



North American Energy Standards Board

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REQ - SUIS

Review of Activities to Date

Joint REQ/RGQ SUIS Meeting

St. Louis, Mo - April 3, 2003

SUIS Meetings

- **REQ Subcommittees Launch**

- Conference Calls Aug. 5, 7, 9, 2002
- Subcommittees kickoff, September 18-19 2002, Baltimore

- **SUIS Meetings**

- September 18, 2002 Baltimore
- November 8, 2002 Houston
- January 8, 2003 Atlanta
- February 25, 2003 Newark
- [April 3, 2003](#) [St. Louis](#)

- **Between Meetings**

- October 21, 2002 Conference Call
- December 30, 2002 Compiled Comments Posted
- February 21, 2003 Compiled Comments Posted

September 18, 2002 Kick-off Meeting

- Charter (Mission) was reviewed
- Annual Plan was reviewed
- Scope was Set
 - Included
 - Credit risks associated with consolidated billing
 - Purchase of distribution service (under Single Retailer Model)
 - Risks associated with being the default provider
 - Risks associated with one party selling services to the other
 - Excluded
 - Wholesale Imbalance risks (and other Transmission Provider risks)
 - Risks to customer resulting from Supplier defaults
- The group agreed to use the CUBR/EEI UBP Creditworthiness chapter as a starting point
- Participants volunteered to lead different subject areas
- Chair and co-Chair elected

SUIS Charter

- Mission

“The mission of the Supplier-Utility Interface Subcommittee is to develop recommendations for standards and model business practices, and other recommendations regarding the working relationships between retail energy suppliers and the Local Distribution Company (or other entity similarly charged with facilitating retail access in a given service area, e.g. ERCOT in Texas) for review and determination by the NAESB Executive Committee.”

- Scope

- Items contained on the NAESB REQ Annual Plan
- Requests for Standards
- Other requests forwarded to it by the EC

- RGQ SUIS Charter is very similar

REQ/RGQ Annual Plans

Description	REQ Subcommittee				RGQ Subcommittee				
	Item #	Customer Processes	Supplier-Utility Interface	Technical Electronic Implementation	Item #	Customer Processes	Supplier-Utility Interface	Technical Electronic Implementation	Retail BP Task Force
Billing & Payments	1	2003 - Q1			6				
Customer Enrollment & Switching	3	2003 - Q2			5				
Customer Information	6	2003 - Q3			7				
Creditworthiness Standards	2		2003 - Q1		3				
Supplier Licensing	4		2003 - Q2		4				
Retail Meter Reading, Data Validation, Editing & Estimating	5		2003 - Q3						
Load Profiling	7		2003 - Q3						
Customer Inquiries	8	2003 - Q4			8				
Market Participant Interactions	9		2003 - Q4		10				
Utility - Supplier Disputes	10		2003 - Q4		11				
Settlement Process	11		2004 - Q1						
Electronic Delivery Mechanisms	12			2002 - Q4					
Technical Electronic Implementation Stds - Billing & Payments	13			2003 - Q2					
Technical Electronic Implementation Stds - Enrollment & Switching	14			2003 - Q3					
Technical Electronic Implementation Stds - Metering	15			2003 - Q4					
Technical Electronic Implementation Stds - Load Profiling	16			2003 - Q4					
Technical Electronic Implementation Stds - Customer Information	17			2003 - Q4					
Examine WGQ EDM Standards					2				
Examine WGQ (non-EDM) Standards					9				
Inventory Best Practices in States					1				

November 8, 2002 Meeting

- Group reviewed initial drafts created by subject sub-teams
- Scope re-confirmed—not Transmission/Wholesale
- Issues raised
 - “Guidelines” versus “Standards”
 - Reflect voluntary nature
 - Consensus that SUIIS should provide principles and guidelines and leave the details to participants
 - SUIIS should set specific timeframes
 - Use of terms “regulated” and “unregulated”, “Utility” and “Supplier”
 - Goal is to have a symmetrical relationship
 - Settled on “**Applicant**” and “**Creditor**”
 - Group agreed it would develop a model non-disclosure agreement

Useful Digression – “Guidelines”

- Issue:
 - Concerns were raised about NAESB-established “Standards”, setting regulatory policy
 - Consensus was that this was not our goal
 - The output of this work should be more like guidelines that apply under given regulatory market structures
- Resolution:
 - Taken to EC since it impacted more than SUIS
 - Agreement to use the term “**Model Business Practice**”, not “Standard”

Useful Digression – “Policy”

- SUIs (and CPS) discussions on scope were mired somewhat by different views on how “policy” should be treated
 - UBP documents contained many “policy” items, even if not labeled as such, and some SUIs members were comfortable with this
 - Other participants felt there should be no policy items at all—NAESB’s role is limited to creating ways to implement policies
- REQ Executive Committee provided guidance at their December 13, 2002 meeting
 - Review of NAESB documents suggest the REQ subcommittees scope should be narrow—provide the “how” to implement policy decisions made by regulatory authorities
 - NAESB Certificate – NAESB shall not have an advocacy role regarding its standards before the FERC or any other regulatory body
 - NAESB bylaws – Purpose of NAESB, Develop Practices, Not Policy
- Resolution – SUIs should focus on practices

January 8, 2003 Meeting

- Impact of “Policy” discussion was discussed in SUIS context
 - Concern was raised about “Supplier Licensing” subject being primarily policy, not leaving much for SUIS to address
 - Grouped decided to address this in context after SUIS began work on Supplier Licensing
- Draft creditworthiness document was reviewed
- Definition, use, and placement of “Principles” was discussed and revised
 - SUIS usage was inconsistent with NAESB practice
 - SUIS decided to move to NAESB usage
 - SUIS decided to centralize principles that appeared in more than one section

Useful Digression – “Principles”

- SUIS usage:
 - Statements of what Model Business Practices were trying to accomplish
 - Important to include, even if MBP is developed and stated
- NAESB usage:
 - Principles intended to guide development of Standards/Model Business Practices
 - Important until Model Business Practice is developed
 - Not needed once there is an MBP
- SUIS agreed to NAESB definition and usage at January 8, 2003 meeting:
 - Many Principles deleted
 - MBP’s had been developed and principles no longer needed

February 25, 2003 Meeting

- Revised Draft creditworthiness document was reviewed
 - Compiled comments were reviewed and incorporated/not incorporated as applicable
 - New Draft (draft 6) was developed
- Revised definition, usage of Principle incorporated
 - Most “Principles” deleted

Useful Digression – “Definitions”

- REQ established a Glossary Subcommittee - Feb 19, 2003
 - Jean Mason and Mark Jarrett are co-chairs
- Glossary Subcommittee will have final say on definitions
 - (No, we don't know exactly where they will go yet)

Useful Digression – “Formats”

- SUIS and CPS are proceeding in somewhat different formats
- This digression is still digressing