RECOMMENDATION TO GISB EXECUTIVE COMMITTEE

Requester: TransCapacity L. P.   **Request No.: R97052, R97053**

1. Recommended Action: **Effect of EC Vote to Accept Recommended Action:**
   ___Accept as requested   _X_ Change to Existing Practice
   _X_ Accept as modified below   ___Status Quo
   ___Decline

2. TYPE OF MAINTENANCE

Per Request: **Per Recommendation:**

_X_ Initiation   _X_ Initiation
_X_ Modification   _X_ Modification
___Interpretation   ___Interpretation
___Withdrawal   ___Withdrawal

___Principle (x.1.z)   ___Principle (x.1.z)
___Definition (x.2.z)   ___Definition (x.2.z)
_X_ Business Practice Standard (x.3.z)   ___Business Practice Standard (x.3.z)
___Document (x.4.z)   ___Document (x.4.z)
___Data Element (x.4.z)   _X_ Data Element (x.4.z)
___Code Value   (x.4.z)   _X_ Code Value (x.4.z)
___X12 Implementation Guide   _X_ X12 Implementation Guide
___Business Process Documentation   ___Business Process Documentation

3. RECOMMENDATION

DATA DICTIONARY (for new documents and addition, modification or deletion of data elements)

Document Name and No.:   Firm Transportation and Storage - Offer, 5.4.1
Firm Transportation and Storage - Bid Review, 5.4.2
Firm Transportation and Storage - Award Notice, 5.4.3
Upload to Pipeline of Prearranged Deal (UPPD), 5.4.7
UPPD - Notification, 5.4.9

Business Name	Definition	Usage	Condition
Surcharge Indicator	*Indicates whether surcharges are applicable, and if so, where surcharge information is located.*	*M*	
Total Surcharges	*Contains the total of all applicable surcharge(s).*	*C*	*Required when Surcharge Indicator specifies that a total of all applicable surcharge(s) is provided.*

Surcharge Identification Code	A code which identifies a specific surcharge.	*C*	*Required when Surcharge Indicator specifies that surcharge detail is provided.*

* Indicates Common Code

DATA DICTIONARY (for new documents and addition, modification or deletion of data elements)

Document Name and No.:   UPPD - Validation, 5.4.8

NOTE: 'Surcharge Identification Code' may be added to the UPPD - Validation per R97010. The 'Surcharge Indicator' is not applicable.

Business Name	Definition	Usage	Condition
Total Surcharges	*Contains the total of all applicable surcharge(s).*	*C*	*Mandatory when present in the UPPD and supported by the TSP.*

* Indicates Common Code

CODE VALUES (for addition, modification or deletion of code values)

Document Name and No.:   Firm Transportation and Storage - Offer, 5.4.1
Firm Transportation and Storage - Bid Review, 5.4.2
Firm Transportation and Storage - Award Notice, 5.4.3

Business Name	Usage	Code Value	Code Value Description	Code Value Definition
Surcharge Indicator	M	*1*	*Rate(s) stated include all applicable surcharges; no surcharge detail or surcharge total provided.*	*N/A*
		2	*Rate(s) stated include all applicable surcharges; surcharge detail provided; no surcharge total provided.*	*N/A*
		3	*Rate(s) stated include all applicable surcharges; no surcharge detail provided; surcharge total provided.*	*N/A*
		4	*Rate(s) stated include all applicable surcharges; surcharge detail and surcharge total provided.*	*N/A*
		5	*Rate(s) stated include only rates, no surcharges applicable to this release.*	*N/A*
		7	*Rate(s) stated do not include any applicable surcharges; no surcharge detail provided; surcharge total provided.*	*N/A*
		8	*Rate(s) stated do not include any*	*N/A*

			applicable surcharges; surcharge detail and surcharge total provided.	

CODE VALUES (for addition, modification or deletion of code values)
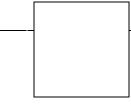
Document Name and No.:   Upload to Pipeline of Prearranged Deal (UPPD), 5.4.7
UPPD - Notification, 5.4.9

Business Name	Usage	Code Value	Code Value Description	Code Value Definition
Surcharge Indicator	M	*1*	*Rate(s) stated include all applicable surcharges; no surcharge detail or surcharge total provided.*	*N/A*
		2	*Rate(s) stated include all applicable surcharges; surcharge detail provided; no surcharge total provided.*	*N/A*
		3	*Rate(s) stated include all applicable surcharges; no surcharge detail provided; surcharge total provided.*	*N/A*
		4	*Rate(s) stated include all applicable surcharges; surcharge detail and surcharge total provided.*	*N/A*
		5	*Rate(s) stated include only rates, no surcharges applicable to this release.*	*N/A*
		6	*Rate(s) stated do not include any applicable surcharges; surcharge detail provided; no surcharge total provided.*	*N/A*
		7	*Rate(s) stated do not include any applicable surcharges; no surcharge detail provided; surcharge total provided.*	*N/A*
		8	*Rate(s) stated do not include any applicable surcharges; surcharge detail and surcharge total provided.*	*N/A*

CODE VALUES (for addition, modification or deletion of code values)

Document Name and No.: UPPD - Validation (5.4.8)

Business Name	Usage	Code Value	Code Value Description	Code Value Definition
Validation Code	C	*E606*	*Missing Surcharge Indicator*	*N/A*
		E607	*Invalid Surcharge Indicator*	*N/A*
		E608	*Missing Total Surcharges*	*N/A*
		E609	*Invalid Total Surcharges*	*N/A*

TECHNICAL CHANGE LOG (all instructions to accomplish the recommendation)

Document Name and No.: Firm Transportation and Storage - Offer 5.4.1, Firm Transportation and Storage - Bid Review 5.4.2, Firm Transportation and Storage - Award Notice 5.4.3, Upload to Pipeline of Prearranged Deal (UPPD) 5.4.7, UPPD - Validation 5.4.8, UPPD - Notification 5.4.9

Description of Change:
G840CROF - Firm Transportation and Storage - Offer
Data Element Xref to X12
Add data element Surcharge Indicator (M) to detail level PO1 loop REF segment.
Modify Surcharge Identification Code usage to C.
Add data element Total Surcharges (C) to SAC segment.
Sample X12 Transaction
Add data element Surcharge Indicator ("REF*SV*#" where # = value 1-8 to match sample paper transaction)
X12 Mapping
Add data element name Surcharge Indicator to detail level PO1 loop REF02.
Add data element name Total Surcharges to SAC04.
"REF Segments (Detail)" table - Add Surcharge Indicator, usage M, REF01 = "SV", REF02 = "1", "2", "3", "4", "5", "7", "8"; Description = "Rate(s) stated include all applicable surcharges; no surcharge detail or surcharge total provided.", "Rate(s) stated include all applicable surcharges; surcharge detail provided; no surcharge total provided.", "Rate(s) stated include all applicable surcharges; no surcharge detail provided; surcharge total provided.", "Rate(s) stated include all applicable surcharges; surcharge detail and surcharge total provided.", "Rate(s) stated include only rates, no surcharges applicable to this release.", "Rate(s) stated do not include any applicable surcharges; no surcharge detail provided; surcharge total provided.", "Rate(s) stated do not include any applicable surcharges; surcharge detail and surcharge total provided.". See Code Values Log.
"SAC Segments (Detail)" table - change usage of Surcharge Identification Code below table from BC to C# (where # is the next sequential condition number); add C# to Usage notes section with condition "Required when Surcharge Indicator specifies that surcharge detail is provided."
"SAC Segments (Detail)" table - add "Total Surcharges (C#)" (where # is the next sequential condition number) to the n1 note below the table (similar to Rate Identification Code and Surcharge Identification Code). Add C# to Usage notes section with condition "Required when Surcharge Indicator specifies that a total of all applicable surcharge(s) is provided."
"SAC Segments (Detail)" table - Where SAC04 column has "see n1", modify the SAC04 Description column to read "Surcharge Identification Code, Rate Identification Code or Total Surcharges."
G843CRBR - Firm Transportation and Storage - Bid Review
Data Element Xref to X12
Add data element Surcharge Indicator (M) to detail level PO1 loop REF segment.
Modify Surcharge Identification Code usage to C.
Add data element Total Surcharges (C) to SAC segment.
Sample X12 Transaction
Add data element Surcharge Indicator ("REF*SV*#" where # = value 1-8 to match sample paper transaction)
X12 Mapping
Add data element name Surcharge Indicator to detail level PO1 loop REF02.
Add data element name Total Surcharges to SAC04.
"REF Segments (Detail)" table - Add Surcharge Indicator, usage M, REF01 = "SV", REF02 = "1", "2", "3", "4",

"5", "7", "8"; Description = "Rate(s) stated include all applicable surcharges; no surcharge detail or surcharge total provided.", "Rate(s) stated include all applicable surcharges; surcharge detail provided; no surcharge total provided.", "Rate(s) stated include all applicable surcharges; no surcharge detail provided; surcharge total provided.", "Rate(s) stated include all applicable surcharges; surcharge detail and surcharge total provided.", "Rate(s) stated include only rates, no surcharges applicable to this release.", "Rate(s) stated do not include any applicable surcharges; no surcharge detail provided; surcharge total provided.", "Rate(s) stated do not include any applicable surcharges; surcharge detail and surcharge total provided.". See Code Values Log.
"SAC Segments (Detail)" table - change usage of Surcharge Identification Code below table from BC to C# (where # is the next sequential condition number); add C# to Usage notes section with condition "Required when Surcharge Indicator specifies that surcharge detail is provided."
"SAC Segments (Detail)" table - add "Total Surcharges (C#)" (where # is the next sequential condition number) to the n1 note below the table (similar to Rate Identification Code and Surcharge Identification Code). Add C# to Usage notes section with condition "Required when Surcharge Indicator specifies that a total of all applicable surcharge(s) is provided."
"SAC Segments (Detail)" table - Where SAC04 column has "see n1", modify the SAC04 Description column to read "Surcharge Identification Code, Rate Identification Code or Total Surcharges."

G843CRAN - Firm Transportation and Storage - Award Notice

Data Element Xref to X12

Add data element Surcharge Indicator (M) to detail level PO1 loop REF segment.
Modify Surcharge Identification Code usage to C.
Add data element Total Surcharges (C) to SAC segment.

Sample X12 Transaction

Add data element Surcharge Indicator ("REF*SV*#" where # = value 1-8 to match sample paper transaction)

X12 Mapping

Add data element name Surcharge Indicator to detail level PO1 loop REF02.
Add data element name Total Surcharges to SAC04.
"REF Segments (Detail)" table - Add Surcharge Indicator, usage M, REF01 = "SV", REF02 = "1", "2", "3", "4", "5", "7", "8"; Description = "Rate(s) stated include all applicable surcharges; no surcharge detail or surcharge total provided.", "Rate(s) stated include all applicable surcharges; surcharge detail provided; no surcharge total provided.", "Rate(s) stated include all applicable surcharges; no surcharge detail provided; surcharge total provided.", "Rate(s) stated include all applicable surcharges; surcharge detail and surcharge total provided.", "Rate(s) stated include only rates, no surcharges applicable to this release.", "Rate(s) stated do not include any applicable surcharges; no surcharge detail provided; surcharge total provided.", "Rate(s) stated do not include any applicable surcharges; surcharge detail and surcharge total provided.". See Code Values Log.
"SAC Segments (Detail)" table - change usage of Surcharge Identification Code below table from BC to C# (where # is the next sequential condition number); add C# to Usage notes section with condition "Required when Surcharge Indicator specifies that surcharge detail is provided."
"SAC Segments (Detail)" table - add "Total Surcharges (C#)" (where # is the next sequential condition number) to the n1 note below the table (similar to Rate Identification Code and Surcharge Identification Code). Add C# to Usage notes section with condition "Required when Surcharge Indicator specifies that a total of all applicable surcharge(s) is provided."
"SAC Segments (Detail)" table - Where SAC04 column has "see n1", modify the SAC04 Description column to read "Surcharge Identification Code, Rate Identification Code or Total Surcharges."

G840UDOF - Upload to Pipeline of Prearranged Deal (UPPD)

Data Element Xref to X12

Add data element Surcharge Indicator (M) to detail level PO1 loop REF segment.
Modify Surcharge Identification Code usage to C.

Add data element Total Surcharges (C) to SAC segment.
Sample X12 Transaction
Add data element Surcharge Indicator ("REF*SV*#" where # = value 1-8 to match sample paper transaction)
X12 Mapping
Add data element name Surcharge Indicator to detail level PO1 loop REF02.
Add data element name Total Surcharges to SAC04.
"REF Segments (Detail)" table - Add Surcharge Indicator, usage M, REF01 = "SV", REF02 = "1", "2", "3", "4", "5", "6", "7", "8"; Description = "Rate(s) stated include all applicable surcharges; no surcharge detail or surcharge total provided.", "Rate(s) stated include all applicable surcharges; surcharge detail provided; no surcharge total provided.", "Rate(s) stated include all applicable surcharges; no surcharge detail provided; surcharge total provided.", "Rate(s) stated include all applicable surcharges; surcharge detail and surcharge total provided.", "Rate(s) stated include only rates, no surcharges applicable to this release.", "Rate(s) stated do not include any applicable surcharges; surcharge detail provided; no surcharge total provided.", "Rate(s) stated do not include any applicable surcharges; no surcharge detail provided; surcharge total provided.", "Rate(s) stated do not include any applicable surcharges; surcharge detail and surcharge total provided.". See Code Values Log.
"SAC Segments (Detail)" table - change usage of Surcharge Identification Code below table from BC to C# (where # is the next sequential condition number); add C# to Usage notes section with condition "Required when Surcharge Indicator specifies that surcharge detail is provided."
"SAC Segments (Detail)" table - add "Total Surcharges (C#)" (where # is the next sequential condition number) to the n1 note below the table (similar to Rate Identification Code and Surcharge Identification Code). Add C# to Usage notes section with condition "Required when Surcharge Indicator specifies that a total of all applicable surcharge(s) is provided."
"SAC Segments (Detail)" table - Where SAC04 column has "see n1", modify the SAC04 Description column to read "Surcharge Identification Code, Rate Identification Code or Total Surcharges."
G843UDVL - UPPD - Validation
Data Element Xref to X12
Add data element Total Surcharges (C) to detail PID Segment.
X12 Mapping
Add data element name Total Surcharges to detail PID07 element notes. Add code value "Total Surcharges" to PID07. See Code Values Log.
"PID Segments (Detail)" table - add "Missing Surcharge Indicator", "Invalid Surcharge Indicator", "Missing Total Surcharges", "Invalid Total Surcharges". See Code Values Log.
G840UDRC - UPPD - Notification
Data Element Xref to X12
Add data element Surcharge Indicator (M) to detail level PO1 loop REF segment.
Modify Surcharge Identification Code usage to C.
Add data element Total Surcharges (C) to SAC segment.
Sample X12 Transaction
Add data element Surcharge Indicator ("REF*SV*#" where # = value 1-8 to match sample paper transaction)
X12 Mapping
Add data element name Surcharge Indicator to detail level PO1 loop REF02.
Add data element name Total Surcharges to SAC04.
"REF Segments (Detail)" table - Add Surcharge Indicator, usage M, REF01 = "SV", REF02 = "1", "2", "3", "4", "5", "6", "7", "8"; Description = "Rate(s) stated include all applicable surcharges; no surcharge detail or surcharge total provided.", "Rate(s) stated include all applicable surcharges; surcharge detail provided; no surcharge total provided.", "Rate(s) stated include all applicable surcharges; no surcharge detail provided; surcharge total provided.", "Rate(s) stated include all applicable surcharges; surcharge detail and surcharge total

provided.", "Rate(s) stated include only rates, no surcharges applicable to this release.", "Rate(s) stated do not include any applicable surcharges; surcharge detail provided; no surcharge total provided.", "Rate(s) stated do not include any applicable surcharges; no surcharge detail provided; surcharge total provided.", "Rate(s) stated do not include any applicable surcharges; surcharge detail and surcharge total provided.". See Code Values Log.

"SAC Segments (Detail)" table - change usage of Surcharge Identification Code below table from BC to C# (where # is the next sequential condition number); add C# to Usage notes section with condition "Required when Surcharge Indicator specifies that surcharge detail is provided."

"SAC Segments (Detail)" table - add "Total Surcharges (C#)" (where # is the next sequential condition number) to the n1 note below the table (similar to Rate Identification Code and Surcharge Identification Code). Add C# to Usage notes section with condition "Required when Surcharge Indicator specifies that a total of all applicable surcharge(s) is provided."

"SAC Segments (Detail)" table - Where SAC04 column has "see n1", modify the SAC04 Description column to read "Surcharge Identification Code, Rate Identification Code or Total Surcharges."

4. **SUPPORTING DOCUMENTATION**

a. **Description of Request:**

R97052: Modify Standard 5.3.27 surrounding presentation of rates and surcharges in the relevant capacity release documents. Red-lined version:
    "For purposes of bidding and awarding, maximum/minimum rates specified by the releasing shipper should include 1) the tariff reservation rate for the capacity subject to the bidding and awarding; and 2) the total of all applicable demand surcharges assessed at the contract level subject to the bidding and awarding and 3) the total of applicable surcharges assessed on a per unit of usage basis which would be subject to the bidding and awarding of the released capacity. , as a total number or as stated separately."

R97053: Add a new standard to the GISB Capacity Release standards regarding presentation of rates and surcharges in the relevant capacity release documents. Proposed standard:
    "All Capacity Release business practices with respect to rates, charges and surcharges, should be accommodated through one or more of the following manners:
    1) total reservation charge/unit of reserved service,
    2) total usage charge/unit of provided (or minimum commitment quantity of) service,
    3) total surcharge/contract (unrelated to units of service reserved or provided),
    4) total of demand surcharges/unit of reserved service, and
    5) total of usage surcharges/unit of provided (or minimum commitment quantity of) service.
Where there are separate components that make up the above five charges, the individual components should not be individually communicated as part of the standardized EDI data sets."

b. **Description of Recommendation:**

Business Practices Subcommittee

	Total	Detail	Condition
UPPD	'C'	'BC'	Total must be supplied if detail is not provided.
OFFER	'M'	'SO'	Detail is provided if it exists earlier in the capacity release

process or is required by the Capacity Release Service Provider.

This applies regardless of level, contract or quantity, to which rate or surcharge applies.

BPS recommends transfer R97010, R97015, R97016, R97052 and R97053 to IR/Technical with the following instructions: Collaborate in identifying the implementation of this standard in a manner that minimizes the impact on those not requiring the business practices giving rise to these data elements.

Sense of the Room: May 21, 1997   23 In Favor   0 Opposed
Segment Check (if applicable):

In Favor:	End-Users	LDCs	16 Pipelines	3 Producers	4 Services
Opposed:	End-Users	LDCs	Pipelines	Producers	Services

Business Practices Subcommittee
With respect to requests R97010, R97015, R97016, R97052 and R97053, the BPS requests that changes conforming to May 21, 1997 resolution apply to the Bid, Award and UPPD data sets as well.

Sense of the Room : July 17, 1997   17 In Favor   0 Opposed
Segment Check (if applicable):

In Favor:	1 End-Users	2 LDCs	10 Pipelines	1 Producers	3 Services
Opposed:	End-Users	LDCs	Pipelines	Producers	Services

Information Requirements Subcommittee

◆ Revisions to the Upload to Pipeline of Prearranged Deal (UPPD) (5.4.7):
- Add 'Surcharge Indicator' data element, and its associated code values. Usage is 'M'.
- Add 'Total Surcharges' data element. Usage is 'C', Required when Surcharge Indicator specifies that a total of all applicable surcharge(s) is provided.
- Revise the usage of the 'Surcharge Identification Code' data element from 'BC' to 'C', Required when Surcharge Indicator specifies that surcharge detail is provided.

Sense of the Room: June 25, 1997   12 In Favor   0 Opposed
Segment Check (if applicable):

In Favor:	End-Users	LDCs	Pipelines	Producers	Services
Opposed:	End-Users	LDCs	Pipelines	Producers	Services

◆ Revisions to the Firm Transportation and Storage - Offer (5.4.1):
- Add 'Surcharge Indicator' data element, and its associated code values. Usage is 'M'.
- Add 'Total Surcharges' data element. Usage is 'C', Required when Surcharge Indicator specifies that a total of all applicable surcharge(s) is provided.
- Revise the usage of the 'Surcharge Identification Code' data element from 'BC' to 'C', required when Surcharge Indicator specifies that surcharge detail is provided.

Sense of the Room: June 25, 1997   8 In Favor   0 Opposed
Segment Check (if applicable):

Requester: TransCapacity L. P.   Request No.: R97052, R97053

In Favor :	___End-Users	___LDCs	___Pipelines	___Producers	___Services
Opposed:	___End-Users	___LDCs	___Pipelines	___Producers	___Services

◆ Add code values to the 'Validation Code' data element in the UPPD - Validation (5.4.8) for additional error messages.

Sense of the Room: June 25, 1997   __8_ In Favor   __0_ Opposed
Segment Check (if applicable):

In Favor :	___End-Users	___LDCs	___Pipelines	___Producers	___Services
Opposed:	___End-Users	___LDCs	___Pipelines	___Producers	___Services

Information Requirements
Revisions to the Firm Transportation and Storage Bid Review (5.4.2), Firm Transportation and Storage - Award Notice (5.4.3) and UPPD - Notification (5.4.9):
- Add 'Surcharge Indicator' data element, and its associated code values. Usage is 'M'.
- Add 'Total Surcharges' data element. Usage is 'C', Required when Surcharge Indicator specifies that a total of all applicable surcharge(s) is provided.
- Revise the usage of the 'Surcharge Identification Code' from 'BC' to 'C', required when Surcharge Indicator specifies that surcharge detail is provided.

Revisions to the UPPD - Validation (5.4.8):
- Add 'Surcharge Indicator' data element, and its associated code values. Usage is 'C', Mandatory when Validation Codes regarding the corresponding data element in the UPPD are returned in the UPPD Validation.
- Add 'Total Surcharges' data element. Usage is 'C', Mandatory when present in the UPPD and supported by the TSP.

Sense of the Room: July 29, 1997   __9_ In Favor   __0_ Opposed
Segment Check (if applicable):

In Favor :	___End-Users	___LDCs	___Pipelines	___Producers	___Services
Opposed:	___End-Users	___LDCs	___Pipelines	___Producers	___Services

Technical Subcommittee
Sense of the Room: August 8, 1997   __6_ In Favor   __0_ Opposed
Segment Check (if applicable):

In Favor :	___End-Users	___LDCs	___Pipelines	___Producers	___Services
Opposed:	___End-Users	___LDCs	___Pipelines	___Producers	___Services

Information Requirements
Remove the 'Surcharge Indicator' from the UPPD - Validation (5.4.8). Error/warnings are returned, but not the indicator itself.

Sense of the Room: August 19, 1997   _12_ In Favor   __0_ Opposed
Segment Check (if applicable):

RECOMMENDATION TO GISB EXECUTIVE COMMITTEE

Requester: TransCapacity L. P.   **Request No.: R97052, R97053**

In Favor :	___End-Users	___LDCs	___Pipelines	___Producers	___Services
Opposed:	___End-Users	___LDCs	___Pipelines	___Producers	___Services

Technical Subcommittee

No action needed. Technical's recommendation on August 8, 1997 reflected the action taken by IR on August 19, 1997. It was acknowledged in the August 22, 1997 minutes that all changes were reflected in the August 8, 1997 minutes.

Sense of the Room: August 22, 1997   ___In Favor   ___Opposed

Segment Check (if applicable):

In Favor :	___End-Users	___LDCs	___Pipelines	___Producers	___Services
Opposed:	___End-Users	___LDCs	___Pipelines	___Producers	___Services

c.   Business Purpose:

Per the requests: There have been a number of requests for additional Surcharge Identification Code values which are necessary where surcharges are stated separately and in some cases, where they are actually separately biddable. This modification would solve the issues surrounding surcharges and negate any need for a number of current and future change requests related to Surcharge Identification Code values.

Rates would be stated as a total number which would include all surcharges, including demand surcharges and contract surcharges. It would be used in all capacity release documents in which rates and surcharges are contained.

d.   Commentary/Rationale of Subcommittee(s)/Task Force(s):

Information Requirements: Discussed adding a data element 'Total Rate', which was voted down (0 In Favor; 9 Opposed). IR didn't feel that this was necessary or specifically part of the recommendation. The issue was that we could have multiple Rate Identification Codes for one quantity, which was not addressed at the BPS level. BPS discussion centered around multiple surcharges, not multiple rate codes. Also, it was discussed that the total could easily be calulated by the receiver, unlike the issue with multiple surcharges.

For the Offer, the BPS recommendation stated that the Surcharge Identification Code should be 'SO'. However, since the sender has the option of choosing which Surcharge Indicator code value to send, he does have the option of sending or not sending the Surcharge Identification Code.