**RECOMMENDATION TO GISB EXECUTIVE COMMITTEE**


**BPS Order 637 Priority Action Item 3 (Priority 1)**


**1. Recommended Action:**

___Accept as requested

___Accept as modified below

___Decline

**Effect of EC Vote to Accept Recommended Action:**

_X_Change to Existing Practice

___Status Quo


**2. TYPE OF MAINTENANCE**

**Per Request:**

___Initiation

___Modification

___Interpretation

___Withdrawal


___Principle (x.1.z)

___Definition (x.2.z)

___Business Practice Standard (x.3.z)

___Document (x.4.z)

___Data Element (x.4.z)

___Code Value (x.4.z)

___X12 Implementation Guide

___Business Process Documentation

**Per Recommendation:**

___Initiation

_X_Modification

___Interpretation

___Withdrawal


___Principle (x.1.z)

___Definition (x.2.z)

_X_Business Practice Standard (x.3.z)

___Document (x.4.z)

_X_ Data Element (x.4.z)

_X_ Code Value (x.4.z)

_X_ X12 Implementation Guide

_X_ Business Process Documentation


**3. RECOMMENDATION**

**SUMMARY:**   * Modify GISB Standard No. 5.3.22.
   * Modify the data dictionary for the Offer Download (5.4.1), Bid Download (5.4.2), Award Download (5.4.3), Offer Upload (5.4.7), and Offer Upload Notification (5.4.9).
   * Delete the code values table for the deleted data element Lesser Rate Indicator in the Offer Download (5.4.1) and Offer Upload (5.4.7).
   * Add a code values table for the new data element Minimum Rate Disclosure Indicator in the Offer Upload (5.4.7).
   * Modify the code values tables for the validation codes in the Offer Upload Quick Response (5.4.8) and the Bid Upload Quick Response (5.4.19).
   * Modify the Capacity Release Executive Summary.

## RECOMMENDATION TO GISB EXECUTIVE COMMITTEE

## BPS Order 637 Priority Action Item 3 (Priority 1)

**STANDARDS LANGUAGE:**

**Proposed revision to GISB Standard No. 5.3.22**:
~~For less than maximum rate transactions only,~~ ~~c~~*C*onverting *a* daily rate to *a* monthly rate is accomplished by multiplying the daily rate times *the* number of days in *the* rate period, dividing the result by *the* number of months in *the* rate period, ~~and~~ taking the remainder out to 5 decimal~~s~~ places, and rounding up or down to the transporter's specified decimal place.

Converting a monthly rate to a daily rate is accomplished by multiplying the monthly rate by *the* number of months in *the* rate period~~;~~, dividing the result by *the* number of days in *the* rate period, ~~and~~ taking the remainder out to 5 decimal~~s~~ places, and rounding up or down to the transporter's specified decimal place.

**DATA DICTIONARY** (for new documents and addition, modification or deletion of data elements)

**Document Name and No.:** Offer Download, 5.4.1

| Business Name (Abbreviation) | Definition | EBB Usage | EDI/FF Usage | Condition |
|---|---|---|---|---|
| ~~Lesser Rate Indicator Data~~ | ~~An indicator which tells bidders whether the releasing shipper will accept bids for less than the maximum rate.~~ | | | |
| ~~Lesser Rate Indicator (Less Rate)~~ | | ~~C~~ | ~~C~~ | ~~Mandatory when deal is biddable. This field does not create a choice where none exists. For EBB, when this condition is met, at least one of Lesser Rate Indicator or Lesser Rate Indicator Description is required.~~ |
| ~~Lesser Rate Indicator Description (Less Rate Desc)~~ | | ~~C~~ | ~~nu~~ | ~~Mandatory when deal is biddable. This field does not create a choice where none exists. For EBB, when this condition is met, at least one of Lesser Rate Indicator or Lesser Rate Indicator Description is required.~~ |
| Maximum *Tariff* Rate (Max *Trf* Rate) | The maximum tariff rate ~~allowed~~ for the Rate Identification Code specified. | SO | SO | |

**BPS Order 637 Priority Action Item 3 (Priority 1)**

| Business Name (Abbreviation) | Definition | EBB Usage | EDI/FF Usage | Condition |
|---|---|---|---|---|
| Minimum Acceptable Percentage of Maximum *Tariff* Rate (Min Acpt Pct) | The minimum acceptable percentage of *the* maximum *tariff* rate per unit of the rate form specified that the releasing shipper will entertain. | C | C | ~~Mandatory when the Lesser Rate Indicator is 'y', and the Releaser Designation of Acceptable Bidding Basis is 'percentage of maximum tariff rate' or 'either'.~~ *Mandatory when the following conditions are met:* <br>• *Minimum Rate Disclosure Indicator in the Offer Upload is 'disclosed', and* <br>• *Releaser Designation of Acceptable Bidding Basis is 'percentage of maximum tariff rate' or 'either'.* |
| Minimum Acceptable Rate (Min Acpt Rate) | The minimum acceptable rate per unit, of the Rate Identification Code or Surcharge Identification Code specified that the releasing shipper will entertain. | C | C | ~~Mandatory when the Lesser Rate Indicator is 'y', and the Releaser Designation of Acceptable Bidding Basis is 'absolute dollars and cents per unit basis' or 'either'.~~ *Mandatory when the following conditions are met:* <br>• *Minimum Rate Disclosure Indicator in the Offer Upload is 'disclosed', and* <br>• *Releaser Designation of Acceptable Bidding Basis is 'absolute dollars and cents per unit basis' or 'either'.* |
| Rate Identification Code Data | A code which identifies a specific rate. | | | |

| Business Name (Abbreviation) | Definition | EBB Usage | EDI/FF Usage | Condition |
|---|---|---|---|---|
| Rate Identification Code (Rate ID) | | C | C | Mandatory when any of the following data elements are populated: Maximum *Tariff* Rate, Minimum Acceptable Percentage of Maximum *Tariff* Rate, Minimum Acceptable Rate, Minimum Acceptable Volumetric Commitment Percentage, Minimum Acceptable Volumetric Load Factor Percentage. For EBB, when this condition is met, at least one of Rate Identification Code or Rate Identification Code Description is required. |
| Rate Identification Code Description (Rate ID Desc) | | C | nu | Mandatory when any of the following data elements are populated: Maximum *Tariff* Rate, Minimum Acceptable Percentage of Maximum *Tariff* Rate, Minimum Acceptable Rate, Minimum Acceptable Volumetric Commitment Percentage, Minimum Acceptable Volumetric Load Factor Percentage. For EBB, when this condition is met, at least one of Rate Identification Code or Rate Identification Code Description is required. |

**Document Name and No.:** Bid Download, 5.4.2

| Business Name (Abbreviation) | Definition | EBB Usage | EDI/FF Usage | Condition |
|---|---|---|---|---|
| Percentage of Maximum *Tariff* Rate Bid (Pct Max *Trf* Rate Bid) | The percentage of *the* maximum *tariff* rate bid per the Rate Identification Code or Surcharge Code specified. | C | C | Mandatory when the Bidder Designation of Bidding Basis is 'percentage of maximum tariff rate'. |

**BPS Order 637 Priority Action Item 3 (Priority 1)**

**Document Name and No.:** Award Download, 5.4.3

| Business Name (Abbreviation) | Definition | EBB Usage | EDI/FF Usage | Condition |
|---|---|---|---|---|
| Award Percentage of Maximum *Tariff* Rate (Awd Pct Max *Trf* Rate) | The percentage of *the* maximum tariff rate per unit of the Rate Identification Code or Surcharge Identification specified. | C | C | Mandatory when the Bidder Designation of Bidding Basis is 'percentage of maximum tariff rate'. |
| Maximum *Tariff* Rate (Max *Trf* Rate) | The maximum tariff rate ~~allowed~~ for the Rate Identification Code or Surcharge Identification specified. | M | M | |

**Document Name and No.:** Offer Upload, 5.4.7

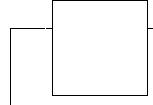| Business Name (Abbreviation) | Definition | EBB Usage | EDI/FF Usage | Condition |
|---|---|---|---|---|
| Disclose Indicator Data | An indicator which tells the transportation service provider whether the releaser wants the transportation service provider to disclose all data elements that have minimum conditions – quantity, term and rate. | | | |
| Disclose Indicator (Disc~~lose~~) | | MA | MA | |
| Disclose Indicator Description (Disc~~lose~~ Desc) | | MA | nu | |
| ~~Lesser Rate Indicator Data~~ | ~~An indicator which tells bidders whether the releasing shipper will accept bids for less than the maximum rate.~~ | | | |
| ~~Lesser Rate Indicator (Less Rate)~~ | | ~~C~~ | ~~C~~ | ~~Mandatory when the Biddable Deal Indicator indicates Biddable. For EBB, when this condition is met, at least one of Lesser Rate Indicator or Lesser Rate Indicator Description is required.~~ |

**BPS Order 637 Priority Action Item 3 (Priority 1)**

| Business Name (Abbreviation) | Definition | EBB Usage | EDI/FF Usage | Condition |
|---|---|---|---|---|
| ~~Lesser Rate Indicator Description (Less Rate Desc)~~ | | ~~C~~ | ~~nu~~ | ~~Mandatory when the Biddable Deal Indicator indicates Biddable. For EBB, when this condition is met, at least one of Lesser Rate Indicator or Lesser Rate Indicator Description is required.~~ |
| Maximum *Tariff* Rate (Max *Trf* Rate) | The maximum tariff rate ~~allowed~~ for the Rate Identification Code or Surcharge Identification specified. | BC | BC | This field is used when the transportation service requires the releasing shipper to indicate the maximum *tariff* rate. |
| Minimum Acceptable Percentage of Maximum *Tariff* Rate (Min Acpt Pct) | The minimum acceptable percentage of *the* maximum *tariff* rate per unit of the rate form specified that the releasing shipper will entertain. | C | C | ~~Mandatory when the Lesser Rate Indicator is 'y', and the Releaser Designation of Acceptable Bidding Basis is 'percentage of maximum tariff rate' or 'either'.~~ *Mandatory when the following conditions are met:* <br>• *Biddable Deal Indicator indicates biddable, and* <br>• *Releaser Designation of Acceptable Bidding Basis is 'percentage of maximum tariff rate' or 'either'.* |
| Minimum Acceptable Rate (Min Acpt Rate) | The minimum acceptable rate per unit, of the Rate Identification Code or Surcharge Identification Code specified that the releasing shipper will entertain. | C | C | ~~Mandatory when the Lesser Rate Indicator is 'y', and the Releaser Designation of Acceptable Bidding Basis is 'absolute dollars and cents per unit basis' or 'either'.~~ *Mandatory when the following conditions are met:* <br>• *Biddable Deal Indicator indicates biddable, and* <br>• *Releaser Designation of Acceptable Bidding Basis is 'absolute dollars and cents per unit basis' or 'either'.* |

**BPS Order 637 Priority Action Item 3 (Priority 1)**

| Business Name (Abbreviation) | Definition | EBB Usage | EDI/FF Usage | Condition |
|---|---|---|---|---|
| *Minimum Rate Disclosure Data* | *Instructs the transportation service provider whether to disclose the minimum rate that the releaser will accept.* | | | |
| *Minimum Rate Disclosure Indicator (Min Rate Discl)* | | *C* | *C* | *Mandatory when the Biddable Deal Indicator indicates biddable. For EBB, when this condition is met, at least one of Minimum Rate Disclosure Indicator or Minimum Rate Disclosure Description is required.* |
| *Minimum Rate Disclosure Description (Min Rate Discl Desc)* | | *C* | *nu* | *Mandatory when the Biddable Deal Indicator indicates biddable. For EBB, when this condition is met, at least one of Minimum Rate Disclosure Indicator or Minimum Rate Disclosure Description is required.* |
| Percentage of Maximum *Tariff* Rate Bid (Pct Max *Trf* Rate Bid) | The percentage of *the* maximum *tariff* rate bid per the Rate Identification Code or Surcharge Identification Code specified. | C | C | Mandatory when the Prearranged Deal Indicator indicates prearranged and the Bidder Designation of Bidding Basis is 'percentage of maximum tariff rate.' |

**Document Name and No.:**  Offer Upload Notification, 5.4.9

| Business Name (Abbreviation) | Definition | EBB Usage | EDI/FF Usage | Condition |
|---|---|---|---|---|
| Maximum *Tariff* Rate (Max *Trf* Rate) | The maximum tariff rate allowed for the Rate Identification Code or Surcharge Identification Code specified. | C | C | Mandatory when present and processed in the original Offer Upload or when this document is sent by the transportation service provider. |
| Percentage of Maximum *Tariff* Rate Bid (Pct Max *Trf* Rate Bid) | The percentage of *the* maximum *tariff* rate bid per the Rate Identification Code or Surcharge Identification Code specified. | C | C | Mandatory when present in the original Offer Upload. |

**CODE VALUES LOG** (for addition, modification or deletion of code values)

**Document Name and No.:**  Offer Download, 5.4.1
**Data Element:**                Lesser Rate Indicator

| ~~Code Value Description~~ | ~~Code Value Definition~~ | ~~Code Value~~ |
|---|---|---|
| ~~Releasing shipper will accept bids for less than the maximum rate.~~ | | ~~Y~~ |
| ~~Releasing shipper will not accept bids for less than the maximum rate.~~ | | ~~N~~ |
| ~~(Undisclosed) A minimum rate is prescribed, but the releasing shipper does not want that minimum rate disclosed.~~ | | ~~U~~ |

**Document Name and No.:**  Offer Upload, 5.4.7
**Data Element:**                Lesser Rate Indicator

| ~~Code Value Description~~ | ~~Code Value Definition~~ | ~~Code Value~~ |
|---|---|---|
| ~~Releasing shipper will accept bids for less than the maximum rate.~~ | | ~~Y~~ |
| ~~Releasing shipper will not accept bids for less than the maximum rate.~~ | | ~~N~~ |
| ~~(Undisclosed) A minimum rate is prescribed, but the releasing shipper does not want that minimum rate disclosed.~~ | | ~~U~~ |

**Document Name and No.:**  Offer Upload, 5.4.7
**Data Element:**                Minimum Rate Disclosure Indicator

| *Code Value Description* | *Code Value Definition* | *Code Value* |
|---|---|---|
| *Disclosed* | *The transportation service provider should disclose the minimum rate that the releaser will accept.* | *D* |
| *Undisclosed* | *The transportation service provider should not disclose the minimum rate that the releaser will accept.* | *U* |

**RECOMMENDATION TO GISB EXECUTIVE COMMITTEE**

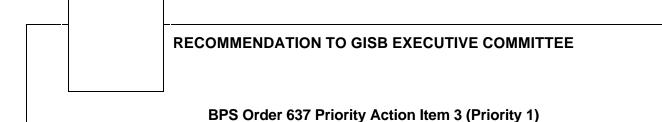**BPS Order 637 Priority Action Item 3 (Priority 1)**

**Document Name and No.:** Offer Upload Quick Response, 5.4.8
**Data Element:** Validation Code (Heading)

| Code Value | Code Value Description | Code Value Definition |
|---|---|---|
| E133 | ~~Missing Lesser Rate Indicator~~ | ~~[no definition necessary]~~ |
| E134 | ~~Invalid Lesser Rate Indicator~~ | ~~[no definition necessary]~~ |
| *E522* | *Missing Minimum Rate Disclosure Indicator* | *[no definition necessary]* |
| *E523* | *Invalid Minimum Rate Disclosure Indicator* | *[no definition necessary]* |

**Document Name and No.:** Offer Upload Quick Response, 5.4.8
**Data Element:** Validation Code (Detail)

| Code Value | Code Value Description | Code Value Definition |
|---|---|---|
| E234 | Missing Percentage of Maximum *Tariff* Rate Bid | [no definition necessary] |
| E235 | Invalid Percentage of Maximum *Tariff* Rate Bid | [no definition necessary] |
| E236 | Percentage of Maximum *Tariff* Rate Bid outside of percentage terms specified | [no definition necessary] |
| E237 | Missing Minimum Acceptable Percentage of Maximum *Tariff* Rate | [no definition necessary] |
| E238 | Invalid Minimum Acceptable Percentage of Maximum *Tariff* Rate | [no definition necessary] |
| E239 | Minimum Acceptable Percentage of Maximum *Tariff* Rate outside of contract terms | [no definition necessary] |
| E240 | Minimum Acceptable Percentage of Maximum *Tariff* Rate outside of tariff terms | [no definition necessary] |
| E616 | Missing Maximum *Tariff* Rate | [no definition necessary] |
| E617 | Invalid Maximum *Tariff* Rate | [no definition necessary] |
| W657 | ~~Maximum Rate deal rates will float with the change of tariff maximum rates~~ *Deal rates based on percentage of maximum tariff rate will float with changes in the maximum tariff rate* | [no definition necessary] |
| W901 | Maximum *Tariff* Rate not processed | [no definition necessary] |
| *E623* | *Minimum Acceptable Percentage of Maximum Tariff Rate is greater than 100 and release term is greater than or equal to one year* | *[no definition necessary]* |
| *E624* | *Minimum Acceptable Rate is greater than Maximum Tariff Rate and release term is greater than or equal to one year* | *[no definition necessary]* |
| *E625* | *Percentage of Maximum Tariff Rate Bid is greater than 100 and release term is greater than or equal to one year* | *[no definition necessary]* |
| *E626* | *Rate Bid is greater than Maximum Tariff Rate and release term is greater than or equal to one year* | *[no definition necessary]* |

**RECOMMENDATION TO GISB EXECUTIVE COMMITTEE**

**BPS Order 637 Priority Action Item 3 (Priority 1)**

**Document Name and No.:** Bid Upload Quick Response, 5.4.19
**Data Element:**                    Validation Code (Detail)

| Code Value | Code Value Description | Code Value Definition |
|---|---|---|
| EBDQR308 | Missing Percentage of Maximum *Tariff* Rate Bid | [no definition necessary] |
| EBDQR309 | Invalid Percentage of Maximum *Tariff* Rate Bid | [no definition necessary] |
| EBDQR310 | Percentage of Maximum *Tariff* Rate Bid outside of minimum terms | [no definition necessary] |
| WBDQR302 | ~~Maximum rate deal rates will float with the change of tariff maximum rates~~ *Deal rates based on percentage of maximum tariff rate will float with changes in the maximum tariff rate* | [no definition necessary] |
| *EBDQR345* | *Percentage of Maximum Tariff Rate Bid is greater than 100 and release term is greater than or equal to one year* | *[no definition necessary]* |
| *EBDQR346* | *Rate Bid is greater than Maximum Tariff Rate and release term is greater than or equal to one year* | *[no definition necessary]* |

**BUSINESS PROCESS DOCUMENTATION** (for addition, modification or deletion of business process documentation language)

**Standards Book:** Capacity Release Related Standards, Executive Summary

- Change the title "Why Capacity Release and EDI?" to "The History of Capacity Release and EDI"
- Add a new section entitled "FERC Order 637 et al" immediately after the section entitled "The History of Capacity Release and EDI."  This section will contain the following language:

> Pursuant to FERC Order 637 et al., the FERC removed the maximum rate cap on releases whose term is less than one year.  This provision may expire at the end of the time period specified by FERC (September 30, 2002).

**Standards Book:**            Capacity Release Related Standards, Offer Download, (5.4.1)

Change to the Sample Paper Transaction:
- In the Detail Section, under the Terms of Release, remove the data element name "Lesser Rate" and the associated code value of "Yes"

**BPS Order 637 Priority Action Item 3 (Priority 1)**

**TECHNICAL CHANGE LOG** (all instructions to accomplish the recommendation)

**Document Name and No.:** Offer Download (5.4.1)
Bid Download (5.4.2)
Award Download (5.4.3)
Offer Upload (5.4.7)
Offer Upload Quick Response (5.4.8)
Offer Upload Notification (5.4.9)
Bid Upload Quick Response (5.4.19)

| Description of Change: |
| --- |
| **G840CROF - Offer Download (5.4.1)** |
| **Data Element Xref to X12** |
| Heading REF segment:  delete entire REF line for data element Lesser Rate Indicator |
| Detail SAC segment:  change "Maximum Rate" to "Maximum Tariff Rate" |
| Detail SAC segment:  change "Minimum Acceptable Percentage of Maximum Rate" to " Minimum Acceptable Percentage of Maximum Tariff Rate" |
| **Sample X12 Transaction** |
| line 8 REF segment:  delete entire line "REF*1Z*Y" |
| last line SE segment:  change "35" to "34" |
| **X12 Mapping** |
| Header REF segment (position 050):  REF02:  delete data element name "Lesser Rate Indicator" |
| Detail SAC segment (position 130):  SAC08:  change data element name "Maximum Rate" to "Maximum Tariff Rate"; change data element name "Minimum Acceptable Percentage of Maximum Rate" to " Minimum Acceptable Percentage of Maximum Tariff Rate" |
| **Transaction Set Tables** |
| "REF Segments (Heading)" table:  delete the entire rows and subrows for data element "Lesser Rate Indicator" |
| "SAC Segments (Detail)" table:  in the Element Name (SAC08) column:  change data element name "Maximum Rate" to "Maximum Tariff Rate";  change data element name "Minimum Acceptable Percentage of Maximum Rate" to " Minimum Acceptable Percentage of Maximum Tariff Rate" |
| "SAC Segments (Detail)" table:  In the Usage section under the table, for condition C1, change "and the Lesser Rate Indicator is 'Y'." to "and the Minimum Rate Disclosure Indicator in the corresponding Offer Upload is 'D'." |
| "SAC Segments (Detail)" table:  In the Usage section under the table, for condition C2, change "and the Lesser Rate Indicator is 'Y'." to "and the Minimum Rate Disclosure Indicator in the corresponding Offer Upload is 'D'." |
| "SAC Segments (Detail)" table:  In the Usage section under the table, for condition C3, change data element name "Maximum Rate" to "Maximum Tariff Rate";  change data element name "Minimum Acceptable Percentage of Maximum Rate" to " Minimum Acceptable Percentage of Maximum Tariff Rate" |
| |
| **G843CRBR - Bid Download (5.4.2)** |
| **Data Element Xref to X12** |
| Detail SAC segment:  change "Percentage of Maximum Rate Bid" to "Percentage of Maximum Tariff Rate Bid" |
| **X12 Mapping** |
| Detail SAC segment (position 130):  SAC08:  change data element name "Percentage of Maximum Rate Bid" to "Percentage of Maximum Tariff Rate Bid" |
| **Transaction Set Tables** |

"SAC Segments (Detail)" table: in the Element Name (SAC08) column: change data element name "Percentage of Maximum Rate Bid" to "Percentage of Maximum Tariff Rate Bid"

**G843CRAN - Award Download (5.4.3)**

**Data Element Xref to X12**

Detail SAC segment: change "Maximum Rate" to "Maximum Tariff Rate"

Detail SAC segment: change "Award Percentage of Maximum Rate" to "Award Percentage of Maximum Tariff Rate"

**X12 Mapping**

Detail SAC segment (position 130): SAC08: change data element name "Maximum Rate" to "Maximum Tariff Rate"; change data element name "Award Percentage of Maximum Rate" to "Award Percentage of Maximum Tariff Rate"

**Transaction Set Tables**

"SAC Segments (Detail)" table: in the Element Name (SAC08) column: change data element name "Maximum Rate" to "Maximum Tariff Rate"; change data element name "Award Percentage of Maximum Rate" to "Award Percentage of Maximum Tariff Rate"

**G840UDOF - Offer Upload (5.4.7)**

**Data Element Xref to X12**

Heading REF segment: change data element name "Lesser Rate Indicator" to "Minimum Rate Disclosure Indicator"

Detail SAC segment: change "Maximum Rate" to "Maximum Tariff Rate"

Detail SAC segment: change "Minimum Acceptable Percentage of Maximum Rate" to "Minimum Acceptable Percentage of Maximum Tariff Rate"

**X12 Mapping**

Header REF segment (position 050): REF02: change data element name "Lesser Rate Indicator" to "Minimum Rate Disclosure Indicator"

Detail SAC segment (position 130): SAC08: change data element name "Maximum Rate" to "Maximum Tariff Rate"; change data element name "Minimum Acceptable Percentage of Maximum Rate" to " Minimum Acceptable Percentage of Maximum Tariff Rate"; change data element name "Percentage of Maximum Rate Bid" to "Percentage of Maximum Tariff Rate Bid"

**Transaction Set Tables**

"REF Segments (Heading)" table: for data element "Lesser Rate Indicator", change Element Name column from "Lesser Rate Indicator" to "Minimum Rate Disclosure Indicator"; change REF01 column from "1Z" to "SU"; change REF02 column from "Y" to "D". In Description column (immediately after REF02 column) where REF01 = D, change description to "Disclosed"; delete subrow where REF01 = "N"; in Description column (immediately after REF02 column) where REF01 = "U", change description to "Undisclosed"

"SAC Segments (Detail)" table: in the Element Name (SAC08) column: change data element name "Maximum Rate" to "Maximum Tariff Rate"; change data element name "Minimum Acceptable Percentage of Maximum Rate" to " Minimum Acceptable Percentage of Maximum Tariff Rate"; change data element name "Percentage of Maximum Rate Bid" to "Percentage of Maximum Tariff Rate Bid"

"SAC Segments (Detail)" table: for data element "Minimum Acceptable Percentage of Maximum Tariff Rate", change Usage column from "C1" to "C3"

"SAC Segments (Detail)" table: In the Usage section under the table, for condition C1, change "and the Lesser Rate Indicator is 'Y'." to "and the Biddable Deal Indicator is '03'."

"SAC Segments (Detail)" table: In the Usage section under the table, for condition C3, change "and the Lesser Rate Indicator is 'Y'." to "and the Biddable Deal Indicator is '03'."

"SAC Segments (Detail)" table: In the Usage section under the table, for condition C4, change "Mandatory when the Bidder Designation of Bidding Basis is 'A' " to "Mandatory when the Bidder Designation of Bidding Basis is 'P' "

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**G843UDVL - Offer Upload Quick Response (5.4.8)**

**Transaction Set Tables**

"Errors and Warnings (Heading)" table: delete entries for error codes E133 and E134

"Errors and Warnings (Heading)" table: add the following error codes to the table in numerical order: "E522 - Missing Minimum Rate Disclosure Indicator"; "E523 - Invalid Minimum Rate Disclosure Indicator"

"Errors and Warnings (Detail)" table: for the following code values, change the phrase "Maximum Rate" to "Maximum Tariff Rate": E234, E235, E236, E237, E238, E239, E240, E616, E617, W901

"Errors and Warnings (Detail)" table: for code value W657, change code value description to "Deal rates based on percentage of maximum tariff rate will float with changes in the maximum tariff rate."

"Errors and Warnings (Detail)" table: Add the following errors to the table in numerical order: "E623 - Minimum Acceptable Percentage of Maximum Tariff Rate is greater than 100 and release term is greater than or equal to one year"; "E624 - Minimum Acceptable Rate is greater than Maximum Tariff Rate and release term is greater than or equal to one year"; "E625 - Percentage of Maximum Tariff Rate Bid is greater than 100 and release term is greater than or equal to one year"; "E626 - Rate Bid is greater than Maximum Tariff Rate and release term is greater than or equal to one year"

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**G840UDRC - Offer Upload Notification (5.4.9)**

**Data Element Xref to X12**

Detail SAC segment: change "Maximum Rate" to "Maximum Tariff Rate"

Detail SAC segment: change "Percentage of Maximum Rate Bid" to "Percentage of Maximum Tariff Rate Bid"

**X12 Mapping**

Detail SAC segment (position 130): SAC08: change data element name "Maximum Rate" to "Maximum Tariff Rate"; change data element name "Percentage of Maximum Rate Bid" to "Percentage of Maximum Tariff Rate Bid"

**Transaction Set Tables**

"SAC Segments (Detail)" table: in the Element Name (SAC08) column: change data element name "Maximum Rate" to "Maximum Tariff Rate"; change data element name "Percentage of Maximum Rate Bid" to "Percentage of Maximum Tariff Rate Bid"
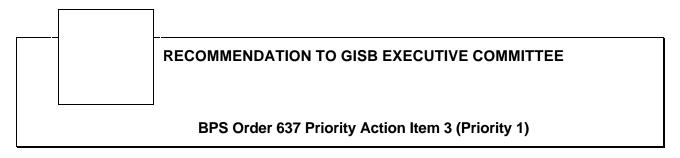
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**G843BDQR - Bid Upload Quick Response (5.4.19)**

**Transaction Set Tables**

"Errors and Warnings (Detail)" table: for the following code values, change the phrase "Maximum Rate" to "Maximum Tariff Rate": EBDQR308, EBDQR309, EBDQR310

"Errors and Warnings (Detail)" table: for code value WBDQR302, change code value description to "Deal rates based on percentage of maximum tariff rate will float with changes in the maximum tariff rate."

"Errors and Warnings (Detail)" table: Add the following errors to the table in numerical order: "EBDQR345 - Percentage of Maximum Tariff Rate Bid is greater than 100 and release term is greater than or equal to one year"; "EBDQR346 - Rate Bid is greater than Maximum Tariff Rate and release term is greater than or equal to one year"

## 4. SUPPORTING DOCUMENTATION

**a. Description of Request:**

Change data sets to accommodate rates in excess of TSP's max rate. (Affects GISB Standard Nos. 5.4.1, 5.4.2, 5.4.7, 5.4.8, 5.4.9).

**b. Description of Recommendation:**

**Business Practices Subcommittee, June 26, 2000**

Each of the Capacity Release standards, interpretations, and data elements were reviewed one by one. The following results were recorded. Mr. Young motioned and Mr. Keisler seconded. The motion passed unanimously.

**Modify the standards as follows:**

**5.3.22** ~~For less than maximum rate transactions only, c~~*C*onverting *a* daily rate to *a* monthly rate is accomplished by multiplying the daily rate times *the* number of days in *the* rate period, dividing the result by *the* number of months in *the* rate period, ~~and~~ taking the remainder out to 5 decimal~~s~~ places, and rounding up or down to the transporter's specified decimal place.

Converting a monthly rate to a daily rate is accomplished by multiplying the monthly rate by *the* number of months in *the* rate period~~;~~, dividing the result by *the* number of days in *the* rate period, ~~and~~ taking the remainder out to 5 decimal~~s~~ places, and rounding up or down to the transporter's specified decimal place.

**BPS Order 637 Priority Action Item 3 (Priority 1)**

**Modify the data dictionaries as follows:**

In Capacity Release data set 5.4.1 Offer Download, modify the data element Maximum Rate as follows:

**Offer Download, 5.4.1**

| Business Name | Definition | Usage | Condition |
|---|---|---|---|
| Maximum *Tariff* Rate | The maximum tariff rate ~~allowed~~ for the Rate Identification Code specified. | SO | |

In data sets 5.4.3 Award Download, 5.4.7 Offer Upload, 5.4.9 Offer Upload Notification, modify the data element Maximum Rate as follows:

**Award Download, 5.4.3**

| Business Name | Definition | Usage | Condition |
|---|---|---|---|
| Maximum *Tariff* Rate | The maximum tariff rate ~~allowed~~ for the Rate Identification Code or Surcharge Identification Code specified. | M | |

**Offer Upload 5.4.7**

| Business Name | Definition | Usage | Condition |
|---|---|---|---|
| Maximum *Tariff* Rate | The maximum tariff rate ~~allowed~~ for the Rate Identification Code or Surcharge Identification Code specified. | BC | |

**Offer Upload Notification 5.4.9**

| Business Name | Definition | Usage | Condition |
|---|---|---|---|
| Maximum *Tariff* Rate | The maximum tariff rate ~~allowed~~ for the Rate Identification Code or Surcharge Identification Code specified. | C | Mandatory when present and processed in the original Offer Upload or when this document is sent by the transportation service provider. |

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In the data set 5.4.3 Award Download, modify the data element Award Percentage of Maximum Rate as follows:

**Award Download, 5.4.3**

| Business Name | Definition | Usage | Condition |
|---|---|---|---|
| Award Percentage of Maximum _Tariff_ Rate | The percentage of maximum tariff rate per unit of the Rate Identification Code or Surcharge Identification Code specified. | C | Mandatory when the Bidder Designation of Bidding Basis is 'percentage of maximum tariff rate'. |

In the data sets 5.4.1 Offer Download and 5.4.7 Offer Upload, modify the code value descriptions for the data element Lesser Rate Indicator as follows:

**Document Name and No.:**  Offer Download 5.4.1, Offer Upload 5.4.7
**Data Element:**                     Lesser Rate Indicator

| Code Value Description | Code Value Definition | Code Value |
|---|---|---|
| Releasing shipper will accept bids for less than the maximum _tariff_ rate. | | Y |
| Releasing shipper will not accept bids for less than the maximum _tariff_ rate. | | N |

In the data sets 5.4.1 Offer Download and 5.4.7 Offer Upload, modify the data element Minimum Acceptable Percentage of Maximum Rate as follows:

**Offer Download 5.4.1, Offer Upload 5.4.7**

| Business Name | Definition | Usage | Condition |
|---|---|---|---|
| Minimum Acceptable Percentage of Maximum _Tariff_ Rate | The minimum acceptable percentage of _the_ maximum _tariff_ rate per unit of the rate form specified that the releasing shipper will entertain. | C | Mandatory when the Lesser Rate Indicator is 'y', and the Releaser Designation of Acceptable Bidding Basis is 'absolute dollars and cents per unit basis' or 'either'. |

In the data sets 5.4.2 Bid Download, 5.4.7 Offer Upload, and 5.4.9 Offer Upload Notification, modify the data element Percentage of Maximum Rate Bid as follows:

**Bid Download, 5.4.2, Offer Upload 5.4.7, Offer Upload Notification 5.4.9**

| Business Name | Definition | Usage | Condition |
|---|---|---|---|
| Percentage of Maximum _Tariff_ Rate Bid | The percentage of _the_ maximum _tariff_ rate bid per the Rate Identification Code or Surcharge Identification Code specified. | C | Mandatory when the Bidder Designation of Bidding Basis is 'percentage of maximum tariff rate'. |

**BPS Order 637 Priority Action Item 3 (Priority 1)**

**Instructions to IR:**
Instruct Information Requirements Subcommittee to develop appropriate Validation Codes and descriptions for the Capacity Release Quick Response data sets to address the removal of the maximum rate cap criteria for certain releases.

| Segments | Vote For | Balanced For | Vote Against | Balanced Against |
|---|---|---|---|---|
| End Users | 1 | 1 | 0 | 0 |
| LDCs | 0 | 0 | 0 | 0 |
| Services | 2 | 2 | 0 | 0 |
| Producers | 0 | 0 | 0 | 0 |
| Pipelines | 9 | 2 | 0 | 0 |
| **Totals** | 12 | 5 | 0 | 0 |

(Note: balanced vote taken from conference call voting record in minutes)


**Business Practices Subcommittee, July 13, 2000**
**Discussion:**
This agenda item was originally discussed via conference call on June 26, 2000 (see the meeting minutes for details). It was added to this meeting agenda because it was felt by some that the usage of the Minimum Rate Indicator or the code values of the Lesser Rate Indicator in the Offer Upload and Offer Download should be evaluated based on the elimination of the maximum rate in Order 637.

Denise Breeden from El Paso Energy explained how the data is currently used in the Offer Upload and Download. The Lesser Rate Indicator has three code values:
> Y – releaser will accept bids for less than max tariff rate (disclosed)
> U – releaser will accept bids for less than max tariff rate (undisclosed)
> No – releaser will not accept bids for less than maximum tariff rate

The Minimum Acceptable Rate and Minimum Acceptable Percentage of Maximum Rate is then mandatory in the Offer Upload when the Lesser Rate Indicator is Y or U.

There needs to be a way to specify the minimum rate the releaser will accept when the minimum is above maximum tariff rate.

There was discussion that there may not still be a need for the data element Lesser Rate Indicator. This could be accomplished by placing the minimum rate in the minimum rate field and having an indicator that tells whether the rate is disclosed or undisclosed.

There was discussion as to whether the minimum rate should be required to be disclosed when it is above maximum tariff rate.

Should we have language in the instructions to IR to limit the time period for the implementation to what was specified in the Order? We could asterisk the business standard to say that the business practice should be reviewed at the end of the FERC specified time period.

**Motion:**
Instruct Information Requirements to accommodate the business practice of allowing the releaser to specify a minimum rate even when that minimum rate is above the maximum tariff rate, as allowed pursuant to Order 637. IR should note in the Executive Summary of the Capacity Release Related Standards book that this

business practice may expire at the end of the time period specified by FERC (September 30, 2002). The releaser may choose to specify such minimum rate as disclosed or undisclosed.
Motion by Theresa Hess, seconded Joe Kardas

| Segments | Vote For | Balanced For | Vote Against | Balanced Against |
|---|---|---|---|---|
| End Users | 1 | 1 | 0 | 0 |
| LDCs | 0 | 0 | 0 | 0 |
| Services | 1 | 1 | 0 | 0 |
| Producers | 0 | 0 | 0 | 0 |
| Pipelines | 14 | 2 | 0 | 0 |
| **Totals** | 16 | 4 | 0 | 0 |

**Motion passes**

**Information Requirements Subcommittee**
    **MOTION:**

- No changes to the Capacity Release datasets are necessary as a result of the change to Standard 5.3.22.
- Adopt the following changes to the dataset:

*Offer Download (5.4.1)*

| Business Name (Abbreviation) | Definition | EBB Usage | EDI/FF Usage | Condition |
|---|---|---|---|---|
| ~~Lesser Rate Indicator Data~~ | ~~An indicator which tells bidders whether the releasing shipper will accept bids for less than the maximum rate.~~ | | | |
| ~~Lesser Rate Indicator (Less Rate)~~ | | ~~C~~ | ~~C~~ | ~~Mandatory when deal is biddable. This field does not create a choice where none exists. For EBB, when this condition is met, at least one of Lesser Rate Indicator or Lesser Rate Indicator Description is required.~~ |
| ~~Lesser Rate Indicator Description (Less Rate Desc)~~ | | ~~C~~ | ~~nu~~ | ~~Mandatory when deal is biddable. This field does not create a choice where none exists. For EBB, when this condition is met, at least one of Lesser Rate Indicator or Lesser Rate Indicator Description is required.~~ |
| Maximum *Tariff* Rate (Max *Trf* Rate) | The maximum tariff rate ~~allowed~~ for the Rate Identification Code specified. | SO | SO | |

| | | | | |
|---|---|---|---|---|
| Minimum Acceptable Percentage of Maximum *Tariff* Rate (Min Acpt Pct) | The minimum acceptable percentage of *the* maximum *tariff* rate per unit of the rate form specified that the releasing shipper will entertain. | C | C | ~~Mandatory when the Lesser Rate Indicator is 'y', and the Releaser Designation of Acceptable Bidding Basis is 'percentage of maximum tariff rate' or 'either'.~~ *Mandatory when the following conditions are met:* <br> • *Minimum Rate Disclosure Indicator in the Offer Upload is 'disclosed', and* <br> • *Releaser Designation of Acceptable Bidding Basis is 'percentage of maximum tariff rate' or 'either'.* |
| Minimum Acceptable Rate (Min Acpt Rate) | The minimum acceptable rate per unit, of the Rate Identification Code or Surcharge Identification Code specified that the releasing shipper will entertain. | C | C | ~~Mandatory when the Lesser Rate Indicator is 'y', and the Releaser Designation of Acceptable Bidding Basis is 'absolute dollars and cents per unit basis' or 'either'.~~ *Mandatory when the following conditions are met:* <br> • *Minimum Rate Disclosure Indicator in the Offer Upload is 'disclosed', and* <br> • *Releaser Designation of Acceptable Bidding Basis is 'absolute dollars and cents per unit basis' or 'either'.* |
| Rate Identification Code Data | A code which identifies a specific rate. | | | |
| Rate Identification Code (Rate ID) | | C | C | Mandatory when any of the following data elements are populated: Maximum *Tariff* Rate, Minimum Acceptable Percentage of Maximum *Tariff* Rate, Minimum Acceptable Rate, Minimum Acceptable Volumetric Commitment Percentage, Minimum Acceptable Volumetric Load Factor Percentage. For EBB, when this condition is met, at least one of Rate Identification Code or Rate Identification Code Description is required. |

| | | | C | Nu | Mandatory when any of the following data elements are populated: Maximum *Tariff* Rate, Minimum Acceptable Percentage of Maximum *Tariff* Rate, Minimum Acceptable Rate, Minimum Acceptable Volumetric Commitment Percentage, Minimum Acceptable Volumetric Load Factor Percentage. For EBB, when this condition is met, at least one of Rate Identification Code or Rate Identification Code Description is required. |
|---|---|---|---|---|---|
| Rate Identification Code Description (Rate ID Desc) | | | | | |

~~**Lesser Rate Indicator**~~

| ~~Code Value Description~~ | ~~Code Value Definition~~ | ~~Code Value~~ |
|---|---|---|
| ~~Releasing shipper will accept bids for less than the maximum rate.~~ | | ~~Y~~ |
| ~~Releasing shipper will not accept bids for less than the maximum rate.~~ | | ~~N~~ |
| ~~(Undisclosed) A minimum rate is prescribed, but the releasing shipper does not want that minimum rate disclosed.~~ | | ~~U~~ |

Change to the Sample Paper Transaction for the Offer Download (5.4.1):
- In the Detail Section, under the Terms of Release, remove the data element name "Lesser Rate" and the associated code value of "Yes"

No changes are necessary to the Technical Implementation of Business Process for the Offer Download (5.4.1)

• Adopt the following changes to the dataset:

**BPS Order 637 Priority Action Item 3 (Priority 1)**

*Bid Download (5.4.2)*

| Business Name (Abbreviation) | Definition | EBB Usage | EDI/FF Usage | Condition |
|---|---|---|---|---|
| Percentage of Maximum *Tariff* Rate Bid (Pct Max *Trf* Rate Bid) | The percentage of *the* maximum *tariff* rate bid per the Rate Identification Code or Surcharge Code specified. | C | C | Mandatory when the Bidder Designation of Bidding Basis is 'percentage of maximum tariff rate'. |

No changes are necessary to the Technical Implementation of Business Process or the Sample Paper Transaction for the Bid Download (5.4.2).

- Adopt the following changes to the dataset:

*Award Download (5.4.3)*

| Business Name (Abbreviation) | Definition | EBB Usage | EDI/FF Usage | Condition |
|---|---|---|---|---|
| Award Percentage of Maximum *Tariff* Rate (Awd Pct Max *Trf* Rate) | The percentage of *the* maximum tariff rate per unit of the Rate Identification Code or Surcharge Identification specified. | C | C | Mandatory when the Bidder Designation of Bidding Basis is 'percentage of maximum tariff rate'. |
| Maximum *Tariff* Rate (Max *Trf* Rate) | The maximum tariff rate ~~allowed~~ for the Rate Identification Code or Surcharge Identification specified. | M | M | |

No changes are necessary to the Technical Implementation of Business Process or the Sample Paper Transaction for the Award Download (5.4.3).

- Adopt the following changes to the dataset:

*Offer Upload (5.4.7)*

| Business Name (Abbreviation) | Definition | EBB Usage | EDI/FF Usage | Condition |
|---|---|---|---|---|
| Disclose Indicator Data | An indicator which tells the transportation service provider whether the releaser wants the transportation service provider to disclose all data elements that have minimum conditions – quantity, term and rate. | | | |
| Disclose | | MA | MA | |

| Indicator (Disclose) | | | | |
|---|---|---|---|---|
| Disclose Indicator Description (Disclose Desc) | | MA | nu | |
| ~~Lesser Rate Indicator Data~~ | ~~An indicator which tells bidders whether the releasing shipper will accept bids for less than the maximum rate.~~ | | | |
| ~~Lesser Rate Indicator (Less Rate)~~ | | ~~C~~ | ~~C~~ | ~~Mandatory when the Biddable Deal Indicator indicates Biddable. For EBB, when this condition is met, at least one of Lesser Rate Indicator or Lesser Rate Indicator Description is required.~~ |
| ~~Lesser Rate Indicator Description (Less Rate Desc)~~ | | ~~C~~ | ~~nu~~ | ~~Mandatory when the Biddable Deal Indicator indicates Biddable. For EBB, when this condition is met, at least one of Lesser Rate Indicator or Lesser Rate Indicator Description is required.~~ |
| Maximum _Tariff_ Rate (Max _Trf_ Rate) | The maximum tariff rate ~~allowed~~ for the Rate Identification Code or Surcharge Identification specified. | BC | BC | This field is used when the transportation service requires the releasing shipper to indicate the maximum _tariff_ rate. |
| Minimum Acceptable Percentage of Maximum _Tariff_ Rate (Min Acpt Pct) | The minimum acceptable percentage of _the_ maximum _tariff_ rate per unit of the rate form specified that the releasing shipper will entertain. | C | C | ~~Mandatory when the Lesser Rate Indicator is 'y', and the Releaser Designation of Acceptable Bidding Basis is 'percentage of maximum tariff rate' or 'either'.~~ _Mandatory when the following conditions are met:_ • _Biddable Deal Indicator indicates biddable, and_ • _Releaser Designation of Acceptable Bidding Basis is 'percentage of maximum tariff rate' or 'either'._ |
| Minimum Acceptable Rate (Min Acpt Rate) | The minimum acceptable rate per unit, of the Rate Identification Code or | C | C | ~~Mandatory when the Lesser Rate Indicator is 'y', and the Releaser Designation of~~ |

| | | | | |
|---|---|---|---|---|
| | Surcharge Identification Code specified that the releasing shipper will entertain. | | | ~~Acceptable Bidding Basis is 'absolute dollars and cents per unit basis' or 'either'.~~ *Mandatory when the following conditions are met:* <br>• *Biddable Deal Indicator indicates biddable, and* <br>• *Releaser Designation of Acceptable Bidding Basis is 'absolute dollars and cents per unit basis' or 'either'.* |
| *Minimum Rate Disclosure Data* | *Instructs the transportation service provider whether to disclose the minimum rate that the releaser will accept.* | | | |
| *Minimum Rate Disclosure Indicator (Min Rate Discl)* | | *C* | *C* | *Mandatory when the Biddable Deal Indicator indicates biddable. For EBB, when this condition is met, at least one of Minimum Rate Disclosure Indicator or Minimum Rate Disclosure Description is required.* |
| *Minimum Rate Disclosure Description (Min Rate Discl Desc)* | | *C* | *nu* | *Mandatory when the Biddable Deal Indicator indicates biddable. For EBB, when this condition is met, at least one of Minimum Rate Disclosure Indicator or Minimum Rate Disclosure Description is required.* |
| Percentage of Maximum *Tariff* Rate Bid (Pct Max *Trf* Rate Bid) | The percentage of *the* maximum *tariff* rate bid per the Rate Identification Code or Surcharge Identification Code specified. | C | C | Mandatory when the Prearranged Deal Indicator indicates prearranged and the Bidder Designation of Bidding Basis is 'percentage of maximum tariff rate.' |

**~~Lesser Rate Indicator~~**

| ~~Code Value Description~~ | ~~Code Value Definition~~ | ~~Code Value~~ |
|---|---|---|
| ~~Releasing shipper will accept bids for less than the maximum rate.~~ | | ~~Y~~ |

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| ~~Code Value Description~~ | ~~Code Value Definition~~ | ~~Code Value~~ |
|---|---|---|
| ~~Releasing shipper will not accept bids for less than the maximum rate.~~ | | ~~N~~ |
| ~~(Undisclosed) A minimum rate is prescribed, but the releasing shipper does not want that minimum rate disclosed.~~ | | ~~U~~ |

### *Minimum Rate Disclosure Indicator*

| *Code Value Description* | *Code Value Definition* | *Code Value* |
|---|---|---|
| *Disclosed* | *The transportation service provider should disclose the minimum rate that the releaser will accept.* | |
| *Undisclosed* | *The transportation service provider should not disclose the minimum rate that the releaser will accept.* | |

Note to the Technical Subcommittee:
  The new data element Minimum Rate Disclosure Indicator should reside at the heading level.

No changes are necessary to the Technical Implementation of Business Process or the Sample Paper Transaction for the Offer Upload (5.4.7).

- Adopt the following changes to the dataset:

*Offer Upload Notification (5.4.9)*

| Business Name (Abbreviation) | Definition | EBB Usage | EDI/FF Usage | Condition |
|---|---|---|---|---|
| Maximum *Tariff* Rate (Max *Trf* Rate) | The maximum tariff rate ~~allowed~~ for the Rate Identification Code or Surcharge Identification Code specified. | C | C | Mandatory when present and processed in the original Offer Upload or when this document is sent by the transportation service provider. |
| Percentage of Maximum *Tariff* Rate Bid (Pct Max *Trf* Rate Bid) | The percentage of *the* maximum *tariff* rate bid per the Rate Identification Code or Surcharge Identification Code specified. | C | C | Mandatory when present in the original Offer Upload. |

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No changes are necessary to the Technical Implementation of Business Process or the Sample Paper Transaction for the Offer Upload Notification (5.4.9).

- Adopt the following changes to the dataset:

*Bid Upload (5.4.18)*

| Business Name (Abbreviation) | Definition | EBB Usage | EDI/FF Usage | Condition |
|---|---|---|---|---|
| Percentage of Maximum *Tariff* Rate Bid (Pct Max *Trf* Rate Bid) | The percentage of *the* maximum *tariff* rate bid per the Rate Identification Code or Surcharge Identification Code specified. | C | C | Mandatory when the Bidder Designation of Bidding Basis is 'percentage of maximum tariff rate'. |

No changes are necessary to the Technical Implementation of Business Process or the Sample Paper Transaction for the Bid Upload (5.4.18).

- Adopt the following changes to the Offer Upload Quick Response (5.4.8) dataset:

Validation Code (Heading)

| Code Value | Code Value Description | Code Value Definition |
|---|---|---|
| E133 | ~~Missing Lesser Rate Indicator~~ | ~~[no definition necessary]~~ |
| E134 | ~~Invalid Lesser Rate Indicator~~ | ~~[no definition necessary]~~ |
| *Exxx* | *Missing Minimum Rate Disclosure Indicator* | *[no definition necessary]* |
| *Exxx* | *Invalid Minimum Rate Disclosure Indicator* | *[no definition necessary]* |

Validation Code (Detail)

| Code Value | Code Value Description | Code Value |
|---|---|---|
| E234 | Missing Percentage of Maximum *Tariff* Rate Bid | [no definition necessary] |
| E235 | Invalid Percentage of Maximum *Tariff* Rate Bid | [no definition necessary] |
| E236 | Percentage of Maximum *Tariff* Rate Bid outside of percentage terms specified | [no definition necessary] |
| E237 | Missing Minimum Acceptable Percentage of Maximum *Tariff* Rate | [no definition necessary] |
| E238 | Invalid Minimum Acceptable Percentage of Maximum *Tariff* Rate | [no definition necessary] |
| E239 | Minimum Acceptable Percentage of Maximum *Tariff* Rate outside of contract terms | [no definition necessary] |
| E240 | Minimum Acceptable Percentage of Maximum *Tariff* Rate outside of tariff terms | [no definition necessary] |
| E616 | Missing Maximum *Tariff* Rate | [no definition necessary] |
| E617 | Invalid Maximum *Tariff* Rate | [no definition necessary] |
| W657 | ~~Maximum Rate deal rates will float with the change of tariff maximum rates~~ *Deal rates based on percentage of maximum tariff rate* | [no definition necessary] |

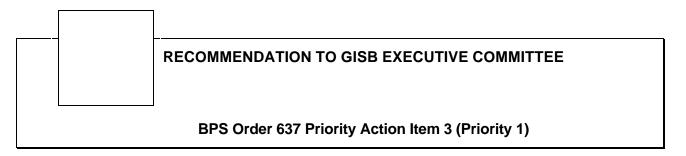| | | |
|---|---|---|
| | *will float with changes in the maximum tariff rate* | |
| W901 | Maximum *Tariff* Rate not processed | [no definition necessary] |
| *Exxx* | *Minimum Acceptable Percentage of Maximum Tariff Rate is greater than 100 and release term is greater than or equal to one year* | *[no definition necessary]* |
| *Exxx* | *Minimum Acceptable Rate is greater than Maximum Tariff Rate and release term is greater than or equal to one year* | *[no definition necessary]* |
| *Exxx* | *Percentage of Maximum Tariff Rate Bid is greater than 100 and release term is greater than or equal to one year* | *[no definition necessary]* |
| *Exxx* | *Rate Bid is greater than Maximum Tariff Rate and release term is greater than or equal to one year* | *[no definition necessary]* |

- Adopt the following changes to the Bid Upload Quick Response (5.4.19) dataset:

Validation Code (Detail)

| Code Value | Code Value Description | Code Value |
|---|---|---|
| EBDQR308 | Missing Percentage of Maximum *Tariff* Rate Bid | [no definition necessary] |
| EBDQR309 | Invalid Percentage of Maximum *Tariff* Rate Bid | [no definition necessary] |
| EBDQR310 | Percentage of Maximum *Tariff* Rate Bid outside of minimum terms | [no definition necessary] |
| WBDQR302 | ~~Maximum rate deal rates will float with the change of tariff maximum rates~~ *Deal rates based on percentage of maximum tariff rate will float with changes in the maximum tariff rate* | [no definition necessary] |
| *EBDQRxxx* | *Percentage of Maximum Tariff Rate Bid is greater than 100 and release term is greater than or equal to one year* | *[no definition necessary]* |
| *EBDQRxxx* | *Rate Bid is greater than Maximum Tariff Rate and release term is greater than or equal to one year* | *[no definition necessary]* |

- No changes are required to the Offer Upload Bidder Confirmation Quick Response (5.4.11)

- Adopt the following changes to the Capacity Release Executive Summary:

  - Change the title "Why Capacity Release and EDI?" to "The History of Capacity Release and EDI"
  - Add a new section entitled "FERC Order 637 et al" immediately after the section entitled "The History of Capacity Release and EDI." This section will contain the following language:

    Pursuant to FERC Order 637 et al., the FERC removed the maximum rate cap on releases whose term is less than one year. This provision may expire at the end of the time period specified by FERC (September 30, 2002).

## RECOMMENDATION TO GISB EXECUTIVE COMMITTEE

## BPS Order 637 Priority Action Item 3 (Priority 1)

**Sense of the Room:** August 23, 2000     __8__ In Favor     __0__ Opposed

**Technical Subcommittee**

**Sense of the Room:** October 20, 2000     __3__ In Favor     __0__ Opposed

**c. Business Purpose:**

To allow the FERC to implement a trial period in which full market rates for short-term capacity can be discovered.

**d. Commentary/Rationale of Subcommittee(s)/Task Force(s):**