



North American Energy Standards Board

1301 Fannin, Suite 2350, Houston, Texas 77002
Phone: (713) 356-0060, Fax: (713) 356-0067, E-mail: naesb@aol.com
Home Page: www.naesb.org

TO: NAESB Wholesale Electric Quadrant Information Technology Subcommittee
Participants, Posting for Interested Industry Participants

FROM: Todd Oncken, NAESB Deputy Director

RE: NAESB Wholesale Electric Quadrant Information Technology Subcommittee Meeting
Draft Minutes – October 8, 2003

DATE: October 15, 2003

**Wholesale Electric Quadrant Information Technology Subcommittee
Wednesday, October 8, 2003
9:00 a.m. to 1:00 p.m. MST
Tempe, AZ**

1. Welcome

Mr. Landrum called the meeting to order and introduced himself and Mr. Johnson, co-chairs of the Wholesale Electric Quadrant (WEQ) Information Technology (IT) Subcommittee. Mr. Oncken gave the antitrust advice. Participants introduced themselves.

Mr. Landrum reviewed the agenda. Mr. Johnson offered changes to renumber the agenda items and add *discussion of committee scope* as an additional item. No other changes were offered. Ms. Rehman moved, seconded by Mr. Cox, to adopt the agenda as modified. The motion passed unanimously.

It was requested that NAESB sponsor list server for the IT and Electronic Scheduling Subcommittee (ESS). Participants noted they found the communication method essential to their work as the ESC and OSC.

2. Review of NAESB principles/objectives and procedures

Mr. Johnson reviewed NAESB's purpose and scope, highlighting that NAESB standards are voluntary unless and until they are adopted by a regulatory authority. Additionally, he stated that NAESB does not advocate for its standards. Mr. Johnson reviewed the NAESB organizational structure and balanced voting procedures. Full participation in NAESB subcommittees, including voting rights, is open to all industry participants regardless of their status as NAESB members. He noted that for voting purposes, each subcommittee participant must align themselves with a NAESB segment.

3. Review of WEQ IT formation, scope and annual plan assignments

Mr. Johnson reviewed the formation of the IT Subcommittee. He stated that on August 5 the Executive Committee created the IT Subcommittee as a home for the work of the OASIS Scheduling Collaborative (OSC) and the ESS as a home for the work of the Electronic Scheduling Collaborative (ESC). Mr. Johnson said the WEQ Executive Committee voted to approve the draft 2004 Annual Plan at its October 7 meeting, and item 2 of that plan would likely be assigned to the IT and ESS. Participants discussed the interaction of the IT and ESS on the annual plan item and the roles of each subcommittee moving forward. It was noted that the WEQ Executive Committee will assign specific 2004 Annual Plan items to its six active subcommittees at the December Executive Committee meeting. Additionally, it was noted that each subcommittee would develop its own scope, with the WEQ Executive Committee approving all subcommittee scope determinations.

Open issues noted include: 1) whether NAESB or NERC will maintain ownership over the TSIN database and registry; 2) which NAESB subcommittee will develop business practices needed to support communication protocols; and 3) what will be the interaction with NERC on activities with



North American Energy Standards Board

1301 Fannin, Suite 2350, Houston, Texas 77002

Phone: (713) 356-0060, Fax: (713) 356-0067, E-mail: naesb@aol.com

Home Page: www.naesb.org

overlap, such as electronic scheduling and e-tagging. Ms. McQuade stated NERC and NAESB have initiated a number of coordination activities, including coordination and communication at the drafting team level.

3. Discussion of subcommittee scope

Participants discussed the scope of the IT Subcommittee at length. Concerns about monitoring and compliance with technical specifications contained in the standards were raised. It was clarified that NAESB standards are voluntary until adopted by a regulatory authority, such as the Federal Energy Regulatory Commission (FERC), and NAESB does not monitor the industry for compliance. That said, the ultimate compliance for NAESB standards adopted by the FERC is performed via the FERC, who after adopting the standards, has procedures in place to monitor for compliance and take actions when entities are non-compliant. NAESB can draft performance measurement standards that would aid in implementation of specific business practices.

Participants discussed the definition of advocacy as it relates to NAESB's prohibition for advocacy when communicating with regulatory authorities. NAESB provides the standards and all supporting documentation to the FERC for its consideration. While the organization does not advocate whether the FERC should adopt the standards, it does answer any questions posed by the regulatory agency. Formal communications between NAESB and the FERC are approved by NAESB's Managing Committee. In instances where a body of standards may be split between NAESB and NERC, such as e-tagging, it was suggested that the NERC Functional Model could provide guidance in determining which aspects were commercial and which were reliability.

The Wholesale Gas Quadrant (WGQ) model for technical standards development and compliance was discussed. It was noted compliance issues and clarifications on the WGQ EDM standards are addressed through: 1) the WGQ Certification Program for software protocols; 2) interpretations requests when parties feel that standards language is unclear; 3) testing protocols for new software specifications; and 4) the development of implementation guides for the standards as a method to give guidance on implementations. However, the ultimate compliance is performed via the FERC, who after adopting the standards have procedures in place to monitor for compliance and take actions when entities are non-compliant. NAESB does not perform either monitoring the industry participants for compliance or taking any specific actions for non-compliance.

The WEQ IT developed the following scope:

The Information Technology Subcommittee is a technical subcommittee of the Wholesale Electric Quadrant Executive Committee assigned to develop standards and communication protocols for Open Access Same-Time Information Systems. The Information Technology Subcommittee will engage in, but is not limited to, the following activities:

- Maintain the OASIS Phase IA S&CP document once adopted by NAESB as a standard
- Actively develop standards and communication protocol [technical standards] for OASIS Phase II
- Work with NERC's TISWG to determine the future of the TSIN Registry
- Coordinate with NERC's PKI Task Force regarding the implementation of NERC's e-MARC policy.

The subcommittee requested that the WEQ Executive Committee take action to approve its scope statement in advance of the December Executive Committee meeting.



North American Energy Standards Board

1301 Fannin, Suite 2350, Houston, Texas 77002

Phone: (713) 356-0060, Fax: (713) 356-0067, E-mail: naesb@aol.com

Home Page: www.naesb.org

4. Discussion of workplan for OASIS 1A and OASIS II activities

The IT Subcommittee established two task forces: 1) TSIN Registry Issues; and 2) OASIS 1A Issues. Ms. Rehman volunteered to co-chair the TSIN Registry Issues Task Force which would address whether a separate registry was needed and why. It was decided the work of the TSIN Registry Issues Task Force would be suspended until after the next IT Subcommittee meeting.

Mr. Wood will chair the OASIS 1A Issues Task Force. The subcommittee charged the OASIS 1A Issues Task Force with evaluating the outstanding OASIS 1A issues (see letter from the OASIS Standards Collaborative dated July 30, 2003) and determining whether they are required for compliance with a FERC Order, clarifications to the existing S&CP, or enhancements. Volunteers for the task force included: Mr. Wood, Ms. Marcil, Mr. Keaton, Mr. Rogan, Mr. Baizman, Ms. Walter, Mr. Burden, Mr. Singh and Mr. Stone. It was noted the work of the task force will not include enhancement recommendations submitted after the July 30 letter.

5. Future Meetings

Mr. Landrum proposed the IT Subcommittee schedule its next meeting with NERC's TISWG group during the first week of December 2003 in Orlando, FL. Proposed agenda items include a presentation on the ESC use cases and an update on the TSIN registry. In the future, effort will be taken to schedule IT Subcommittee and ESS meetings in tandem so that the subcommittees can work jointly when needed.

6. Adjourn

Mr. Landrum adjourned the meeting at 12:20 p.m. Mountain Standard Time.



North American Energy Standards Board

1301 Fannin, Suite 2350, Houston, Texas 77002
Phone: (713) 356-0060, Fax: (713) 356-0067, E-mail: naesb@aol.com
Home Page: www.naesb.org

7. Executive Committee Attendance and Voting Record

Name	Company	In person/Phone
Aaron Baizman	PJM	In Person
Scott Brown	Exelon	In Person
Kathryn Burch	Duke Energy Gas Transmission	In Person
Christopher Burden	Williams Gas Pipeline	In Person
Kevin Burns	OATI	In Person
John Conlon	Midwest ISO	In Person
Phil Cox	American Electric Power	In Person
Jack Crowley	Exelon	In Person
Dale Davis	Williams Gas Pipeline	In Person
Ed Davis	Entergy	Phone
Steve Dayney	Xcel Energy	In Person
Michael Desselle	American Electric Power	In Person
Joel Dison	Southern Company	In Person
Greg Dodson	Dominion Resources Services	In Person
Mark Gracey	El Paso Eastern Pipelines	In Person
Peter Harris	ISO New England	In Person
Bob Harshbarger	PSE	In Person
Jim Hartwell	NPCC	In Person
Jim Hudson	Bonneville Power Administration	In Person
Polly Irons	Entergy	Phone
Alan Johnson	Mirant	In Person
James Keaton	Southwest Power Pool	In Person
Todd Kochheiser	Bonneville Power Administration	In Person
Monroe Landrum	Southern Company	In Person
Steve McCoy	CAISO	In Person
Rae McQuade	NAESB - Executive Director	In Person
Denyse Marcil	Hydro-Quebec TransEnergie	In Person
Aleks Mitreski	Entergy	In Person
Talal Murib	Southern Company	In Person
Lou Oberski	Dominion	In Person
Todd Oncken	NAESB - Deputy Director	In Person
Paul Pechersky	R. J. Rudden	Phone
Tony Reed	Southern Company	In Person
Barbara Rehman	Bonneville Power Administration	In Person
Barb Robertson	Ontario Power Generation	In Person
Andy Rodriguez	PJM	In Person
Peter Rogan	ISO New England	In Person
Marv Rosenberg	FERC	In Person
Jayjit Singh	Salt River Project	In Person
William Smith	Allegheny Power	Phone
Paul Sorenson	Sorenson Consulting	Phone
Steve Stinson	Tennessee Valley Authority	Phone
Larry Stone	Soft Smiths, Inc.	In Person
Lisa A. Szot	CAISO	In Person
Tina Walter	Western Area Power Admin.	In Person
James T. Wood	Southern Company	In Person
Kim Van Pelt	Panhandle Eastern Pipe Line	In Person
Randy Young	Gulf South Pipeline	In Person