

**GISB Imbalance Subcommittee Meeting**  
**May 4, 2000**  
**Conference Call**  
**FINAL MINUTES**

1. Jim Buccigross opened the meeting and welcomed participants.
2. Jim B. gave the anti-trust guidelines.
3. The agenda was adopted without modifications.

The purpose of this meeting is address two issues, which were sent to the Imbalance Subcommittee from the Expedited Data Development (EDD) Subcommittee.

The first issue is as follows:

**ISSUE to Imbalance Subcommittee (from EDD Subcommittee, March 13-14, 2000):**

During the processing of R97117 and R97118, the Expedited Data Development Subcommittee raised the following issues:

1. Proposed GISB Standard No. [S11] reads as follows:

“After receipt of an Imbalance Trade Confirmation, the Transportation Service Provider should send the Imbalance Trade Notification to the initiating trader and the confirming trader no later than noon (central clock time) the next business day.”

This proposed standard supports batch processing during the imbalance trading process. It states that, when an Imbalance Trade Confirmation is received, the Imbalance Trade Notification is sent by noon the next business day. How will a confirming trader be notified of errors in the Imbalance Trade Confirmation?

2. Per the diagram developed by the Imbalance Subcommittee titled “Proposed Imbalance Trading Process – May 27, 1999”, a quick response data set is to be developed as a response to the Request for Imbalance Trade. What is the timing for the return of a ‘Request for Imbalance Trade Quick Response’ to the initiating trader?

The Imbalance Subcommittee began to discuss the question regarding how the confirming trader will be notified of errors, which occurred in the Imbalance Trade Confirmation. For some pipelines, a quick response is not the appropriate vehicle for providing error information. Those pipelines have designed their systems to process Imbalance Trade Confirmations in a batch mode, i.e. the Imbalance Trade Confirmations which are received during one particular business day will be batched and processed overnight.

The term 'quick response' has not been specifically defined by GISB, but there was general agreement that for a response to be considered 'quick', it would need to be communicated within 15 – 30 minutes after the originating document was received by the pipeline.

Another suggestion indicated that the sending of a Functional Acknowledgement (a '997') might be a sufficient response to the receipt of an Imbalance Trade Confirmation. All participants on the call, however, did not share this position.

Additionally, a question was raised as to whether it could be assumed that the Imbalance Trade Confirmation must be sent to the pipeline after the corresponding Request for Imbalance Trade was sent by the Initiating Trader. Some participants on the call stated that this assumption could not be made.

As a result of the above discussions, there appears to be three alternatives:

- 1) Send only a Functional Acknowledgement in response to an Imbalance Trade Confirmation
- 2) Create an Imbalance Trade Confirmation Quick Response document to communicate errors regarding the Imbalance Trade Confirmation
- 3) Modify the Imbalance Trade Notification to accommodate the sending of errors regarding the Imbalance Trade Confirmation

**Motion # 1:** The Imbalance Subcommittee instructs the EDD Subcommittee that a Confirming Trader will be notified of errors/warnings in the Imbalance Trade Confirmation via an Imbalance Trade Confirmation Quick Response.

Pipelines In Favor: 0  
Pipelines Opposed: 7  
Services In Favor: 3  
Services Opposed: 1

**Motion # 1 Failed** (Balanced Vote 1.5 In Favor and 2.5 Opposed).

**Motion # 2:** The Imbalance Subcommittee instructs the EDD Subcommittee to accommodate the notification to the Confirming Trader of errors in the Imbalance Trade Confirmation via the Imbalance Trade Notification, specifically using the data element Trade Reduction Reason Code.

Pipelines In Favor: 9  
Pipelines Opposed: 0  
Services In Favor: 2  
Services Opposed: 2

**Motion # 2 Passes** (Balanced Vote 3 In Favor and 1 Opposed).

The next question which was discussed by the Imbalance Subcommittee was in regard to the timing of the sending of the Request for Imbalance Trade Quick Response. After some discussion the following compromise motion was made:

**Motion # 3:** As a response to the EDD Subcommittee concerning question # 2, during the hours of 9:00 AM to 5:00 PM Central Clock Time (CCT), when a Transportation Service Provider (TSP) receives a Request for Imbalance Trade document from an Initiating Trader by the conclusion of a given quarter hour period, the TSP will send to the Initiating Trader's designated site a corresponding Request for Imbalance Trade Quick Response by the conclusion of the subsequent quarter hour period. When the Request for Imbalance Trade is received during other hours, the TSP will send to the Initiating Trader's designated site a corresponding Request for Imbalance Trade Quick Response document by 9:00 AM the next business day.

The quarter hour periods will be defined to begin on the hour and at 15, 30, and 45 minutes past the hour. A given quarter hour will contain all transactions whose receipt time is less than the beginning of the subsequent quarter hour.

Pipelines In Favor: 4  
Pipelines Opposed: 1  
Services In Favor: 4  
Services Opposed: 0

**Motion # 3 Passes** (Balanced Vote 3.6 In Favor and 0.4 Opposed).

The second issue is as follows:

**ISSUE to EBB-Internet Implementation Task Force (EII):**

(These issues were assigned to the Imbalance Subcommittee by the Executive Committee at the April 13, 2000 Meeting.)

EDD is developing data sets for Imbalance Netting / Trading (R97117 and R97118). Part of this process is the development of 'EBB Usages'. The following issues were raised during the processing of the data sets:

1. Will the Imbalance Netting / Trading data sets include data groupings?
2. If so, will an order be specified for the data elements in the data groups?
3. If yes to either of the above, which GISB subcommittee will develop these items for the new data sets? EII or the Imbalance Subcommittee or the Expedited Data Development Subcommittee?

If it is determined that any of the above items will be developed, EDD will provide the data sets as a package to the appropriate group.

EDD assumes that any quick response data sets that are developed will not need to accommodate EBB/EDM and, therefore, will not include EBB usages or data groupings. This is the same convention that has been followed for the existing quick response data sets (Nomination Quick Response, Confirmation Quick Response, Pre-determined Allocation Quick Response). The quick response data sets that are developed for Imbalance Netting / Trading will accommodate EDI/EDM and FF/EDM.

After some discussion the following compromise motion was made:

**Motion # 4:** The Imbalance Subcommittee instructs the EDD Subcommittee to develop data groupings for Imbalance Netting / Trading. No specific order for the data elements within the data groupings is needed.

**Motion # 4 Passes Unanimously.**

The participants on the conference call were thanked for their input and cooperation in providing guidance to the EDD Subcommittee, concerning these issues.

Meeting adjourned at 12:15 PM (Central Time)

### **Imbalance Conference Call Attendance**

#### **SERVICES:**

##### **Jim Buccigross**

Gary Paine  
Mark Scheel  
Pete Whatley  
Randy Young

##### **National Registry**

Enron Administrative Corp.  
Dynergy Inc.  
Dynergy Marketing and Trade  
Koch Midstream

#### **PIPELINES:**

##### **Dennis LaTour**

Pam Albers  
Sandra Barnett  
Betty Barnum  
Flo Comini  
Melissa Cox  
Dale Davis  
Mark Gracey  
Bill Griffith  
Theresa Hess  
Tammy Hopkins  
Pete Howells  
Stephanie Marks  
Marcy McCain  
Mary Sue McNeal  
Cindy Reppke  
Mike Schisler  
Cari Sloan  
Sherry Wake

##### **ANR Pipeline (ANR )**

Williston Basin  
Koch Gateway  
CMS – Panhandle  
ANR  
ANR  
Williams Gas Pipeline  
Tennessee Gas Pipeline  
CIG  
Enron - Transwestern  
Enron - Northern  
ANR  
Dominion  
AGT  
El Paso Natural Gas  
ANR  
NGPL  
ANR  
ANR