

**SECTION 18: TRANSMISSION SERVICE AGREEMENT COMPLETION**

Completion of Agreement for Service

This Section 18 provides the directions to complete the various agreements necessary to obtain the following transmission related services offered under the Midwest ISO Tariff:

- 1) Non-Firm Point-to-Point Service (NF)
- 2) Short-Term Firm Point-to-Point Service (STF)
- 3) Long-Term Firm Point-to-Point Service (LTF)
- 4) Network Integration Service (NIA & NIO)

Each Subsection of this Section 18 has a divider, which provides the instructions to complete the various contractual arrangements necessary in order to obtain each type of Service. The Application and Service Agreement and/or Operating Agreement for each service requested will only be completed once. A Transaction Specification Sheet (as necessary), and/or Exhibit WDS (if applicable) will be necessary for each requested Transaction.

**Note: Please be advised that separate contractual arrangement documents for service under the Midwest ISO Tariff must be completed for each entity with a different NERC Code and/or DUNS Number.**

**SECTION 18: SERVICE AGREEMENT COMPLETION**

Non-Firm Point-to-Point Transmission Service (NF)

- 1) Please complete the Application as follows and execute one (1) copy:
  - a) Fill in the date in the Title.
  - b) Complete Section 1.0.
  - c) Complete Section 12.0 or use “N/A” for Not Applicable.
  - d) Complete the Signatory Section.
  
- 2) Please complete the Service Agreement as follows and execute three (3) copies:
  - a) Fill in the date and name of the Transmission Customer in Section 1.0.
  - b) Fill in the Transmission Customer notice information in Section 7.0
  - c) Fill in the name, title and date information in the Signatory Section.

**Note: The enclosed documents are provided in WORD software. Please feel free to enhance them only for the specific areas discussed above. Please do not revise the text of the Service Agreement, except for the three (3) areas discussed above. If the text is changed, the proposed Service Agreement will be rejected.**

**Application for Non-Firm Point-to-Point Transmission Service**  
**Dated as of \_\_\_\_\_**

1.0 Transmission Customer Information

(a) Applicant Name: \_\_\_\_\_

(b) NERC Entity Code (Use 4 character NERC Code: \_\_\_\_\_

(c) NERC Scheduling Desk/Tagging Code(s): \_\_\_\_\_

(d) DUNS Number: \_\_\_\_\_

(e) Contact Person:

Name: \_\_\_\_\_

Phone: \_\_\_\_\_ Ext.: \_\_\_\_\_

Title: \_\_\_\_\_

Fax: \_\_\_\_\_

Address: \_\_\_\_\_

Email: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

2.0 Eligible Customer Explanation: [Must complete Section 5 of Customer Registration]

3.0 This Request for Non-Firm Point-to-Point Transmission Service is a request for transmission service under Sections 211(a) and 213(a) of the FPA. This Request is not for transmission service for mandatory retail wheeling as prohibited under Section 212(h) of the FPA.

4.0 This Request is for available Non-Firm Transmission Service from and to the Transmission Owners' interconnection points (as points of receipt or delivery) with other electric utilities.

5.0 The names of other parties likely to provide transmission to deliver and receive electric energy would be the electric utilities that the Transmission Owners are interconnected with.

6.0 The proposed dates for initiating and terminating the Non-Firm Point-to-Point Transmission Service requested will be transaction specific.

7.0 The amount of transmission capacity requested is not known at this time. The amount of transmission service will be transaction specific.

8.0 The expected transmission profile is not known at this time. The profile of the transmission service will be transaction specific.

- 9.0 The priority of service for the Non-Firm Point-to-Point Transmission Service requested will be in accordance with the Non-Firm Point-to-Point Provisions of the Midwest ISO Open Access Transmission Tariff.
- 10.0 This request is not being made as a response to a solicitation.
- 11.0 Transmission Customer accepts the current rates, terms and conditions of the Non-Firm Point-to-Point Transmission Service provided by the electronic bulletin board of the Midwest ISO during the time periods of specific transactions.
- 12.0 Wholesale Distribution Service is requested for the Distribution Facilities for \_\_\_\_\_ . Exhibit WDS with a Single-line Diagram is necessary and is provided.
- 13.0 No other information is available at this time; however, when further information is available due to a transaction, the detail of the transaction (see points 6, 7, 8, 9, 10, 11 and 12 above) will be provided.

Applicant Name: \_\_\_\_\_

By: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

**Service Agreement For Non-Firm Point-To-Point  
Transmission Service**

- 1.0 This Service Agreement, dated as of \_\_\_\_\_, is entered into, by and between the Midwest ISO and \_\_\_\_\_ (Transmission Customer).
- 2.0 The Transmission Customer has been determined by the Midwest ISO to be a Transmission Customer under the Non-Firm Point-to-Point Transmission Service Provisions of the Tariff and has filed a Completed Application for Non-Firm Point-To-Point Transmission Service in accordance with Section 18.2 of the Tariff.
- 3.0 Service under this Agreement shall be provided by the Midwest ISO upon request by an authorized representative of the Transmission Customer.
- 4.0 The Transmission Customer agrees to supply information the Midwest ISO deems reasonably necessary in accordance with Good Utility Practice in order to provide the requested service and agrees to take and pay for the requested service in accordance with the provisions of the Tariff and this Service Agreement.
- 5.0 Service under this Service Agreement shall commence on the later of: (1) the requested service commencement date, or (2) such other date as it is permitted to become effective by the Commission. Service under this Service Agreement shall terminate on such date as mutually agreed upon by the Parties.
- 6.0 Any Wholesale Distribution Service necessary to effectuate a specific transaction under this Service Agreement shall be in accordance with Exhibit WDS.
- 7.0 Any notice or request made to or by either Party regarding this Service Agreement shall be made to the representative of the other Party as indicated below.

	<u>Midwest ISO</u>	<u>Transmission Customer</u>
Title:	Contract Administrator	_____
Address:	701 City Center Drive	_____
	Carmel, IN 46032	_____

- 8.0 The Tariff and any applicable Exhibit WDS are incorporated herein and made a part hereof.

IN WITNESS WHEREOF, the Parties have caused this Service Agreement to be executed by their respective authorized officials.

<u>Midwest ISO</u>	<u>Transmission Customer</u>
By: _____	By: _____
Name: _____	_____
Title: _____	_____
Date: _____	_____

Short-Term Firm Point-to-Point Transmission Service (STF)

- 1) Please complete the Application as follows and execute one (1) copy:
  - a) Fill in the date in the Title.
  - b) Complete Section 1.0.
  - c) Complete Section 12.0 or use “N/A” for Not Applicable.
  - d) Complete the Signatory Section.
  
- 2) Please complete the Service Agreement as follows and execute three (3) copies:
  - a) Fill in the date and name of the Transmission Customer in Section 1.0.
  - b) Fill in the Transmission Customer notice information in Section 5.0
  - c) Fill in the name, title and date information in the Signatory Section.
  
- 3) At the time a Transaction is requested, please complete the Transaction Specification Sheet, if necessary, and execute three (3) copies.

**Note: The enclosed documents are provided in WORD software. Please feel free to enhance them only for the specific areas discussed above. Please do not revise the text of the Service Agreement, except for the three (3) areas discussed above. If the text is changed, the proposed Service Agreement will be rejected.**

**Application for Short-Term Firm Point-to-Point Transmission Service**  
**Dated as of \_\_\_\_\_**

1.0 Transmission Customer Information

(a) Applicant Name: \_\_\_\_\_

(b) NERC Entity Code (Use 4 character NERC Code): \_\_\_\_\_

(c) NERC Scheduling Desk/Tagging Code(s): \_\_\_\_\_

(d) DUNS Number: \_\_\_\_\_

(e) Contact Person:

Name: \_\_\_\_\_

Phone: \_\_\_\_\_ Ext.: \_\_\_\_\_

Title: \_\_\_\_\_

Fax: \_\_\_\_\_

Address: \_\_\_\_\_

Email: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

2.0 Eligible Customer Explanation: [Must complete Section 5 of Customer Registration]

3.0 This Request for Short-Term Firm Point-to-Point Transmission Service is a request for transmission service under Sections 211(a) and 213(a) of the FPA. This Request is not for transmission service for mandatory retail wheeling as prohibited under Section 212(h) of the FPA.

4.0 This Request is for available Short-Term Firm Transmission Service from and to the Transmission Owners' interconnection points (as points of receipt or delivery) with other electric utilities.

5.0 The names of other parties likely to provide transmission to deliver and receive electric energy would be the electric utilities that the Transmission Owners are interconnected with.

6.0 The proposed dates for initiating and terminating the Short-Term Firm Point-to-Point Transmission Service requested will be transaction specific.

7.0 The amount of transmission capacity requested is not known at this time. The amount of transmission service will be transaction specific.

8.0 The expected transmission profile is not known at this time. The profile of the transmission service will be transaction specific.

9.0 The priority of service for the Short-Term Firm Point-to-Point Transmission Service requested will be in accordance with the Short-Term Firm Point-to-Point Provisions of the Midwest ISO Open Access Transmission Tariff.

- 10.0 This request is not being made as a response to a solicitation.
- 11.0 Transmission Customer accepts the current rates, terms and conditions of the Short-Term Firm Point-to-Point Transmission Service provided by the electronic bulletin board of the Midwest ISO during the time periods of specific transactions.
- 12.0 Wholesale Distribution Service is requested for the Distribution Facilities for \_\_\_\_\_ . Exhibit WDS with a Single-line Diagram is necessary and is provided.
- 13.0 No other information is available at this time; however, when further information is available due to a transaction, the detail of each specific transaction (see points 4, 5, 6, 7, 8, 9, 10, 11 and 12 above) will be provided through a Transaction Specification for that requested transaction.

Applicant Name: \_\_\_\_\_

By: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

**Service Agreement For  
Short-Term Firm Point-To-Point Transmission Service**

- 1.0 This Service Agreement, dated as of \_\_\_\_\_, is entered into, by and between the Midwest ISO and \_\_\_\_\_ (“Transmission Customer”).
- 2.0 The Transmission Customer has been determined by the Midwest ISO to have a Completed Application for Short-Term Firm Point-To-Point Transmission Service under the Tariff, to be a Transmission Customer under the Short-Term Firm Point-to-Point Transmission Service provisions of the Tariff and the Midwest ISO agrees to provide service upon the request by an authorized representative of the Transmission Customer.
- 3.0 The Transmission Customer agrees to supply information the Midwest ISO deems reasonably necessary in accordance with Good Utility Practice in order to provide the requested service, to provide information via the OASIS for each requested transaction or in accordance with the Transaction Specification Sheet attached as Exhibit 1 if Wholesale Distribution Service, System Impact Studies, or Direct Assignment Charges are applicable to such requested transaction and agrees to take and pay for the requested service in accordance with the provisions of the Tariff and this Service Agreement.
- 4.0 Service under this Service Agreement for a transaction shall commence on the later of (1) the requested service commencement date, or (2) the date on which construction of any Direct Assignment Facilities and/or Network Upgrades are completed, or (3) such other date as it is permitted to become effective by the Commission. Service under this agreement shall terminate on such date as mutually agreed upon by the parties.
- 5.0 Any notice or request made to or by either Party regarding this Service Agreement shall be made to the representative of the other Party as indicated below:

	Midwest ISO	Transmission Customer
Title:	Contract Administrator	_____
Address:	701 City Center Drive	_____
	Carmel, IN 46032	_____

- 6.0 The Tariff and any applicable Transaction Specification Sheet are incorporated herein and made a part hereof.

IN WITNESS WHEREOF, the Parties have caused this Service Agreement to be executed by their respective authorized officials.

Midwest ISO

Transmission Customer

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

By: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**Transaction Specification Sheet For  
Short-Term Firm Point-To-Point Transmission Service**  
Dated as Of \_\_\_\_\_

**OASIS Subclass (Designation) AREF#** \_\_\_\_\_

***\*Note: Complete this Sheet only if Wholesale Distribution Service, System Impact Studies, or Direct Assignment Charges are applicable to your short-term firm transaction.***

1.0 In accordance with the Service Agreement, dated as of \_\_\_\_\_ by and between the Midwest ISO and \_\_\_\_\_ (Transmission Customer), the Transmission Customer requests a transaction of Short-Term Firm Point-to-Point Transmission Service.

2.0 Term of Transaction (Less Than a Year): \_\_\_\_\_  
Start Date: \_\_\_\_\_  
Termination Date: \_\_\_\_\_

3.0 Description of capacity and energy to be transmitted by Transmission Provider including the electric Control Area in which the transaction originates.

\_\_\_\_\_

4.0 Point(s) of Receipt: \_\_\_\_\_  
Delivering Party: \_\_\_\_\_

5.0 Point(s) of Delivery: \_\_\_\_\_  
Receiving Party: \_\_\_\_\_

6.0 Source – Location of Generating facility(ies) supplying the capacity and energy:

\_\_\_\_\_

7.0 Sink – Location of the load: \_\_\_\_\_

8.0 Maximum amount of capacity and energy to be transmitted (Reserved Capacity):

\_\_\_\_\_

9.0 Name(s) of any Intervening Systems providing transmission service:

\_\_\_\_\_

\_\_\_\_\_

Start Date for Wholesale Distribution Service (if different than above):

\_\_\_\_\_

Termination Date for Wholesale Distribution Service (if different than above):

\_\_\_\_\_

Power Factor Requirements (if applicable): \_\_\_\_\_

10.0 Service under this Agreement may be subject to some combination of the charges detailed below, and will be determined in accordance with the terms and conditions of the Tariff.

10.1 Transmission Charge: \_\_\_\_\_

10.2 System Impact and/or Facilities Study Charge(s):  
\_\_\_\_\_

10.3 Direct Assignment Facilities Charge: \_\_\_\_\_

10.4 Ancillary Services Charges: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

10.5 Charges for Wholesale Distribution Service: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

11.0 Any notice or request made to the Transmission Customer regarding this Agreement shall be made to the following representative as indicated below:

Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Phone: \_\_\_\_\_  
Fax: \_\_\_\_\_  
E-mail: \_\_\_\_\_

12.0 The Tariff and Service Agreement are incorporated herein and made a part hereof.

IN WITNESS WHEREOF, the Parties have caused this Transaction Specification Sheet to be executed by their respective authorized officials.

Midwest ISO  
By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

Transmission Customer  
By: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Long-Term Firm Point-to-Point Transmission Service (LTF)

- 1) Please complete the Application as follows and execute one (1) copy:
  - a) Fill in the date in the Title.
  - b) Complete Section 1.0.
  - c) Complete Section 12.0 or use “N/A” for Not Applicable.
  - d) Complete the Signatory Section.
  
- 2) Please complete the Service Agreement as follows and execute three (3) copies:
  - a) Fill in the date and name of the Transmission Customer in Section 1.0.
  - b) Fill in the Transmission Customer notice information in Section 5.0
  - c) Fill in the name, title and date information in the Signatory Section.
  
- 3) At the time a Transaction is requested, please complete the Transaction Specification Sheet, if necessary, and execute three (3) copies.

**Note: The enclosed documents are provided in WORD software. Please feel free to enhance them only for the specific areas discussed above. Please do not revise the text of the Service Agreement, except for the three (3) areas discussed above. If the text is changed, the proposed Service Agreement will be rejected.**

**Application for Long-Term Firm Point-to-Point Transmission Service**  
**Dated as of \_\_\_\_\_**

1.0 Transmission Customer Information

(a) Applicant Name: \_\_\_\_\_

(b) NERC Entity Code (Use 4 character NERC Code): \_\_\_\_\_

(c) NERC Scheduling Desk/Tagging Code(s): \_\_\_\_\_

(d) DUNS Number: \_\_\_\_\_

(e) Contact Person:

Name: \_\_\_\_\_

Phone: \_\_\_\_\_ Ext.: \_\_\_\_\_

Title: \_\_\_\_\_

Fax: \_\_\_\_\_

Address: \_\_\_\_\_

Email: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

2.0 Eligible Customer Explanation: [Must complete Section 5 of Customer Registration]

3.0 This Request for Long-Term Firm Point-to-Point Transmission Service is a request for transmission service under Sections 211(a) and 213(a) of the FPA. This Request is not for transmission service for mandatory retail wheeling as prohibited under Section 212(h) of the FPA.

4.0 This Request is for available Long-Term Firm Transmission Service from and to the Transmission Owners' interconnection points (as points of receipt or delivery) with other electric utilities.

5.0 The names of other parties likely to provide transmission to deliver and receive electric energy would be the electric utilities that the Transmission Owners are interconnected with.

6.0 The proposed dates for initiating and terminating the Long-Term Firm Point-to-Point Transmission Service requested will be transaction specific.

7.0 The amount of transmission capacity requested is not known at this time. The amount of transmission service will be transaction specific.

8.0 The expected transmission profile is not known at this time. The profile of the transmission service will be transaction specific.

9.0 The priority of service for the Long-Term Firm Point-to-Point Transmission Service requested will be in accordance with the Long-Term Firm Point-to-Point Provisions of the Midwest ISO Open Access Transmission Tariff.

- 10.0 This request is not being made as a response to a solicitation.
- 11.0 Transmission Customer accepts the current rates, terms and conditions of the Long-Term Firm Point-to-Point Transmission Service provided by the electronic bulletin board of the Midwest ISO during the time periods of specific transactions.
- 12.0 Wholesale Distribution Service is requested for the Distribution Facilities for \_\_\_\_\_. Exhibit WDS with a Single-line Diagram is necessary and is provided.
- 13.0 No other information is available at this time; however, when further information is available due to a transaction, the detail of each specific transaction (see points 4, 5, 6, 7, 8, 9, 10, 11 and 12 above) will be provided through a Transaction Specification for that requested transaction.

Applicant Name: \_\_\_\_\_

By: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

**Service Agreement For  
Long-Term Firm Point-To-Point Transmission Service**

- 1.0 This Service Agreement, dated as of \_\_\_\_\_, is entered into, by and between the Midwest ISO and \_\_\_\_\_ (“Transmission Customer”).
- 2.0 The Transmission Customer has been determined by the Midwest ISO to have a Completed Application for Long-Term Firm Point-To-Point Transmission Service under the Tariff, to be a Transmission Customer under the Long-Term Firm Point-to-Point Transmission Service provisions of the Tariff and the Midwest ISO agrees to provide service upon the request by an authorized representative of the Transmission Customer.
- 3.0 The Transmission Customer agrees to supply information the Midwest ISO deems reasonably necessary in accordance with Good Utility Practice in order to provide the requested service, to provide a Transaction Specification Sheet for each requested transaction in accordance with Exhibit 1 and agrees to take and pay for the requested service in accordance with the provisions of the Tariff and this Service Agreement.
- 4.0 Service under this Service Agreement for a transaction shall commence on the later of (1) the requested service commencement date, or (2) the date on which construction of any Direct Assignment Facilities and/or Network Upgrades are completed, or (3) such other date as it is permitted to become effective by the Commission. Service under this agreement shall terminate on such date as mutually agreed upon by the parties.
- 5.0 Any notice or request made to or by either Party regarding this Service Agreement shall be made to the representative of the other Party as indicated below:

	Midwest ISO	Transmission Customer
Title:	Contract Administrator	_____
Address:	701 City Center Drive	_____
	Carmel, IN 46032	_____

- 6.0 The Tariff and Transaction Specification Sheet are incorporated herein and made a part hereof.

IN WITNESS WHEREOF, the Parties have caused this Service Agreement to be executed by their respective authorized officials.

Midwest ISO

Transmission Customer

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

By: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**Transaction Specification Sheet For  
Long-Term Firm Point-To-Point Transmission Service  
Dated as of \_\_\_\_\_**

**OASIS Subclass (Designation) AREF# \_\_\_\_\_**

***\*Note: This Transaction Specification Sheet for Long-Term Service shall serve as the Application required pursuant to Section 17.1 of the Midwest ISO Tariff.***

1.0 In accordance with the Service Agreement, dated as of \_\_\_\_\_ by and between the Midwest ISO and \_\_\_\_\_ (Transmission Customer), the Transmission Customer requests a transaction of Long-Term Firm Point-to-Point Transmission Service.

2.0 Term of Transaction (One Year or Greater): \_\_\_\_\_  
Start Date: \_\_\_\_\_  
Termination Date: \_\_\_\_\_

3.0 Description of capacity and energy to be transmitted by Transmission Provider including the electric Control Area in which the transaction originates.  
\_\_\_\_\_

4.0 Point(s) of Receipt: \_\_\_\_\_  
Delivering Party: \_\_\_\_\_

5.0 Point(s) of Delivery: \_\_\_\_\_  
Receiving Party: \_\_\_\_\_

6.0 Source – Location of Generating facility(ies) supplying the capacity and energy:  
\_\_\_\_\_

7.0 Sink – Location of the load: \_\_\_\_\_

8.0 Maximum amount of capacity and energy to be transmitted (Reserved Capacity):  
\_\_\_\_\_

9.0 Name(s) of any Intervening Systems providing transmission service:  
\_\_\_\_\_  
\_\_\_\_\_

Start Date for Wholesale Distribution Service (if different than above):  
\_\_\_\_\_

Termination Date for Wholesale Distribution Service (if different than above):  
\_\_\_\_\_

Power Factor Requirements (if applicable): \_\_\_\_\_

10.0 Service under this Agreement may be subject to some combination of the charges detailed below, and will be determined in accordance with the terms and conditions of the Tariff.

10.1 Transmission Charge: \_\_\_\_\_

10.2 System Impact and/or Facilities Study Charge(s):  
\_\_\_\_\_

10.3 Network Upgrade: \_\_\_\_\_  
\_\_\_\_\_

10.4 Direct Assignment Facilities Charge: \_\_\_\_\_

10.5 Ancillary Services Charges: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

10.6 Charges for Wholesale Distribution Service: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

11.0 Any notice or request made to the Transmission Customer regarding this Agreement shall be made to the following representative as indicated below:

Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Phone: \_\_\_\_\_  
Fax: \_\_\_\_\_  
E-mail: \_\_\_\_\_

12.0 The Tariff and Service Agreement are incorporated herein and made a part hereof.

IN WITNESS WHEREOF, the Parties have caused this Transaction Specification Sheet to be executed by their respective authorized officials.

Midwest ISO  
By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

Transmission Customer  
By: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Network Integration Transmission Service  
Service Agreement (NIA) - Operating Agreement (NIO)

- 1) Please complete the Application as follows and execute one (1) copy:
  - a) Fill in the date in the Title.
  - b) Complete Section 1.0.
  - c) Complete Section 12.0 or use “N/A” for Not Applicable.
  - d) Complete the Signatory Section.
- 2) Please complete the Service Agreement as follows and execute three (3) copies:
  - a) Fill in the date and name of the Transmission Customer in Section 1.0.
  - b) Fill in the Transmission Customer notice information in Section 6.0
  - c) Fill in the name, title and date information in the Signatory Section.
- 3) At the time a Transaction is requested, please complete the Transaction Specification Sheet (complete with attachments) and execute three (3) copies.
- 4) After a Transaction has been or is being reviewed, the Parties will complete the Network Operating Agreement (complete with Exhibits) for the planning and operational aspects of the Transaction and execute three (3) copies.

**Note: The enclosed documents are provided in WORD software. Please feel free to enhance them only for the specific areas discussed above. Please do not revise the text of the Service Agreement, except for the three (3) areas discussed above. If the text is changed, the proposed Service Agreement will be rejected.**

**Application for Network Integration Transmission Service**  
**Dated as of \_\_\_\_\_**

1.0 Transmission Customer Information

(a) Applicant Name: \_\_\_\_\_

(b) NERC Entity Code (Use 4 character NERC Code): \_\_\_\_\_

(c) NERC Scheduling Desk/Tagging Code(s): \_\_\_\_\_

(d) DUNS Number: \_\_\_\_\_

(e) Contact Person:

Name: \_\_\_\_\_ Phone \_\_\_\_\_ Ext. \_\_\_\_\_

Title: \_\_\_\_\_ Fax: \_\_\_\_\_

Address: \_\_\_\_\_ Email: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

2.0 Eligible Customer Explanation: [Must complete Section 5 of Customer Registration]

3.0 This Request for Network Integration Transmission Service is a request for transmission service under Sections 211(a) and 213(a) of the FPA. This Request is not for transmission service for mandatory retail wheeling as prohibited under Section 212(h) of the FPA.

4.0 This Request is for available Network Integration Transmission Service from and to the Transmission Owners' interconnection points (as points of receipt or delivery) with other electric utilities or a specific point within the Midwest ISO area.

5.0 The names of other parties likely to provide transmission to deliver and receive electric energy would be the electric utilities that the Transmission Owners are interconnected with.

6.0 The proposed dates for initiating and terminating the Network Integration Transmission Service requested will be transaction specific.

7.0 The amount of transmission capacity requested is not known at this time. The amount of transmission service will be transaction specific.

8.0 The expected transmission profile is not known at this time. The profile of the transmission service will be transaction specific.

- 9.0 The priority of service for the Network Integration Transmission Service requested will be in accordance with the Network Integration Provisions of the Midwest ISO Open Access Transmission Tariff.
- 10.0 This Request is not being made as a response to a solicitation.
- 11.0 The Transmission Customer accepts the current rates, terms and conditions of the Network Integration Transmission Service provided by the electronic bulletin board of the Midwest ISO during the time periods of specific transactions.
- 12.0 Wholesale Distribution Service is requested for the Distribution Facilities for \_\_\_\_\_ . Exhibit WDS with a Single-line Diagram is necessary and is provided.
- 13.0 No other information is available at this time; however, when further information is available due to a transaction, the detail of each specific transaction (see points 4, 5, 6, 7, 8, 9, 10, 11 and 12 above) will be provided through a Transaction Specification for that requested transaction.

Applicant Name: \_\_\_\_\_

By: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

**Service Agreement for  
Network Integration Transmission Service**

- 1.0 This Service Agreement, dated as of \_\_\_\_\_, is entered into, by and between the Midwest ISO and \_\_\_\_\_ (“Transmission Customer”).
- 2.0 The Transmission Customer has been determined by the Midwest ISO to be a Transmission Customer under the Network Integration Transmission Service Provisions of the Tariff and the Midwest ISO agrees to provide service upon the request by an authorized representative of the Transmission Customer.
- 3.0 The Transmission Customer agrees to supply information the Midwest ISO deems reasonably necessary in accordance with Good Utility Practice in order to provide the requested service, to provide a Transaction Specifications with applicable deposit and will execute a Network Operating Agreement for each requested transaction, and agrees to take and pay for the requested service in accordance with the provisions of the Tariff and this Service Agreement.
- 4.0 Service under this agreement for a transaction shall commence on the later of: (1) the requested service commencement date, (2) the date on which construction of any Direct Assignment Facilities and/or Network Upgrades are completed, or (3) such other date as it is permitted to become effective by the Commission. Service under this agreement shall terminate on such date as mutually agreed upon by the Parties.
- 5.0 Any Wholesale Distribution Service necessary to effectuate a specific transaction under this Service Agreement shall be in accordance with Exhibit WDS.
- 6.0 Any notice or request made to either of the Parties shall be made to the following representatives:

	<u>Midwest ISO</u>	<u>Transmission Customer</u>
Title:	Contract Administrator	_____
Address:	701 City Center Drive	_____
	Carmel, IN 46032	_____

- 7.0 The Tariff, Transaction Specification Sheet, Network Operating Agreement and Exhibit WDS are incorporated herein and made a part hereof.

IN WITNESS WHEREOF, the Parties have caused this Service Agreement to be executed by their respective authorized officials.

	<u>Midwest ISO</u>	<u>Transmission Customer</u>
By:	_____	By: _____
Name:	_____	_____
Title:	_____	_____
Date:	_____	_____

**Transaction Specification Sheet for  
Network Integration Transmission Service  
Dated as of \_\_\_\_\_**

**OASIS Subclass (Designation) AREF# \_\_\_\_\_**

1.0 In accordance with the Service Agreement, dated as of \_\_\_\_\_, by and between the Midwest ISO and \_\_\_\_\_ (Transmission Customer), the Transmission Customer requests a transaction of Network Integration Transmission Service.

2.0 Nature of Transmission Request:

This request for Network Integration Service is due to \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.0 Type of Transmission Service:

The Network Integration Service requested is under the Tariff.

A description of the services requested are as follows:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Specific local facilities needed to provide the service are \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

4.0 Term of Transaction: \_\_\_\_\_  
Start Date: \_\_\_\_\_  
Termination Date: \_\_\_\_\_

5.0 Description of capacity and energy to be transmitted by Transmission Provider:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Note: Include the electric control areas in which the transaction originates and terminates.

6.0 Point(s) of Receipt: \_\_\_\_\_  
Delivering Party: \_\_\_\_\_

7.0 Point(s) of Delivery: \_\_\_\_\_  
Receiving Party: \_\_\_\_\_

Network Resources

7.1 Transmission Customer Generation Owned or Leased:

Resource	Capacity	Capacity Designated As Network Resource	Designated Interfaces

7.2 Transmission Customer Generation Purchased:

Resource	Capacity	Capacity Designated As Network Resource	Designated Interfaces

Note: Attach operating information and description to comply with the Tariff and 18 CFR Section 2.20.

8.0 Network Load

8.1 Transmission Customer Network Load:

Network Load	Transmission Voltage Level

8.2 Description:

a) Receipt/Delivery Point Electrical Characteristics:

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**Note: Please include a Single-Line Diagram if the Delivery Point has Direct Assignment Facilities or Wholesale Distribution Service is necessary.**

b) Expected Transmission Profile:

\_\_\_\_\_

\_\_\_\_\_

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**Notes: (1) Provide seasonal peaks for the four latest periods.  
(2) Provide average weekly load profiles for the four latest seasonal periods (in numbers and graphs).**

c) Historical Load Information:

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**Notes: (1) Provide monthly clock hour Coincident Peak Demands (at time of local Control Area System Demand) for each of the last twelve months.  
(2) Provide monthly clock hour Non-Coincident Peak Demands (Network Load Highest Demand) for each of the last twelve months.  
(3) Provide monthly energy for each of the last twelve months.**

d) Forecasted Load Information:

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**Notes: (1) Provide projected summer and winter seasonal peak demands for each of the next ten (10) years.  
(2) Provide projected energy for each of the next ten (10) years.**

e) Interruptible Load:

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9.0 Description of Transmission Customers Transmission System:

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11.0 Designation of party(ies) subject to reciprocal service obligation:

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12.0 Name(s) of any Intervening Systems providing transmission service:

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13.0 Party Responsible for Providing Real Power Losses:

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14.0 Firmness of Service:

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15.0 Reservation Priority:

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16.0 Deposit:

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**Note:** Please provide calculation.

17.0 Creditworthiness:

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Any credit request should be addressed to the following:

Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Phone: \_\_\_\_\_  
Fax: \_\_\_\_\_  
E-mail: \_\_\_\_\_

18.0 Service under this Agreement may be subject to some combination of the charges detailed below, and will be determined in accordance with the terms and conditions of the Tariff.

18.1 Transmission Service Charge:

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18.2 System Impact and/or Facilities Study Charge(s):

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18.3 Network Upgrade:

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18.4 Direct Assignment Facilities Charge:

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18.5 Ancillary Services Charges:

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18.6 Charges for Wholesale Distribution Service:

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**NOTE: See Exhibit WDS enclosed.**

18.7 Redispatch Charge:

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18.8 Power Factor Requirements (if applicable):

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19.0 Any notice or request made to the Transmission Customer regarding this Agreement shall be made to the following representative as indicated below:

Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Phone: \_\_\_\_\_  
Fax: \_\_\_\_\_  
E-mail: \_\_\_\_\_

20.0 The Tariff, Service Agreement and Network Operating Agreement are incorporated herein and made a part hereof.

IN WITNESS WHEREOF, the Parties have caused this Agreement to be executed by their respective authorized officials.

Midwest ISO

Transmission Customer

By: \_\_\_\_\_

By: \_\_\_\_\_

Name: \_\_\_\_\_

\_\_\_\_\_

Title: \_\_\_\_\_

\_\_\_\_\_

Date: \_\_\_\_\_

\_\_\_\_\_

Planning Concurrence

By: \_\_\_\_\_

Date: \_\_\_\_\_

Operations Concurrence

By: \_\_\_\_\_

Date: \_\_\_\_\_

**The Midwest ISO executes this Transaction Specification Sheet and Network Operating Agreement subject to review by the Midwest ISO and the local Transmission Owner identifying any and all operating restrictions associated with this network service. Once identified, these operating restrictions will be added to the Network Operating Agreement. The Midwest ISO will not be responsible for redispatch costs associated with this service until these operating restrictions are identified and included in the Network Operating Agreement with the Transmission Customer.**

## **Network Operating Agreement for Network Integration Transmission Service**

- 1.0 This Network Operating Agreement (“Operating Agreement”), dated as of \_\_\_\_\_, is entered into, by and between the Midwest ISO and \_\_\_\_\_ (“Network Customer”).
- 2.0 The purpose of this Operating Agreement is to provide the terms and conditions of the exchange of information between the Parties concerning the technical and operational matters in order to plan, design, build, operate and maintain their respective systems and specifies the equipment that will be installed, maintained and operated consistent with Good Utility Practice.
- 3.0 Service under this Operating Agreement for a transaction shall commence on the later of: (1) the requested service commencement date, (2) the date on which construction of any Direct Assignment Facilities and/or Network Upgrades are completed, or (3) such other date as it is permitted to become effective by the Commission. Service under this Operating Agreement shall terminate on such date as mutually agreed upon by the Parties or the termination date of the transaction.
- 4.0 In order to coordinate and implement, on an ongoing basis, the terms and conditions of this Operating Agreement and the Provisions of Network Service under the Tariff, including, but not limited to planning, operating, scheduling, redispatching, curtailments, control requirements, technical and operating provisions, integrations of equipment, hardware and software and other operating considerations, the Network Customer and Midwest ISO shall each appoint a representative, including an alternate, to a Coordination Committee to administer this Operating Agreement with the Midwest ISO representative being the chair. Said committee shall meet, or otherwise concur, as necessary before the service commencement date, but not less than annually after the service commencement date. The committee shall establish and keep clear records of the meetings and decisions made by the committee. The expenses of the representatives shall be paid by the respective Parties.
- 5.0 The Network Customer shall either: (1) operate as a Control Area under applicable guidelines of the North American Electric Reliability Council (“NERC”) and the applicable regional reliability council, (2) satisfy its Control Area requirements, including all necessary Ancillary Services, by contracting with the Midwest ISO or (3) satisfy its Control Area requirements, including all necessary Ancillary Services, by contracting with another entity, consistent with Good Utility Practice, which satisfies NERC and the applicable regional reliability council requirements. The Midwest ISO shall not unreasonably refuse to accept contractual arrangements with another entity for Ancillary Services.

6.0 The following Exhibits addressing certain needs concerning equipment, data, software, and long-term planning requirements are attached and incorporated hereto:

Exhibit	Title
A	Equipment to Integrate the Network Customer into the Transmission System
B	Data Transfer
C	Software
D	Long-Term Planning
E	Control Area Requirements

7.0 The Tariff, Application, Service Agreement, Transaction Specifications and Exhibit WDS are incorporated herein and made a part hereof.

IN WITNESS WHEREOF, the Parties have caused this Operating Agreement to be executed by their respective authorized officials.

Midwest ISO

Network Customer

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

By: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Planning Concurrence

By: \_\_\_\_\_  
Date: \_\_\_\_\_

Operations Concurrence

By: \_\_\_\_\_  
Date: \_\_\_\_\_

Transaction Accepted AREF# \_\_\_\_\_

***EQUIPMENT TO INTEGRATE THE NETWORK CUSTOMER INTO THE  
TRANSMISSION SYSTEM***

Note: The equipment needed to integrate the Customer into the Transmission Provider's transmission system includes, but is not limited to remote terminal units ("RTU"), metering points and equipment, and any relaying equipment and settings. This listing should include the interconnection points and the necessary equipment and data needed to integrate the Transmission Provider and the Network Customer consistent with Good Utility Practice and any additional requirements reasonably and consistently imposed to ensure the reliable operation of the Transmission System.

**DATA TRANSFER**

Note: Each Party shall furnish to the other Party data available to it on such time bases as are established by the Parties when such data are needed for settlement, operating records, or other purposes consistent with the objectives thereof. As promptly as reasonably practicable, each Party shall render to the other Party statements setting forth data from sources available to it in such detail and with such segregation as may be needed for the transmission service provided under the Network Provisions of the Tariff. The data shall include, but not be limited to, heat rates and operational characteristics of Network Resources, generation schedules for units outside the Transmission Provider's Transmission System, interchange schedules, unit outputs for redispatch, voltage schedules, loss factors, and other real-time data.

**SOFTWARE SYSTEMS**

Note: The Parties shall provide the software needed for data links and constraint dispatching.

**LONG-TERM PLANNING**

Note: The Network Customer shall provide 10 year load and resource forecasts to allow the long-term planning of the integrated systems. Also included shall be any future changes in the transmission systems of either party, which will affect the operation, or reliability of the Transmission System.

**CONTROL AREA REQUIREMENTS**

<u>SERVICE</u>	<u>FROM TRANSMISSION PROVIDER</u>	<u>CUSTOMER AS CONTROL AREA</u>	<u>FROM THIRD PARTY</u>
Scheduling, System Control and Redispatch			
Reactive Supply and Voltage Control			
Regulation and Frequency Response			
Energy Imbalance			
Operating Reserve- Spinning			
Operating Reserve- Supplemental			

Note: The Network Customer shall arrange for all Control Area Requirements including necessary Ancillary Services. These requirements can be met by operating as a control area, contracting with the Transmission Provider, or by contracting with a Third Party. The elections of the Customer shall be noted above.

Exhibit WDS For Wholesale Distribution Service (WDS)

- 1) At the time a Transaction is requested which involves or requires Wholesale Distribution Service, please provide as much detail as possible for the Exhibit WDS and a Single-line Diagram.
- 2) After the rates and charges are determined (See Section 3.0) three (3) copies will be completed for execution.

**Note: The enclosed documents are provided in WORD software. Please feel free to enhance them only for the specific areas discussed above. Please do not revise the text of the Service Agreement, except for the three (3) areas discussed above. If the text is changed, the proposed Service Agreement will be rejected**

**Exhibit WDS  
For Wholesale Distribution Service**

1.0 To the extent necessary to effectuate a specific transaction entered into pursuant to a Service Agreement under the Tariff, the following Transmission Owner(s) shall provide Wholesale Distribution Service over their Distribution Facilities:

\_\_\_\_\_  
\_\_\_\_\_

**Note: Attach a Single-Line Diagram of the Electric Facilities.**

2.0 Start Date: \_\_\_\_\_  
Termination Date: \_\_\_\_\_

3.0 The following rates and charges will apply for the requested Wholesale Distribution Service:

\_\_\_\_\_  
\_\_\_\_\_

4.0 The Service Agreement and Tariff are incorporated herein and made a part hereof.

IN WITNESS WHEREOF, the Parties have caused this Exhibit WDS to the Service Agreement to be executed by their respective authorized officials.

Midwest ISO

Transmission Customer

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_