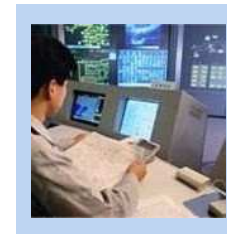
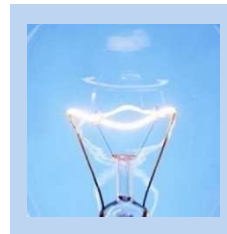
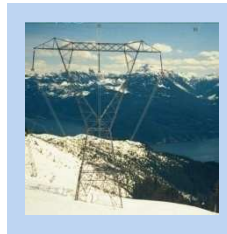




# Electronic Tariff Filings

FERC Order No. 714

April 28, 2009



- Utilicast Overview
- Electronic Tariff Management System

## ➤ Company Information

- Based in Seattle, Washington area
- Worldwide Operations
- Operational since 2000
- \$6.6 million in 2008 sales
- Business Process, Strategy, and Software focus

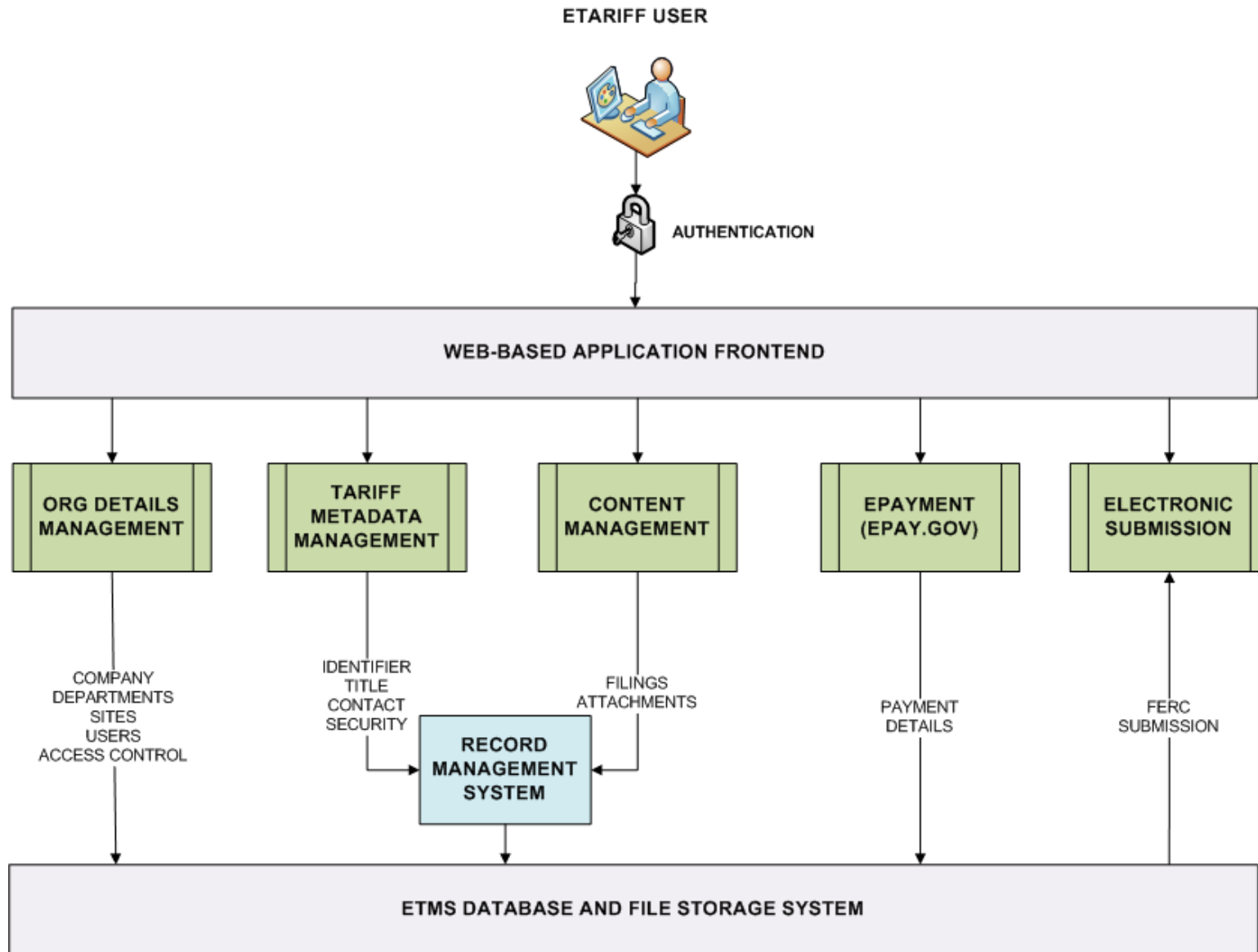
## ➤ RTO Client Information

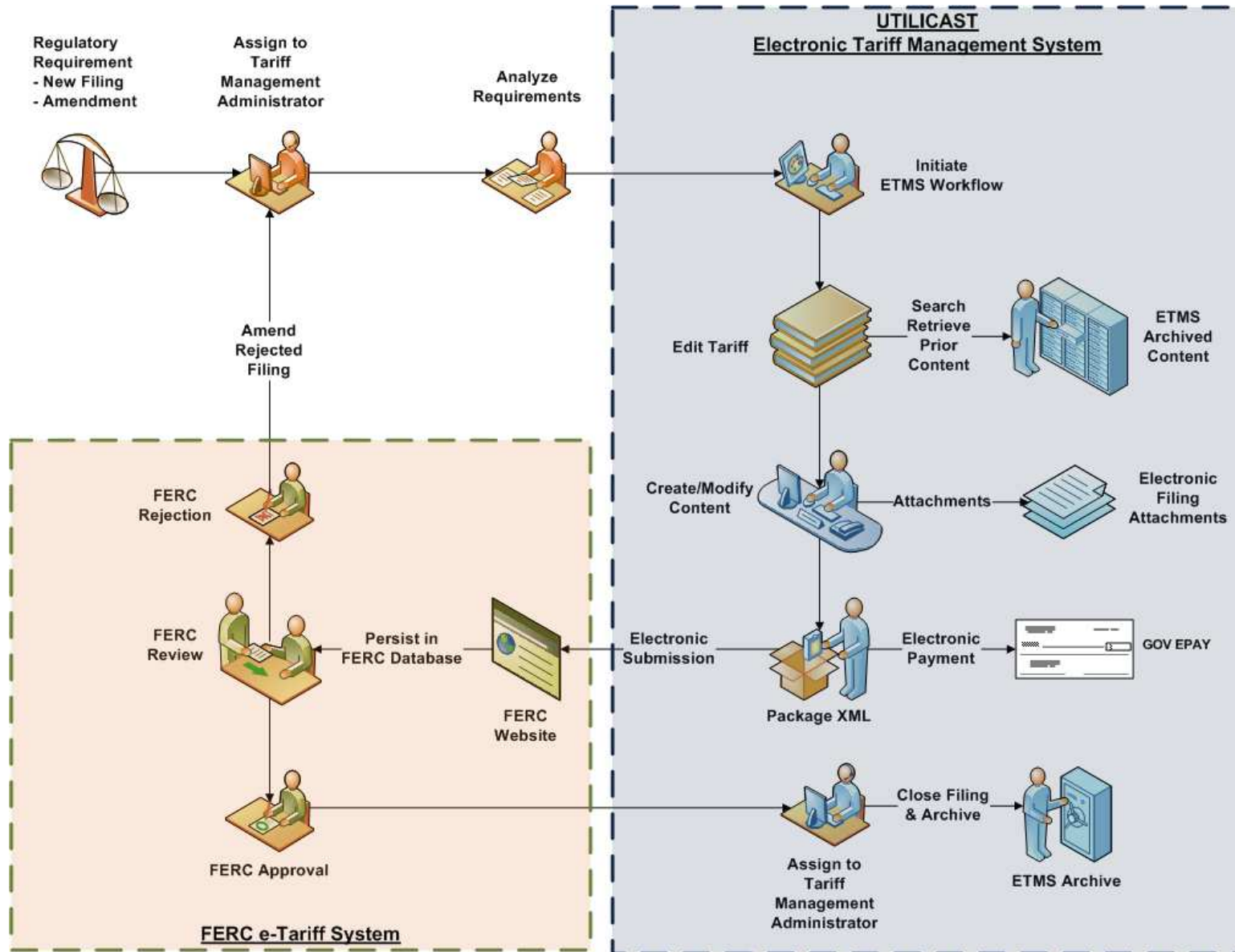
- Utilicast is currently an approved vendor at the following ISO/RTOs
  - PJM Interconnection
  - Midwest ISO
  - Southwest Power Pool
  - ERCOT
  - California ISO
- Services focused on ISO/RTO centric areas

- Project and Program Management
- Functional and System Design
- Requirements Management
- Enterprise Information Architecture
- Software Application Development
- Software Quality Assurance
- Independent Verification and Validation
- SAS70 and NERC CIP controls implementation


- Utilicast Software
  - Operator UI/Displays
  - Pricing
  - Settlements
  - e-Learning Modules
  - Systems Integration
  - Operational Data Stores
  - Electronic Tariff Management System (ETMS)
- Energy Management Systems
  - Areva
  - ABB
  - Siemens
- Market Management Systems
  - Areva
  - ABB
  - Siemens
- Billing and Settlement Systems
  - Areva
  - Lodestar
- Scheduling Systems
  - Areva
  - OATI

- Technical solution implemented, tested, and ready to launch by April 1, 2010
- Bridge existing tariff development and maintenance processes to the technical solution
- Opportunities to enhance tariff workflows through:
  - Software-based workflow management
  - Electronic record management
  - Multi-site coordination and access control
- Shadowing FERC database oriented tariff management process









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### Getting Started

In Order No. 714, the Federal Energy Regulatory Commission (FERC) requires that all tariffs, tariff revisions and rate change applications be filed electronically with effect from April 1, 2010. The affected regulated entities are:

- Public utilities and Power Marketing Administrations Parts 35 and 300;
- Natural gas pipelines under Parts 154 and 284;
- Intrastate gas pipelines under Part 284; and
- Oil pipelines under Part 341.

Utilicast's Electronic Tariff Management System (ETMS) helps the Electric Utilities manage the administration of tariff from creation to the electronic submission of the tariff documents and metadata required by FERC in compliance with the Order and the NAESB standards for the submission in the XML, RTF, and PDF compliant formats.

For questions and assistance please contact Utilicast at [etariff@utilicast.com](mailto:etariff@utilicast.com) or leave a message on our toll-free number (800) UTL-ETRF

### Events

Event Start	Title
-------------	-------

### My Enrollments

### Announcements

**FERC Formal Notice of technical conference regarding electronic tariff filings under RM01-5 - Tuesday, March 10, 2009**

Docket(s): RM01-5-000  
Filing Type: Formal Notice  
Description: Notice of technical conference regarding electronic tariff filings under RM01-5.

To view the document for this issuance, click here  
[http://elibrary.FERC.gov/dmvs/file\\_list.asp?accession\\_num=20090310-3043](http://elibrary.FERC.gov/dmvs/file_list.asp?accession_num=20090310-3043)

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### Getting Started

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Event Start	Title
-------------	-------

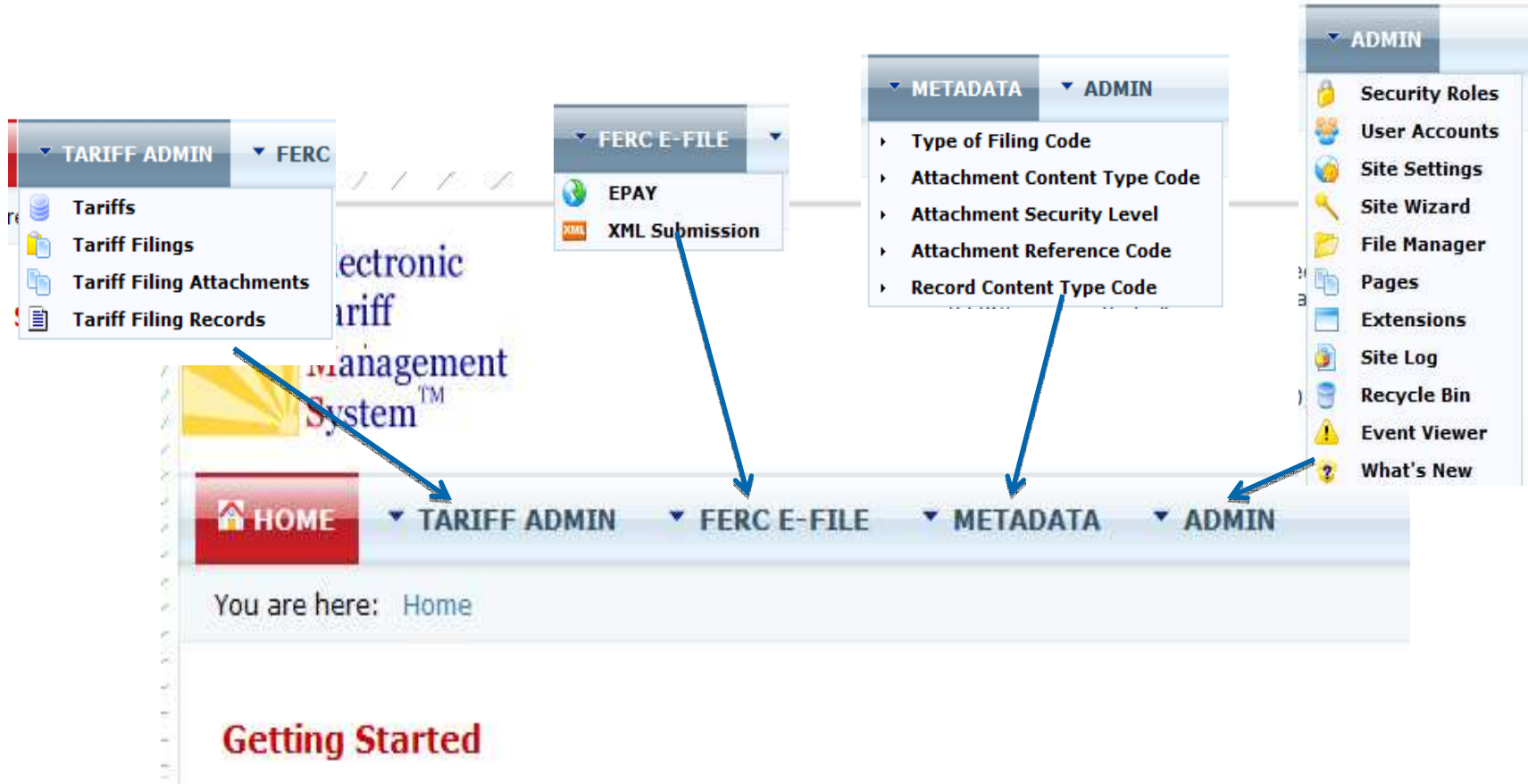
 [My Enrollments](#) 

### Announcements

**FERC Formal Notice of technical conference regarding electronic tariff filings under RM01-5 - Tuesday, March 10, 2009**

Docket(s): RM01-5-000  
Filing Type: Formal Notice  
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To view the document for this Issuance, click here  
[http://elibrary.FERC.gov/idmws/file\\_list.asp?acession\\_num=20090310-3043](http://elibrary.FERC.gov/idmws/file_list.asp?acession_num=20090310-3043)



### Type of Filing Codes

Browse records in  that   **Search**

	Filing Type Code	Description	Program Code	New Type	Refiled Type	Compliance Type	Amendment Type	Motion Type	Withdraw Type	Report Type	C
	100	Sect. 35.17 - Withdraws	E, M	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	200	Sect. 300.10 Application for confirmation and approval (non BPA)	F	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	300	Sect. 385.212 (Proposed) - Motion to Delay Effective Date	E, M	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	400	Non jurisdictional filings (non BPA)	F	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	500	Sect. 300.14 BPA Section 7(k) filings	F	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	600	Sect. 35.13(a)(1) - General Rate Case	E, M	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	1,000	Sect. 35.13(a)(2)(iii) - Rate Schedule Changes Other Than Rate Increases/Compliance Filing	E, M	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	5,400	Initial Tariff Baseline	F	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

## Edit Record

Filing Type Code: \*

Description: \*

Program Code: \*

New Type: \*

Refiled Type: \*

Compliance Type: \*

Amendment Type: \*

Motion Type: \*

Withdraw Type: \*

Report Type: \*

Cancel Type: \*

Suspend Motion Response: \*

Status Change Timeout: \*









\* required

[Update](#) [Cancel](#) [Delete](#)

### Attachment Content Type Code

Browse records in  that

**Search**

Attachment Type Code ▲	Document Type Description
 1	Adobe Portable Document Format (Acrobat 4.x or higher)
 5	ASCII Comma Separated Value
 6	ASCII Text Format
 22	Extensible Markup Language
 24	Graphic Image Format
 32	Joint Photographic Experts Group
 44	Rich Text Format
 49	Zip file compressed archive (must not be self-extracting)

 **Add New Record** 

### Edit Record

Attachment Type Code: \*

Document Type Description: \*

\* required

**Update Cancel Delete**

### Attachment Security Level Code

Browse records in  that   **Search**

	Security Level ▲	Description
		Not Set
	M	Privileged
	P	Public
	X	Critical Energy Infrastructure

Page 1 of 1

First Previous Next Last

 **Add New Record** 

#### Edit Record

Security Level:

\*

Description:

\*

\* required

**Update Cancel Delete**



### Attachment Reference Code

Browse records in  that   [Search](#)

	Attachment Reference Code	Filing Type	Attachment Description	Citations	Is Required	Can Waive	Attachment Security Level
	100	700	Transmittal Letter	35.13(b)(1) - (7)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	P
	300	700	Marked version of the tariff to show proposed changes	35.10(c)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	P
	400	700	A form of notice suitable for publication in the Federal Register	35.8	<input checked="" type="checkbox"/>	<input type="checkbox"/>	P
	500	700	Electric Utility Department Cost Of Service, Total And As Allocated	35.13(h) (36)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	

### Edit Record

Attachment Reference Code: \*

Filing Type: \*

Attachment Description: \*

Citations:

Is Required: \*

Can Waive: \*

Attachment Security Level:

\* required

[Update](#) [Cancel](#) [Delete](#)

### Type of Filing Codes

Browse records in  that contain  **Search**

	Filing Type Code	Description	Program Code	New Type	Refiled Type	Compliance Type	Amendment Type	Motion Type	Withdraw Type	Report Type	C
	100	Sect. 35.17 - Withdraws	E, M	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	200	Sect. 300.10 Application for confirmation and approval (non BPA)	F	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	300	Sect. 385.212 (Proposed) - Motion to Delay Effective Date	E, M	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	400	Non jurisdictional filings (non BPA)	F	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	500	Sect. 300.14 BPA Section 7(k) filings	F	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	600	Sect. 35.13(a)(1) - General Rate Case	E, M	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	1,000	Sect. 35.13(a)(2)(iii) - Rate Schedule Changes Other Than Rate Increases/Compliance Filing	E, M	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	5,400	Initial Tariff Baseline	F	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

### Tariffs ⊖

Browse records in  that   **Search**

	Tariff Unique Identifier	Tariff Title	Tariff Description	Add Filing	Changed by	Changed at	Created by	Created at
	MISO-EORTM-2008-001	Midwest ISO Energy and Operating Reserves Tariff	This tariff will be used to track the original filing and all subsequent amendments and related documentation in the ETMS		<a href="#">eTariff Administrator</a>	3/29/2009 1:15 PM	<a href="#">eTariff Administrator</a>	3/29/2009 1:15 PM
	MISO-OATT-2005-002	Midwest ISO Open Access Transmission Tariff	This tariff will be used to document initial and subsequent filings and amendments for the Midwest ISO open access transmission tariff (OATT)		<a href="#">eTariff Administrator</a>	3/29/2009 1:25 PM	<a href="#">eTariff Administrator</a>	3/29/2009 1:25 PM

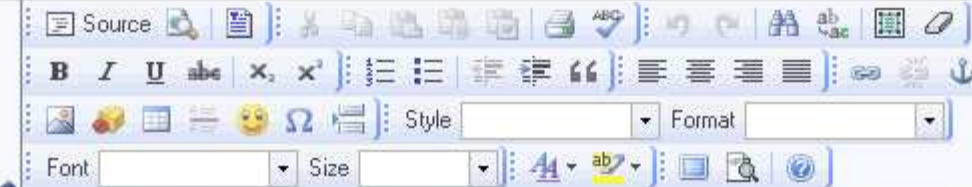
### Edit Record

**Tariff Unique Identifier:** \*

**Tariff Title:** \*

**Tariff Description:** \*

Basic Text Box  Rich Text Editor



Font  Size  **A** **ab**

This tariff will be used to track the original filing and all subsequent amendments and related documentation in the ETMS

Home Insert Page Layout References Mailings Review View

Cut Copy Paste Format Painter Clipboard

Arial 9 Font

Paragraph

Styles: Normal, No Spacing, Heading 1, Heading 2, Title, Subtitle

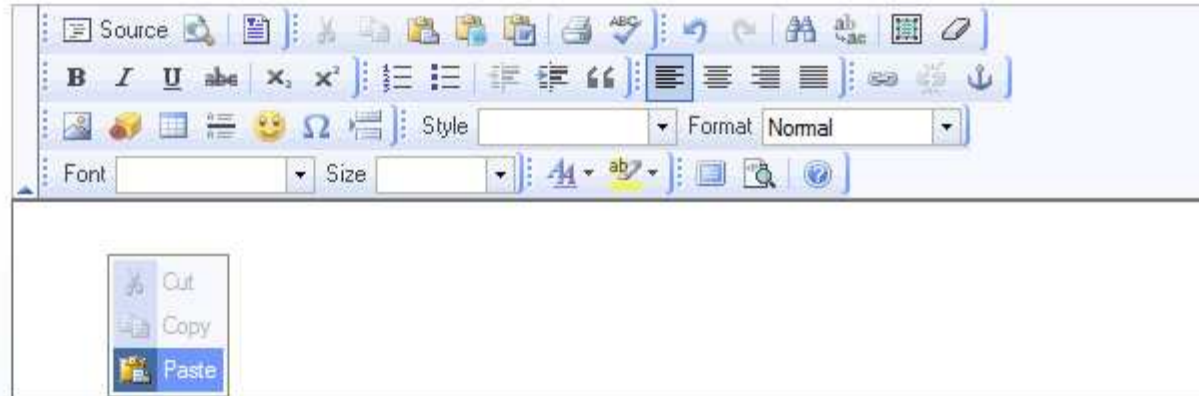
Find, Replace, Select, Editing

This tariff will be used to track the original filing and all subsequent amendments and related documentation in the ETMS

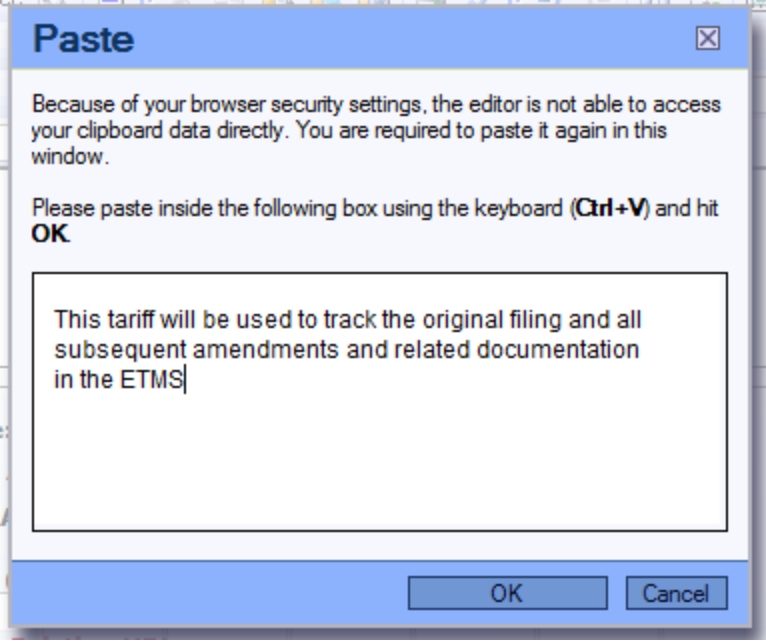
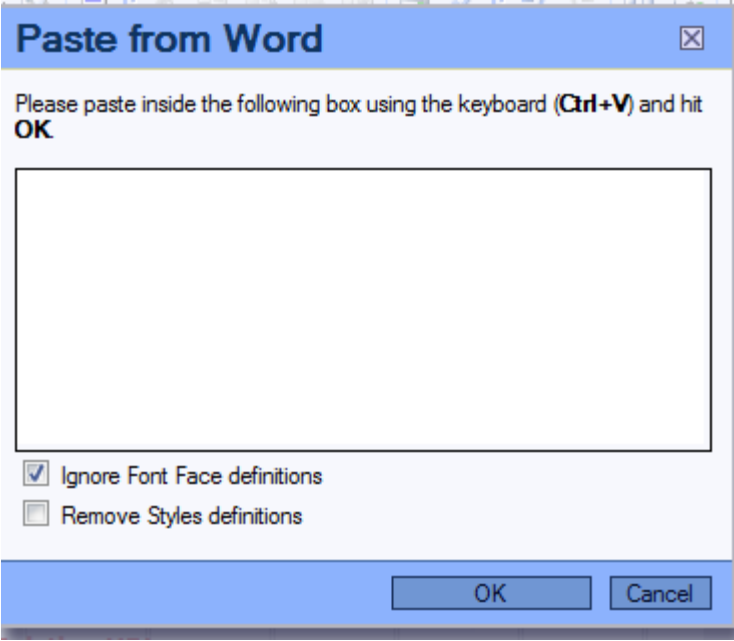
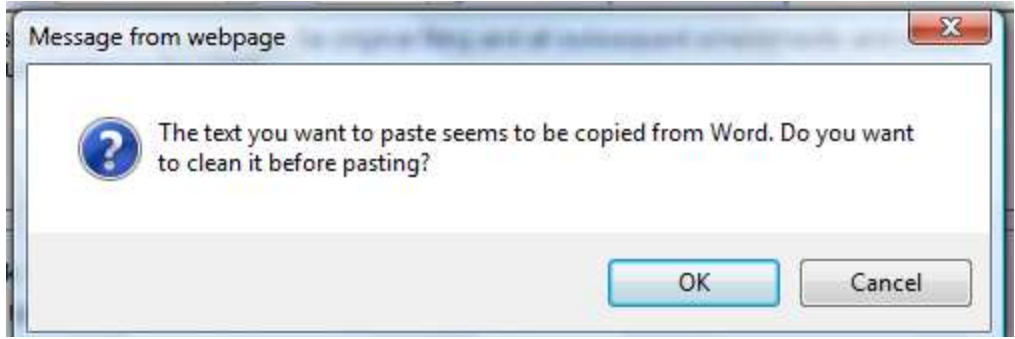
## Edit Record

Tariff Unique Identifier: \* MISO-EORTM-2008-001  
Tariff Title: \* Midwest ISO Energy and Operating Reserves Tariff  
Tariff Description: \*

Basic Text Box  Rich Text Editor



The image shows a screenshot of a rich text editor interface. At the top, there are two radio buttons: "Basic Text Box" (unselected) and "Rich Text Editor" (selected). Below this is a toolbar with various icons for text formatting, alignment, and editing. The toolbar includes icons for bold, italic, underline, strikethrough, subscript, and superscript. It also has icons for bulleted and numbered lists, indenting and outdenting, text color, background color, link, unlink, and insert table. Below the toolbar are dropdown menus for "Style" (set to "Normal") and "Format". At the bottom of the toolbar are dropdowns for "Font" and "Size", along with icons for font color, background color, and a search icon. Below the toolbar is a large empty text area. A context menu is open over the text area, showing three options: "Cut", "Copy", and "Paste". The "Paste" option is highlighted with a blue background.





### Tariff Filings

Browse records in all searchable columns that contain  **Search**

	Tariff Unique Identifier	Filing Unique Identifier	Filing Title	Filing Type Code	Filing Description	Payment Confirmation Code	Suspend Motion	Association Filing Identifier	Validation Email
	MISO-EORTM-2008-001	MISO-EORTM-2008-FLG-001	SCHEDULE 1: Scheduling, System Control and Dispatch Service	100	Sect. 35.17 - Withdraws	EPAY-2008-0786	<input type="checkbox"/>		ETMS_Admin
	MISO-EORTM-2008-001	MISO-EORTM-2008-FLG-002	SCHEDULE 2: Reactive Supply and Voltage Control from Generation or Other Sources Service	200	Sect. 300.10 Application for confirmation and approval (non BPA)	NA	<input type="checkbox"/>		ETMS_Admin
	MISO-EORTM-2008-001	MISO-EORTM-2008-FLG-003	SCHEDULE 3: Regulation and Frequency Response Service	500	Sect. 300.14 BPA Section 7 (k) filings	EPAY 2009-0076543	<input type="checkbox"/>	MISO-EORTM-2008-FLG-001	ETMS_Admin
	MISO-EORTM-2008-001	MISO-EORTM-2008-FLG-004	SCHEDULE 4: Energy Imbalance Service	5,400	Initial Tariff Baseline	NA	<input type="checkbox"/>		ETMS_Admin
	MISO-EORTM-2008-002	MISO-EORTM-2008-FLG-005	Congestion Management Process	5,400	Initial Tariff Baseline	NA	<input type="checkbox"/>		ETMS_Admin

### Edit Record

**Tariff Unique Identifier:** \*

**Filing Unique Identifier:** \*

**Filing Title:** \*

**Filing Type Code:** \*

**Filing Description:** \*

**Payment Confirmation Code:** \*

**Suspend Motion:** \*

**Association Filing Identifier:**


**Validation Email:** \* **Enter The Username Of A Member Of Your Site:**

\* required

[Update](#) [Cancel](#) [Delete](#)

### Tariff Attachments

Browse records in  that   **Search**

	Filing Unique Identifier	Attachment Reference Code	Attachment Description	Attachment Content Type Code	Attachment Security Level	Attachment File
	MISO-EORTM-2008-001	8,500	Transmittal Letter	1	P	telerik.gif

Page 1 of 1

First Previous Next Last

 **Add New Record** 

### Edit Record

**Filing Unique Identifier:** \*

**Attachment Reference Code:** \*

**Attachment Description:** \*

**Attachment Content Type Code:** \*

**Attachment Security Level:** \*

**Attachment File:** \*

**File Location:**

**File Name:**

**Upload New File**

Track Number Of Times This Link Is Clicked?

\* required

**Update Cancel Delete**



You are here: Admin > Security Roles

eTariff Administrator | Logout



### Security Roles



Name	Description	Fee Every	Period	Trial Every	Period	Public	Auto
Administrators	Portal Administration					<input type="checkbox"/>	<input type="checkbox"/>
Legal Counsel	Internal Legal Counsel					<input type="checkbox"/>	<input type="checkbox"/>
Metadata Administrator	Administer FERC metadata inputs					<input type="checkbox"/>	<input type="checkbox"/>
Outside Legal Counsel	Law firms providing legal counsel					<input type="checkbox"/>	<input type="checkbox"/>
Registered Users	Registered Users					<input type="checkbox"/>	<input checked="" type="checkbox"/>



 **User Accounts** Search:  Username  [A](#) [B](#) [C](#) [D](#) [E](#) [F](#) [G](#) [H](#) [I](#) [J](#) [K](#) [L](#) [M](#) [N](#) [O](#) [P](#) [Q](#) [R](#) [S](#) [T](#) [U](#) [V](#) [W](#) [X](#) [Y](#) [Z](#) [All](#) [Online](#) [Unauthorized](#)

Username	Display Name	Address	Telephone	Created Date	Authorized
ETMS_Admin	eTariff Administrator	720 City Center Drive, Carmel, Indiana, United States, 46032	317-249-5400	3/18/2009 8:53:21 PM	<input checked="" type="checkbox"/>
Tariff_Admin	Tariff Administrator	701 City Center Drive, Carmel, Indiana, United States, 46032	317-249-5400	4/5/2009 7:46:57 PM	<input checked="" type="checkbox"/>
Tariff_User	Tariff User	Legal and Regulatory, 720 City Center Drive, Carmel, Indiana, United States, 46032	317-249-5400	4/5/2009 8:30:41 PM	<input checked="" type="checkbox"/>



- Conformance to FERC/NAESB Standards for XML submission
  - Compliance with XSD version changes included in the maintenance package
- Web-based solution
  - Compatible with all major browsers – Internet Explorer, Firefox
  - Built-in Rich Text Editor
  - Secure collaborative environment
- Tariff information persisted in database and file systems, providing historical timeline and changes
  - Robust search capabilities for past tariff versions
  - Integrated tracking of submission life cycle
- Tariff information separated into:
  - Metadata
  - Attachment content
  - Filing Records
- Records Management eases long-term maintenance and upkeep of the tariff and related filings

- Add-on options
  - Integration with proprietary and non-RTF formats
  - Integration with existing tariff management systems
  - Migration of existing content into ETMS structure
  
- Turnkey development
  - Integration with existing tariff management systems
  - Custom add-ons for ISO/RTO workflows
  
- License Utilicast e-Tariff product as-is
  - In-house deployment
  - Utilicast support and maintenance
  
- Hosted Utilicast solution
  - Hosting and Support

Questions?



## Main Office

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Toll Free (866) 243-2650  
Fax (866) 424-6132

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