Electronic Tariff Filings
FERC Order No. 714

April 28, 2009
Utilicast Overview

Electronic Tariff Management System
Company Information
- Based in Seattle, Washington area
- Worldwide Operations
- Operational since 2000
- $6.6 million in 2008 sales
- Business Process, Strategy, and Software focus

RTO Client Information
- Utilicast is currently an approved vendor at the following ISO/RTOs
  - PJM Interconnection
  - Midwest ISO
  - Southwest Power Pool
  - ERCOT
  - California ISO
- Services focused on ISO/RTO centric areas
Core IT Capabilities

- Project and Program Management
- Functional and System Design
- Requirements Management
- Enterprise Information Architecture
- Software Application Development
- Software Quality Assurance
- Independent Verification and Validation
- SAS70 and NERC CIP controls implementation
Utilicast Software
- Operator UI/Displays
- Pricing
- Settlements
- e-Learning Modules
- Systems Integration
- Operational Data Stores
- Electronic Tariff Management System (ETMS)

Energy Management Systems
- Areva
- ABB
- Siemens

Market Management Systems
- Areva
- ABB
- Siemens

Billing and Settlement Systems
- Areva
- Lodestar

Scheduling Systems
- Areva
- OATI
ETMS Challenges/Opportunities

- Technical solution implemented, tested, and ready to launch by April 1, 2010

- Bridge existing tariff development and maintenance processes to the technical solution

- Opportunities to enhance tariff workflows through:
  - Software-based workflow management
  - Electronic record management
  - Multi-site coordination and access control

- Shadowing FERC database oriented tariff management process
Solution Design: System Overview

ETARIFF USER

AUTHENTICATION

WEB-BASED APPLICATION FRONTEND

ORG DETAILS MANAGEMENT

TARIFF METADATA MANAGEMENT

CONTENT MANAGEMENT

EPAYMENT (EPAY.GOV)

ELECTRONIC SUBMISSION

COMPANY DEPARTMENTS SITES

IDENTIFIER TITLE CONTACT SECURITY

FILINGS ATTACHMENTS

PAYMENT DETAILS

FERC SUBMISSION

RECORD MANAGEMENT SYSTEM

ETMS DATABASE AND FILE STORAGE SYSTEM
Getting Started

In Order No. 714, the Federal Energy Regulatory Commission (FERC) requires that all tariffs, tariff revisions and rate change applications be filed electronically with effect from April 1, 2010. The affected regulated entities are:

- Public utilities and Power Marketing Administrations Parts 35 and 300;
- Natural gas pipelines under Parts 154 and 284;
- Intrastate gas pipelines under Part 284; and
- Oil pipelines under Part 341.

Utilicast's Electronic Tariff Management System (ETMS) helps the Electric Utilities manage the administration of tariff from creation to the electronic submission of the tariff documents and metadata required by FERC in compliance with the Order and the NAESB standards for the submission in the XML, RTF, and PDF compliant formats.

For questions and assistance please contact Utilicast at ctariff@utilicast.com or leave a message on our toll-free number (800) UTI-ETRF.
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You are here: Home

Getting Started
## Type of Filing Codes

Browse records in all searchable columns that contain and search.

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Solution Features

- Conformance to FERC/NAESB Standards for XML submission
  - Compliance with XSD version changes included in the maintenance package
- Web-based solution
  - Compatible with all major browsers – Internet Explorer, Firefox
  - Built-in Rich Text Editor
  - Secure collaborative environment
- Tariff information persisted in database and file systems, providing historical timeline and changes
  - Robust search capabilities for past tariff versions
  - Integrated tracking of submission life cycle
- Tariff information separated into:
  - Metadata
  - Attachment content
  - Filing Records
- Records Management eases long-term maintenance and upkeep of the tariff and related filings
Solution Options

- **Add-on options**
  - Integration with proprietary and non-RTF formats
  - Integration with existing tariff management systems
  - Migration of existing content into ETMS structure

- **Turnkey development**
  - Integration with existing tariff management systems
  - Custom add-ons for ISO/RTO workflows

- **License Utilicast e-Tariff product as-is**
  - In-house deployment
  - Utilicast support and maintenance

- **Hosted Utilicast solution**
  - Hosting and Support
Questions?
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