in one or more continuation records.

If the Transmission Provider uses a Transmission Provider specific registered value for REDUCTION_TYPE, the registered value and a full description of how the value is used and the information returned in the reduction OASIS T template response must be posted on the Transmission Provider’s OASIS Home Page.
An example of the *reduction OASIS T* template response is provided in Business Practice Standard WEQ-013-C Example 8.

**Example 4 - Example of Aggregating Purchased Services for Resale using Reassignment**

The following examples do not show the complete *OASIS T* template information, but only show the values assigned to those Data Elements in the *OASIS T* template that are important to the example.

a. Transmission Customer #1, "BestE" requests the purchase of 20 MW DAILY FIRM PTP for May 7th and 8th for $1.00/MWday from Transmission Provider AAA (*transrequest OASIS Template*).

   `TEMPLATE=transrequest
CUSTOMER_CODE=BestE (Implied by the registered entity submitting the OASIS T template)`

b. Transmission Customer #1 purchases an additional 70 MW of DAILY FIRM PTP on the same path for May 8th for $1.05/MWday (*transrequest OASIS Template*).

   `TEMPLATE=transrequest
CUSTOMER_CODE=BestE (Implied by the registered entity submitting the OASIS T template)`

c. Transmission Customer #1 becomes Reseller #1 and posts an offer of Transmission Service of 50 MW of their DAILY FIRM PTP rights purchased above for Resale on an Hourly basis on May 8th from 7 a.m. to 11 p.m. at $.90/MW-hour.

   `TEMPLATE=transpost
SELLER_CODE=BestE (Implied by the registered entity submitting the OASIS T template)`

d. Transmission Customer #2 “Whlsle” then requests purchase of 35 MW firm from Reseller #1 from 8 a.m. to 5 p.m. for $0.90/MW-hour (*transrequest OASIS Template*).

   `TEMPLATE=transrequest
CUSTOMER_CODE=Whlsle
SELLER_CODE=BestE (Implied by the registered entity submitting the OASIS T template)`

e. Seller (Reseller #1) informs the Transmission Provider of the reassignment of the previous Transmission Service rights when the Seller accepts the Transmission Customer purchase request (*transsell OASIS Template*).

   `TEMPLATE=transsell`
SELLER_CODE=BestE (Implied by the registered entity submitting the OASIS Ttemplate)

Example 5 - File Examples of the Use of Continuation Records

a. Basic Continuation Records

The first example of the use of Continuation Records is for the transrequest OASIS Ttemplate submitted by a Transmission Customer, “MOP” for purchase of a Transmission Service reservation spanning 16 hours from 06:00 to 22:00 with "ramped" demand at beginning and end of time period. Two additional reservation requests are also submitted in this request prior to and following the profile to demonstrate the handling of ASSIGNMENT_REF by the OASIS Node. The last request is for a purchase from a Reseller, “EFG”.

b. Submission of Reassignment Information - Case 1:

In the prior example (last Data Record in transrequest OASIS Template) a Resale reservation request was submitted to the Reseller “EFG” by theTransmission Customer for 20MW of DAILY FIRM PTP scheduling rights from 04:00 to 16:00. Assume that the Reseller has previously reserved service for the CEF-ECS path for DAILY FIRM PTP in amount of 50 MW on 4/23 under ASSIGNMENT_REF=23877019, and another 10 MW on April 23, 2007, under ASSIGNMENT_REF=23877880. Reseller must designate which Transmission Service rights are to be reassigned to Transmission Customer to satisfy the 20MW from 04:00 to 16:00. This reassignment information is conveyed by Reseller using the transsell OASIS Template when the reservation request is accepted. At the Seller's discretion, rights are assigned for the first four hours of the Resale from the first reservation (ASSIGNMENT_REF=23877019). The balance of the Resale is supported by 10 MWs from the second reservation first (ASSIGNMENT_REF=23877880) with the balance taken up by the first reservation (ASSIGNMENT_REF=23877019). This split of reassignment information is conveyed in "continuation" records via the transsell OASIS Template Data Elements REASSIGNED_REF, REASSIGNED_CAPACITY, REASSIGNED_START_TIME, and REASSIGNED_STOP_TIME.

c. Submission of Reassignment Information - Case 2:

If the Resale transaction from the previous example were conducted off-OASIS, the Seller, “EFG”, would use the transassign OASIS Ttemplate to post the transaction to OASIS.

d. Query of Transmission Service Reservation Status:

The following is a hypothetical response to a transstatus OASIS Template query that might be delivered for reservations starting on April 23, 2007.

Example 6 - Examples of Negotiation of Price and Partial Service Offer
Example 6a - Negotiation with Preconfirmation

a. The Transmission Customer submits a preconfirmed Transmission Service request using the `transrequest OASIS T` template. Initially, the STATUS is set to QUEUED by the OASIS Node.

b. The Seller has the option of setting STATUS via the `transsell OASIS T` template to one of the following: INVALID, RECEIVED, STUDY, COUNTEROFFER, CR_COUNTEROFFER, ACCEPTED, CR_ACCEPTED, DECLINED, or REFUSED.

c. The Seller has the option of entering a CAPACITY_GRANTED and setting the STATUS to COUNTEROFFER or CR_COUNTEROFFER via the `transsell OASIS T` template if the Seller can only provide Partial Service.

d. If the Seller sets STATUS to ACCEPTED or CR_ACCEPTED (and, as required by Business Practice Standard WEQ-013-2.2, the OASIS Node forces the Seller to set OFFER_PRICE equal to BID_PRICE as a condition to setting STATUS to ACCEPTED or CR_ACCEPTED) and CAPACITY_GRANTED is equal to CAPACITY_REQUESTED, the OASIS Node will immediately set STATUS to CONFIRMED, except where the STATUS is CR_ACCEPTED the OASIS Node shall wait to set the transaction’s STATUS to CONFIRMED. (Business Practice Standard WEQ-013-2.2 requires the OASIS Node to set a null CAPACITY_GRANTED equal to CAPACITY_REQUESTED when STATUS is set to ACCEPTED or CR_ACCEPTED.)

e. The Transmission Customer may withdraw request via `transcust OASIS T` template at any time up to point where the Seller sets STATUS to ACCEPTED or CR_ACCEPTED.

f. Once the STATUS is CONFIRMED, the OFFER_PRICE and CAPACITY_GRANTED officially becomes the terms of the reservation.

Example 6b - Negotiations without Preconfirmation

a. The Transmission Customer submits a Transmission Service reservation request with the BID_PRICE less than the CEILING_PRICE via the `transrequest OASIS T` template. Initially the STATUS is set to QUEUED by the OASIS Node.

b. The Seller has the option of setting the STATUS via the `transsell OASIS T` template to one of the following: INVALID, RECEIVED, STUDY, ACCEPTED, DECLINED, COUNTEROFFER, or REFUSED. If the STATUS is set to INVALID (due to invalid entries in the request), DECLINED (due to the Seller determining that the proposed price is not acceptable and further negotiations are not desired), or REFUSED (due to the unavailability of the requested service), the Transmission Service reservation request is terminated.

c. The Seller has the option of entering a CAPACITY_GRANTED and setting the STATUS to COUNTEROFFER via the `transsell OASIS T` template if the Seller can only provide Partial Service.
d. If the Seller sets the STATUS to RECEIVED or STUDY, and determines that the BID_PRICE is too low, the Seller sets the OFFER_PRICE to the price desired, and sets the STATUS to COUNTEROFFER via the transsell OASIS Ttemplate.

e. The Transmission Customer agrees to the OFFER_PRICE, sets the BID_PRICE equal to the OFFER_PRICE, and sets the STATUS to CONFIRMED via the transcust OASIS Ttemplate.

f. The OFFER_PRICE and CAPACITY_GRANTED with the STATUS of CONFIRMED locks in the terms of the reservation.

**Example 6c - Multiple Step Negotiations**

a. The Transmission Customer submits a Transmission Service reservation request with the BID_PRICE less than the CEILING_PRICE via the transrequest OASIS Ttemplate. Initially the STATUS is set to QUEUED by the OASIS Node.

b. The Seller has the option of setting the STATUS via the transsell OASIS Ttemplate to one of the following: INVALID, RECEIVED, STUDY, ACCEPTED, CR_ACCEPTED, DECLINED, COUNTEROFFER, CR_COUNTEROFFER, or REFUSED. If the STATUS is set to INVALID, DECLINED, or REFUSED, the Transmission Service reservation request is terminated.

c. The Seller has the option of entering a CAPACITY_GRANTED and setting the STATUS to COUNTEROFFER or CR_COUNTEROFFER via the transsell OASIS Ttemplate if the Seller can only provide Partial Service. If ATC changes before the request reaches the STATUS of CONFIRMED, Seller may change the CAPACITY_GRANTED.

d. The Seller determines that the BID_PRICE is too low, sets the OFFER_PRICE to the desired value, and sets the STATUS to COUNTEROFFER or CR_COUNTEROFFER via the transsell OASIS Ttemplate.

e. The Transmission Customer responds to the new OFFER_PRICE with an updated BID_PRICE and sets the STATUS to REBID for re-evaluation by the Seller.

f. The Seller determines that the BID_PRICE now is acceptable, and sets the STATUS to ACCEPTED or CR_ACCEPTED via the transsell OASIS Ttemplate. The transition to ACCEPTED or CR_ACCEPTED state requires the OFFER_PRICE to be set to the BID_PRICE: accepting a reservation with an OFFER_PRICE different from BID_PRICE would require the STATUS be set to COUNTEROFFER or CR_COUNTEROFFER rather than ACCEPTED or CR_ACCEPTED (see Business Practice Standard WEQ-013-4.1.6.3.c).

g. The Transmission Customer agrees to the OFFER_PRICE and sets the STATUS to CONFIRM via the transcust OASIS Ttemplate.

h. The OFFER_PRICE and CAPACITY_GRANTED with the STATUS as CONFIRMED locks in the terms of the reservation.
Example 6d - Negotiations Declined by Seller

a. The Transmission Customer submits a Transmission Service reservation request with the BID_PRICE less than the CEILING_PRICE via the transrequest OASIS Template. Initially the STATUS is set to QUEUED by the OASIS Node.

b. The Seller has the option of setting the STATUS via the transsell OASIS Template to one of the following: INVALID, RECEIVED, STUDY, ACCEPTED, CR_ACCEPTED, DECLINED, COUNTEROFFER, CR_COUNTEROFFER, or REFUSED. If the STATUS is set to INVALID, DECLINED, or REFUSED, the Transmission Service reservation request is terminated.

c. The Seller determines that the BID_PRICE is too low, sets OFFER_PRICE to his desired value, and sets STATUS to COUNTEROFFER or CR_COUNTEROFFER via the transsell OASIS Template.

d. The Transmission Customer responds to OFFER_PRICE with updated BID_PRICE and sets the STATUS to REBID via the transcust OASIS Template for re-evaluation by Seller.

e. The Seller breaks off all further negotiations by setting the STATUS to DECLINED, indicating that the price is unacceptable and that he does not wish to continue negotiations.

Example 6e - Negotiations Withdrawn by Transmission Customer

a. The Transmission Customer submits a Transmission Service reservation request with the BID_PRICE less than the CEILING_PRICE via the transrequest OASIS Template. Initially the STATUS is set to QUEUED by the OASIS Node.

b. The Seller has the option of setting the STATUS via the transsell OASIS Template to one of the following: INVALID, RECEIVED, STUDY, ACCEPTED, CR_ACCEPTED, DECLINED, COUNTEROFFER, CR_COUNTEROFFER, or REFUSED. If the STATUS is set to INVALID, DECLINED, or REFUSED, the Transmission Service reservation request is terminated.

c. The Seller has the option of entering a CAPACITY_GRANTED and setting the STATUS to COUNTEROFFER or CR_COUNTEROFFER via the transsell OASIS Template if the Seller can only provide Partial Service.

d. The Seller determines that the BID_PRICE is too low, sets the OFFER_PRICE to his desired value, and sets the STATUS to COUNTEROFFER or CR_COUNTEROFFER via the transsell OASIS Template.

e. The Transmission Customer responds to the OFFER_PRICE with an updated BID_PRICE and sets the STATUS to REBID for re-evaluation by Seller.

f. The Seller determines that the BID_PRICE is still too low, sets the OFFER_PRICE to
another value, and sets STATUS to COUNTEROFFER or CR_COUNTEROFFER via the transsell OASIS T template.

g. The Transmission Customer breaks off all further negotiations, either because the OFFER_PRICE or CAPACITY_GRANTED are unacceptable, by setting STATUS to WITHDRAWN (or the Transmission Customer/Seller could go through additional iterations of REBID/COUNTEROFFER/CR_COUNTEROFFER until negotiations are broken off or the reservation is confirmed).

Example 6f - Negotiations Superseded by Higher Priority Reservation

a. The Transmission Customer submits a Transmission Service reservation request with the BID_PRICE less than the CEILING_PRICE via the transrequest OASIS T template. Initially the STATUS is set to QUEUED by the OASIS Node.

b. The Seller has the option of setting the STATUS via the transsell OASIS T template to one of the following: INVALID, RECEIVED, STUDY, ACCEPTED, CR_ACCEPTED, DECLINED, COUNTEROFFER, CR_COUNTEROFFER, or REFUSED. If the STATUS is set to INVALID, DECLINED, or REFUSED, the Transmission Service reservation request is terminated.

c. If the Seller determines that another reservation has higher priority and must displace this request, he sets the STATUS of this request to SUPERSEDED and the negotiations are terminated.

d. However, if desired and permitted by the tariff, the Seller may set the STATUS of a request in any of these previous states (including COUNTEROFFER, CR_COUNTEROFFER, ACCEPTED, and CR_ACCEPTED) to COUNTEROFFER or CR_COUNTEROFFER with an OFFER_PRICE which could avoid the request being superseded, thus allowing the Transmission Customer the choice of being SUPERSEDED or accepting the proposed OFFER_PRICE.

Example 7 - Audit OASIS Template Examples

The following examples are included to show the general type of audit report responses that could be expected to be returned by implementations of the audit reporting OASIS T templates as documented above.

....

Example 7b – Reservations

....

First, this example shows the handling of continuation records which conveyed a time varying demand of 50 MW on August 1, 2007, 75 MW on August 18, 2007, and 100 MW on August 19, 2007. This demand profile was initially entered with the original reservation request (transrequest OASIS T template) at 12:15 on August 15, 2007, by Alan Trader.
**Example 8 - Reservation Reductions**

The following is an example of the *red*uction [OASIS *(*)](#)emplate response format for a reservation, 123456, for one day of service at 50 MWs. Assume that 1) the Transmission Customer has redirected 20 MWs for that day on reservation 987654, 2) resold 10 MWs during the off-peak hours on reservation 345678, and 3) had service starting at 16:00 reduced in priority for two hours.

5. **Reason for of Minor Correction/Clarification:**

   To make consistency changes to the NAESB OASIS Business Practice Standards.
Appendix 5 contains the meeting minutes of the NAESB Wholesale Electric Quadrant Executive Committee and the action taken by the Wholesale Electric Quadrant Executive Committee to approve the following minor corrections:

<table>
<thead>
<tr>
<th>Appendix No.</th>
<th>NAESB WEQ Executive Committee Meeting Minutes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Appendix 5</td>
<td>MC12032, MC12034, MC12035 and MC12036 as approved by the WEQ Executive Committee on October 23, 2012.</td>
</tr>
<tr>
<td></td>
<td>Meeting Minutes: <a href="http://www.naesb.org/weq/weq_ec.asp">http://www.naesb.org/weq/weq_ec.asp</a></td>
</tr>
</tbody>
</table>
Appendix 6 contains the correspondence sent to all Wholesale Electric Quadrant members notifying them of the Executive Committee action taken on the minor corrections, requesting comments that opposed the minor corrections, and informing them of future actions and timelines related to the minor corrections.

<table>
<thead>
<tr>
<th>Appendix No.</th>
<th>Correspondence/Notice</th>
</tr>
</thead>
<tbody>
<tr>
<td>Appendix 6</td>
<td>Minor Corrections MC12032, MC12034, MC12035 and MC12036 – Request for Comments due November 12, 2012:</td>
</tr>
<tr>
<td></td>
<td><a href="http://www.naesb.org/pdf4/weq_mc1203012reqcom.doc">http://www.naesb.org/pdf4/weq_mc1203012reqcom.doc</a></td>
</tr>
<tr>
<td></td>
<td>No Comments Received</td>
</tr>
</tbody>
</table>
Appendix 7 contains the excerpt from the NAESB Operating Procedures detailing the procedures to be followed for minor clarifications and corrections to existing NAESB WEQ Business Practice Standards.

**Procedures for Minor Corrections as excerpted from the NAESB Operating Procedures**

D. Minor Clarifications and Corrections to Standards

Minor clarifications and corrections to existing standards include: (a) clarifications or corrections made by a regulatory agency to standards that are of a jurisdictional nature, or by the American National Standards Institute or its successor; (b) clarifications or corrections to the format, appearance, or descriptions of standards in standards documentation; (c) clarifications or corrections to add code values to tables; and (d) clarifications and corrections that do not materially change a standard. Any request for a minor clarification or correction to an existing standard should be submitted in writing to the executive director. This request shall include a description of the minor clarification or correction and the reason the clarification or correction should be implemented.

1. Processing of Requests

The executive director shall promptly notify the EC and any appropriate subcommittee(s) of the receipt of the request. The members of the applicable quadrant’s EC shall promptly determine whether the request meets the definition of a minor clarification or correction. Through the decision of the vice chair of the applicable quadrant, this determination may be delegated to one of the quadrant’s subcommittees, with the concurrence of the subcommittee chair, in which case the subcommittee shall make a prompt decision.

If the request is determined to meet the definition of minor clarification or correction, the applicable quadrant’s EC, with input from any subcommittee(s) to which the request has been forwarded, shall act on the request within one month of its receipt. A meeting to discuss the request is not required; the decision may be made by notational vote. A simple majority of the votes received shall determine the outcome. The members of the applicable quadrant’s EC shall be given at least three working days to consider and vote on the request.

2. Public Notice

The results of the vote on the request for a minor clarification or correction shall be posted on the NAESB website and the members of the applicable quadrant shall be notified of the request by e-mail. If the request has been approved by the applicable quadrant’s EC, the notification shall include a brief description of the request, the contact name and number of the requester so that further information can be obtained, and the proposed effective date of the clarification or correction. Any interested party shall have an opportunity to comment on the request, and the comments shall be posted on the NAESB website. The comment period is two weeks.

3. Final Disposition of Approved Requests

If no comments are received on an approved request, the standard shall be clarified or corrected as specified in the approved request on the effective date proposed. If comments are received, they shall be forwarded to the members of the applicable quadrant’s EC for consideration. Each comment requires a public written response from the applicable quadrant’s EC. The applicable quadrant’s EC shall determine whether changes are necessary as a result of the comments. Members of the applicable quadrant’s EC shall be given three working days to consider the comments and determine the outcome, which shall be decided by a simple majority of the votes received. A meeting to discuss the request is not required; the decision may be made by notational vote. The standard shall be clarified or corrected in accordance with the outcome of the vote, effective with the completion of voting, and notice thereof shall be posted on the NAESB website. In the case of minor corrections which are discovered during the editorial
review process of publication of a new version and are categorized as clarifications under (b) or (c) above\(^2\), the proposed effective date may be (i) two weeks from the date of public notice, following simple majority approval by the applicable Quadrant(s) EC(s) of the shortened effective date, or (ii) one month from the date of the public notice. For all others, the proposed effective date of the minor clarification or correction shall normally be one month from the date of the public notice upon simple majority approval of the applicable Quadrant(s) EC(s).

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\(^2\) Minor clarifications and corrections to existing standards include: (a) clarifications or corrections made by a regulatory agency to standards that are of a jurisdictional nature, or by the American National Standards Institute or its successor; (b) clarifications or corrections to the format, appearance, or descriptions of standards in standards documentation; (c) clarifications or corrections to add code values to tables; and (d) clarifications and corrections that do not materially change a standard.